



**Montefiore** Montefiore Einstein  
Center for Cancer Care

## **Highlights 2018 San Antonio Breast Cancer Symposium: New Developments**

**Sheldon M Feldman, M.D.,FACS**  
**Chief Breast Surgical Oncology**  
**Director Breast Cancer Services**  
**Professor of Surgery**  
**Montefiore Medical Center**  
**Albert Einstein College of Medicine**

# Acknowledgements and Topics for Discussion

*Best of San Antonio 1/20/2019 NYC*

- Dr. Joseph Sparano(Montefiore)-tumor biology endocrine rx and genomic profile
- Dr. Sheldon Feldman(Montefiore)- axillary nodal Rx, decision making and quality of life
- Dr. Larry Solin(U of Penn)- radiation
- Dr. Francesco Esteva(NYU)- chemotherapy
- Dr. Charles Shapiro(Mt. Sinai)- survivorship

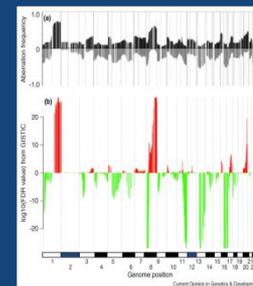
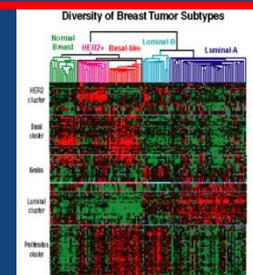
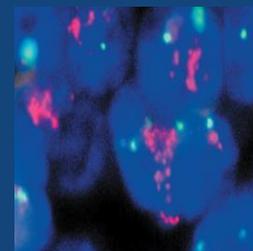
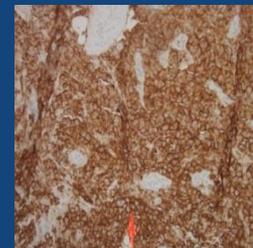
# FERN FELDMAN ANOLICK

(1942-1979)

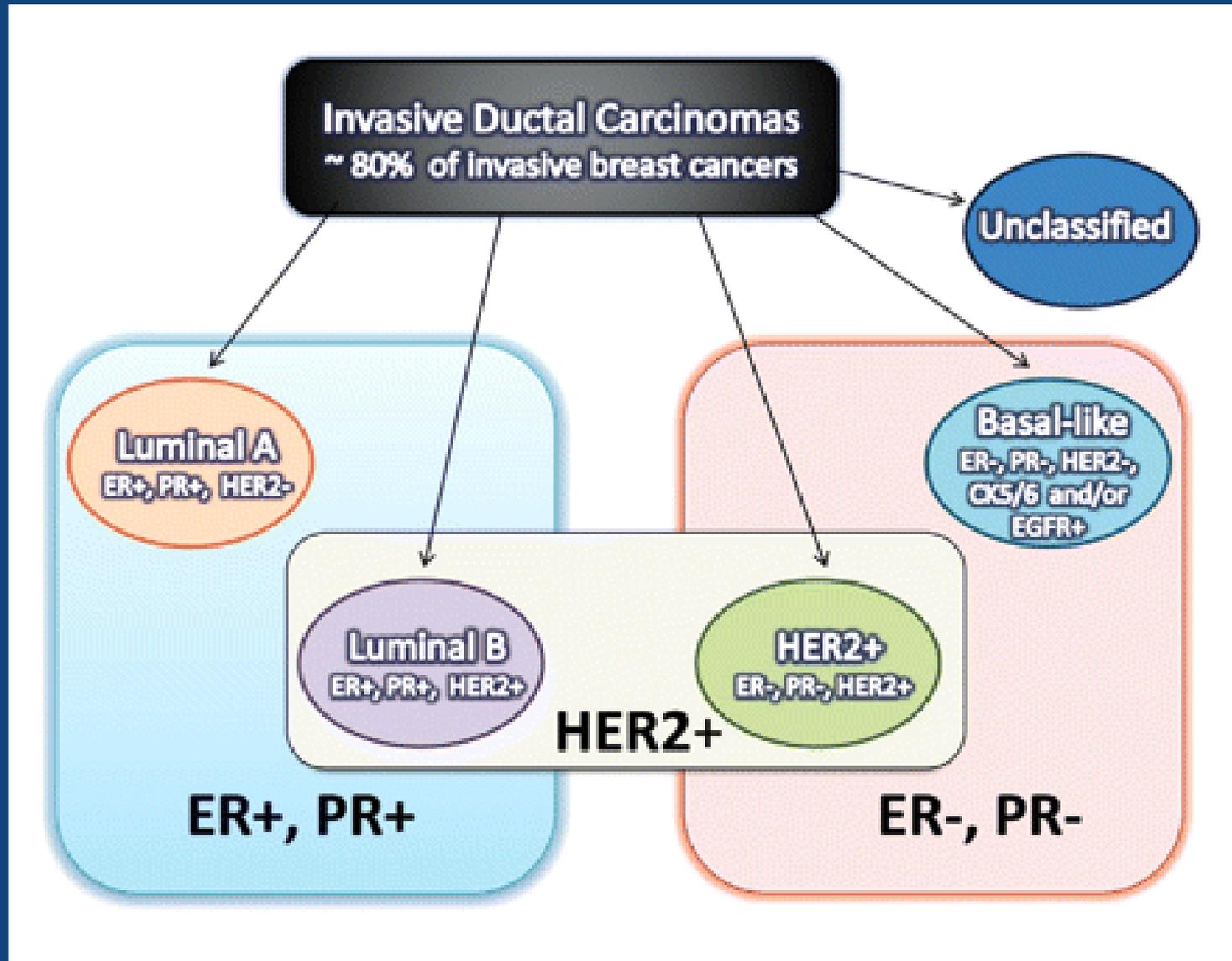


# Precision Medicine: Role of Biomarkers in Breast Cancer

- **1<sup>st</sup> generation:** protein expression ~ 1970
  - ER/PR IHC
- **2<sup>nd</sup> generation:** gene amplification ~ 1990
  - HER2/neu FISH
- **3<sup>rd</sup> generation:** gene expression ~ 2004
  - Oncotype DX, Mammaprint, BCI
  - PAM50, Endopredict
- **4<sup>th</sup> generation:** mutational profiling ~ 2010
  - Commercial and academic assays

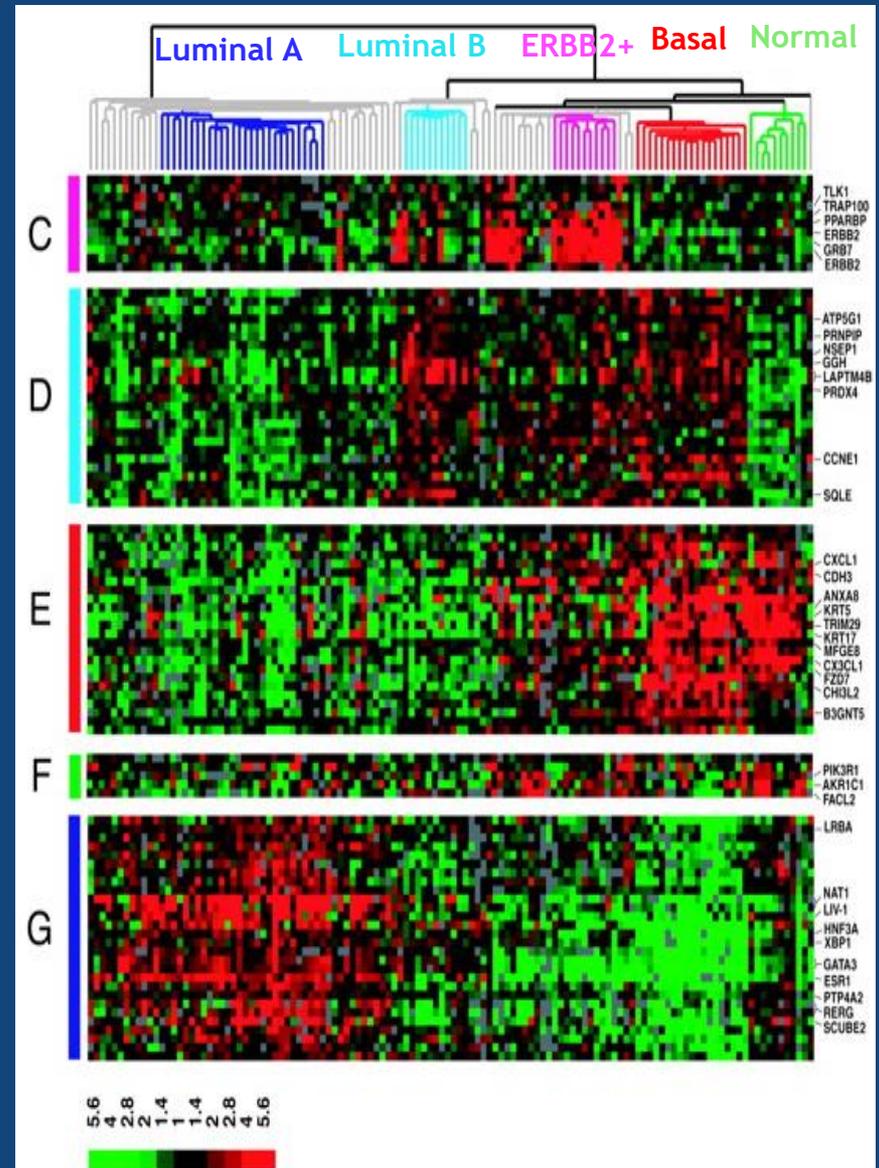


# Breast Cancer Phenotypes



# Gene Expression Profiling in Breast Cancer

- Breast cancer is heterogeneous
- Distinct subtypes
- Prognosis varies by subtype



PNAS 2003; 100(14): 8418-8423

# Gene Expression Profiling in ER+/HER2- Breast Cancer: Prognosis and Prediction

| # Genes | Assay               | Regulatory Approval | Clinical Utility  |
|---------|---------------------|---------------------|---|
| 21      | Oncotype DX         | CLIA                | Prognostic - Node -/+<br><b>Predictive - chemotherapy benefit</b> |
| 70      | MammaPrint          | FDA                 | Prognostic - Node-/+ (clinical high risk)                         |
| 50      | Prosigna            | FDA                 | Prognostic - Node -/+   |
| 7       | Breast Cancer Index | CLIA                | Prognostic -Node -/+<br><b>Predictive - extended</b>              |

# 21-Gene Expression Recurrence Score Assay and Algorithm

## Proliferation

Ki67  
STK15  
Survivin  
CCNB1(cyclinB1)  
MYBL2

## HER2

GRB7  
HER2

## Estrogen

ER  
PGR  
BCL2  
SCUBE2

## Invasion

MMP11  
CTSL2

GSTM1

CD68

BAG1

## Reference

ACTB(B-actin)  
GAPDH  
RPLPO  
GUS  
TFRC

$$\begin{aligned}
 \text{RS} = & +0.47 \times \text{HER2 Group Score} \\
 & -0.34 \times \text{ER Group Score} \\
 & +1.04 \times \text{Proliferation Score} \\
 & +0.10 \times \text{Invasion Group Score} \\
 & +0.05 \times \text{CD68} \\
 & -0.08 \times \text{GSTM1} \\
 & -0.07 \times \text{BAG1}
 \end{aligned}$$

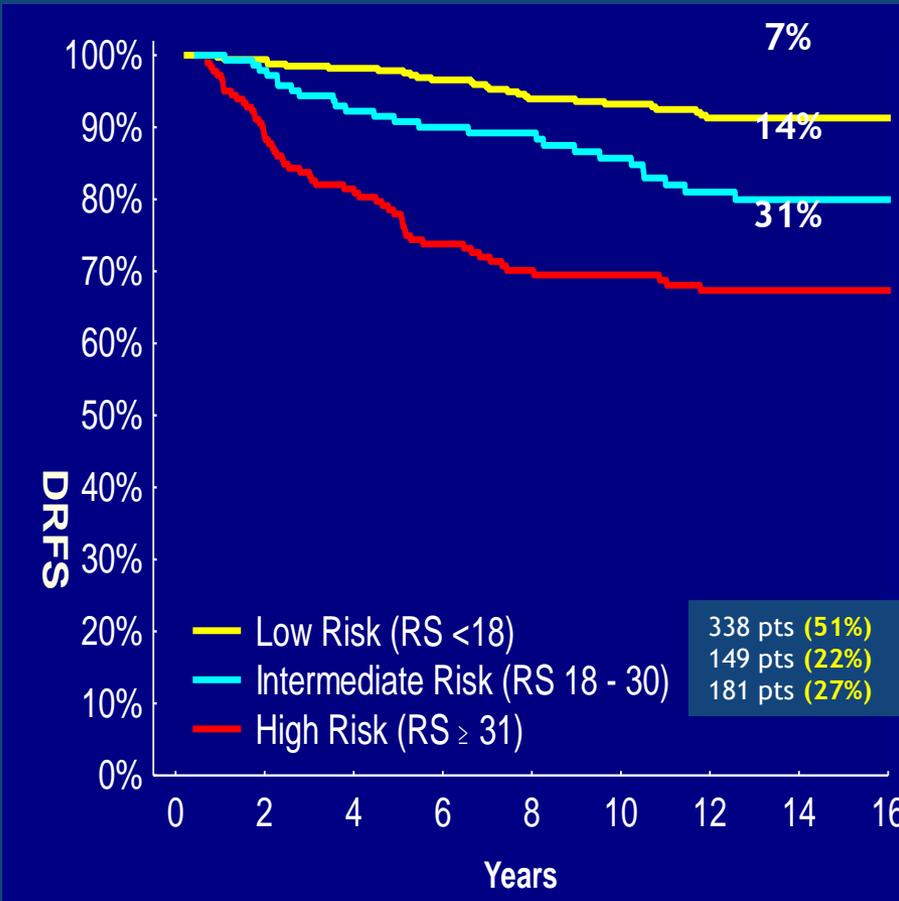
| Category          | Original | TAILORx |
|-------------------|----------|---------|
| Low risk          | 0-17     | 0-10    |
| Intermediate risk | 18-30    | 11-25   |
| High Risk         | 31-100   | 26-100  |

NEJM 2004; 351(27): 2817-26

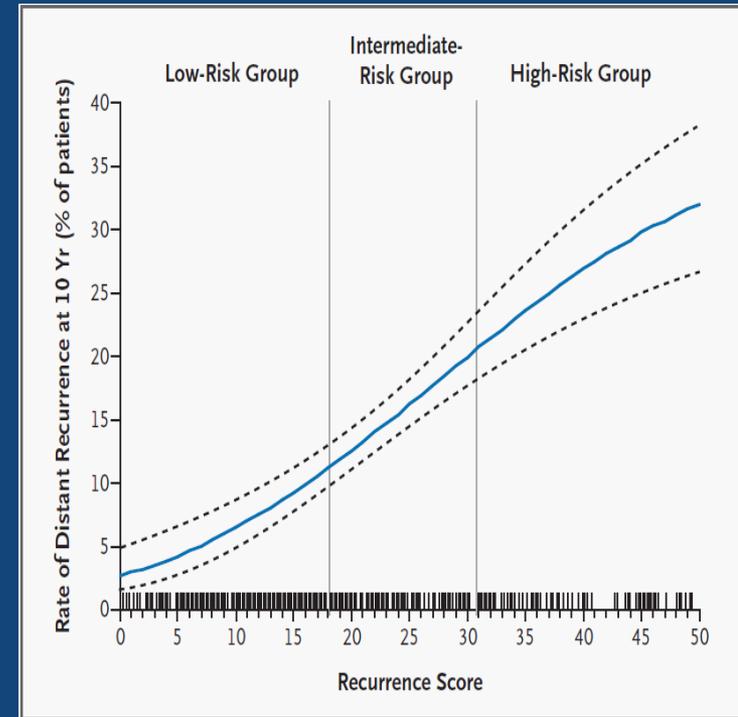
# Prognosis: Prospective Validation of 21-Gene Assay (B14)

(N=668 ER+, node-neg - tamoxifen x 5 years enrolled between January, 1982- October 1988)

## 10-year Distant recurrence rate - RS group



## 10-year Distant recurrence rate - RS continuous



Multivariate cox model with distant recurrence as outcome revealed a statistically significant association for RS that was **independent of age and tumor size**

# TAILORx Methods: Treatment Assignment & Randomization

Accrued between April 2006 – October 2010

## Key Eligibility Criteria

- Node-negative
- ER-pos, HER2-neg
- T1c-T2 (high-risk T1b)

Preregister - Oncotype DX RS (N=11,232)



Register (N=10,273)

## Statistical Design

- Non-inferiority - IDFS
- HR 1.332 (90 vs. 87% 5-yr DFS)
- Type I 10%, type II 5%
- Full info– 835 IDFS events

ARM A: Low RS 0-10

(N=1629 evaluable)

ASSIGN

Endocrine Therapy (ET)

Mid-Range RS 11-25

(N=6711 evaluable)

**RANDOMIZE**

Stratification Factors: Menopausal Status, Planned Chemotherapy, Planned Radiation, and RS 11-15, 16-20, 21-25

ARM D: High RS 26-100

(N=1389 evaluable)

ASSIGN

ET + Chemo

## RS = 11 (B14 Study)

- 7.3% distant recurrence rate at 10 years
- 95% CI 5%, 10%

ARM B: Experimental Arm

(N=3399)

ET Alone

ARM C: Standard Arm

(N=3312)

ET + Chemo

## RS= 25 (B14 Study)

- 16.1% distant recurrence rate at 10 years
- 95% CI 13%, 20%

## RS 11-25 (B20 Study):

5% distant recurrence rate at 10 years

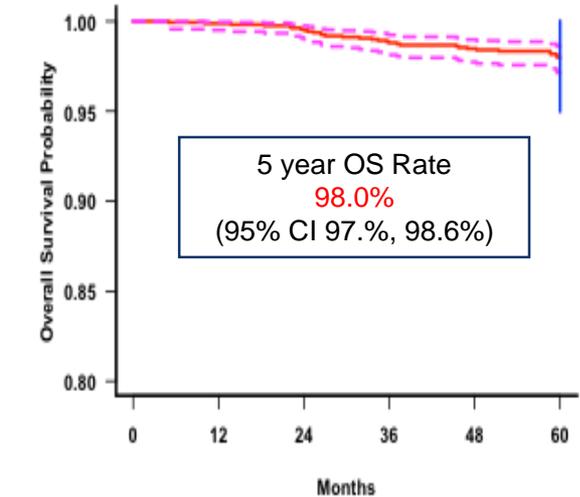
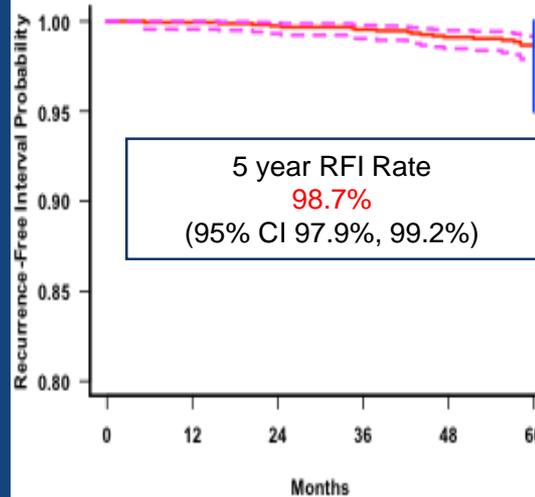
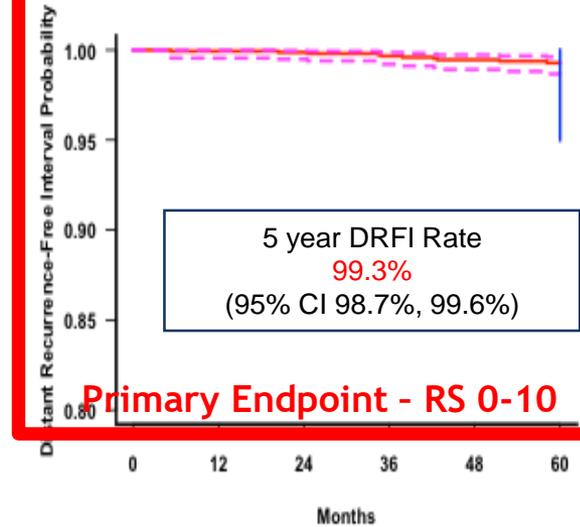
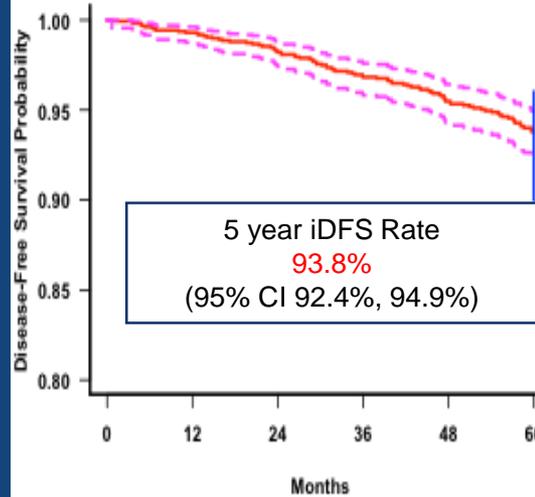
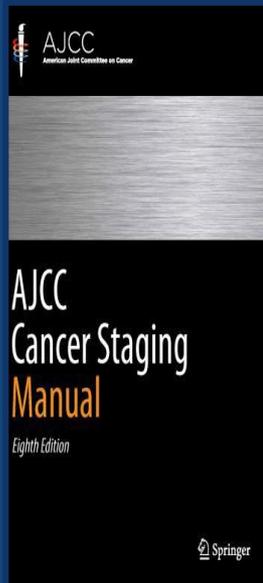
# TAILORx Low Risk Registry: RS 0-10 - Endocrine Therapy Alone

The NEW ENGLAND JOURNAL of MEDICINE

ORIGINAL ARTICLE

## Prospective Validation of a 21-Gene Expression Assay in Breast Cancer

J.A. Sparano, R.J. Gray, D.F. Makower, K.I. Pritchard, K.S. Albain, D.F. Hayes, C.E. Geyer, Jr., E.C. Dees, E.A. Perez, J.A. Olson, J.A. Zujewski, T. Lively, S.S. Badve, T.J. Saphner, L.I. Wagner, T.J. Whelan, M.J. Ellis, S. Paik, W.C. Wood, P. Ravdin, M.M. Keane, H.L. Gomez Moreno, P.S. Reddy, T.F. Goggins, I.A. Mayer, A.M. Brufsky, D.L. Toppmeyer, V.G. Kaklamani, J.N. Atkins, J.L. Berenberg, and G.W. Sledge



Sparano et al. N Eng J Med 2015



The NEW ENGLAND JOURNAL of MEDICINE



ORIGINAL ARTICLE

# Adjuvant Chemotherapy Guided by a 21-Gene Expression Assay in Breast Cancer

J.A. Sparano, R.J. Gray, D.F. Makower, K.I. Pritchard, K.S. Albain, D.F. Hayes, C.E. Geyer, Jr., E.C. Dees, M.P. Goetz, J.A. Olson, Jr., T. Lively, S.S. Badve, T.J. Saphner, L.I. Wagner, T.J. Whelan, M.J. Ellis, S. Paik, W.C. Wood, P.M. Ravdin, M.M. Keane, H.L. Gomez Moreno, P.S. Reddy, T.F. Goggins, I.A. Mayer, A.M. Brufsky, D.L. Toppmeyer, V.G. Kaklamani, J.L. Berenberg, J. Abrams, and G.W. Sledge, Jr.\*

**ECOG-ACRIN**  
cancer research group

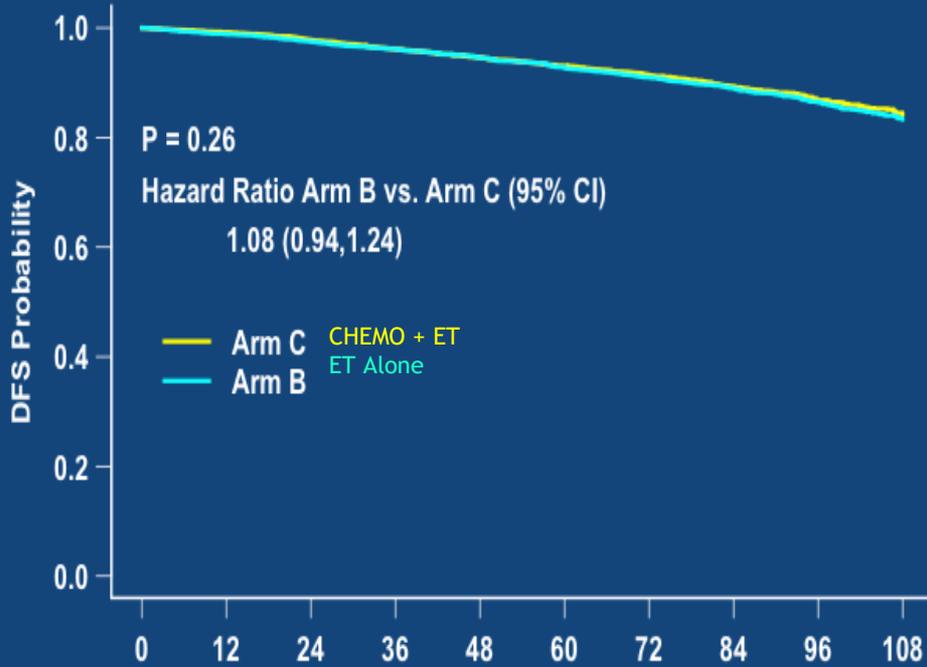
Reshaping the future of patient care

Research Advocacy Network

# TAILORx Results - ITT Population: RS 11-25 (Arms B & C)

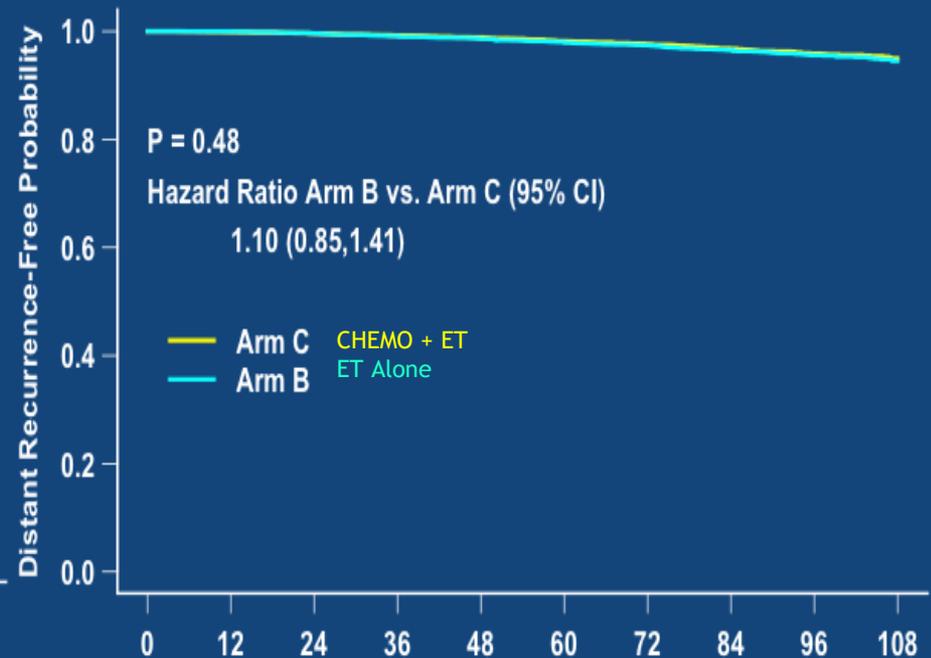
836 IDFS events (after median of 7.5 years), including 338 (40.3%) with recurrence as first event, of which 199 (23.8%) were distant

## Primary Endpoint Invasive Disease-Free Survival



| Number at risk     | Months |      |      |      |      |      |      |      |      |     |
|--------------------|--------|------|------|------|------|------|------|------|------|-----|
|                    | 0      | 12   | 24   | 36   | 48   | 60   | 72   | 84   | 96   | 108 |
| — Arm C CHEMO + ET | 3312   | 3204 | 3104 | 2993 | 2849 | 2645 | 2335 | 1781 | 1130 | 523 |
| — Arm B ET Alone   | 3399   | 3293 | 3194 | 3081 | 2953 | 2741 | 2431 | 1859 | 1197 | 537 |

## Secondary Endpoint Distant Relapse-Free Interval



| Number at risk     | Months |      |      |      |      |      |      |      |      |     |
|--------------------|--------|------|------|------|------|------|------|------|------|-----|
|                    | 0      | 12   | 24   | 36   | 48   | 60   | 72   | 84   | 96   | 108 |
| — Arm C CHEMO + ET | 3312   | 3215 | 3142 | 3059 | 2935 | 2734 | 2432 | 1866 | 1197 | 554 |
| — Arm B ET Alone   | 3399   | 3318 | 3239 | 3147 | 3033 | 2833 | 2537 | 1947 | 1267 | 581 |

# TAILORx Subgroup Analysis - 50 or Younger: Chemotherapy Associated with Fewer Earlier & Later Distant Recurrences within RS 16-25 Range (Especially 21-25)

Freedom from recurrence of breast cancer at  
a distant site

**5 Years**

**9 Years**

Score of  $\leq 10$ , endocrine therapy

99.7 $\pm$ 0.3

98.5 $\pm$ 0.8

Score of 11–15, endocrine therapy

98.8 $\pm$ 0.6

97.2 $\pm$ 1.0

Score of 11–15, chemoendocrine therapy

98.5 $\pm$ 0.7

98.0 $\pm$ 0.8

Score of 16–20, endocrine therapy

**$\Delta$  0.8%**

98.1 $\pm$ 0.7

**$\Delta$  1.6%**

93.6 $\pm$ 1.4

Score of 16–20, chemoendocrine therapy

98.9 $\pm$ 0.5

95.2 $\pm$ 1.3

Score of 21–25, endocrine therapy

**$\Delta$  3.2%**

93.2 $\pm$ 1.7

**$\Delta$  6.5%**

86.9 $\pm$ 2.9

Score of 21–25, chemoendocrine therapy

96.4 $\pm$ 1.2

93.4 $\pm$ 2.3

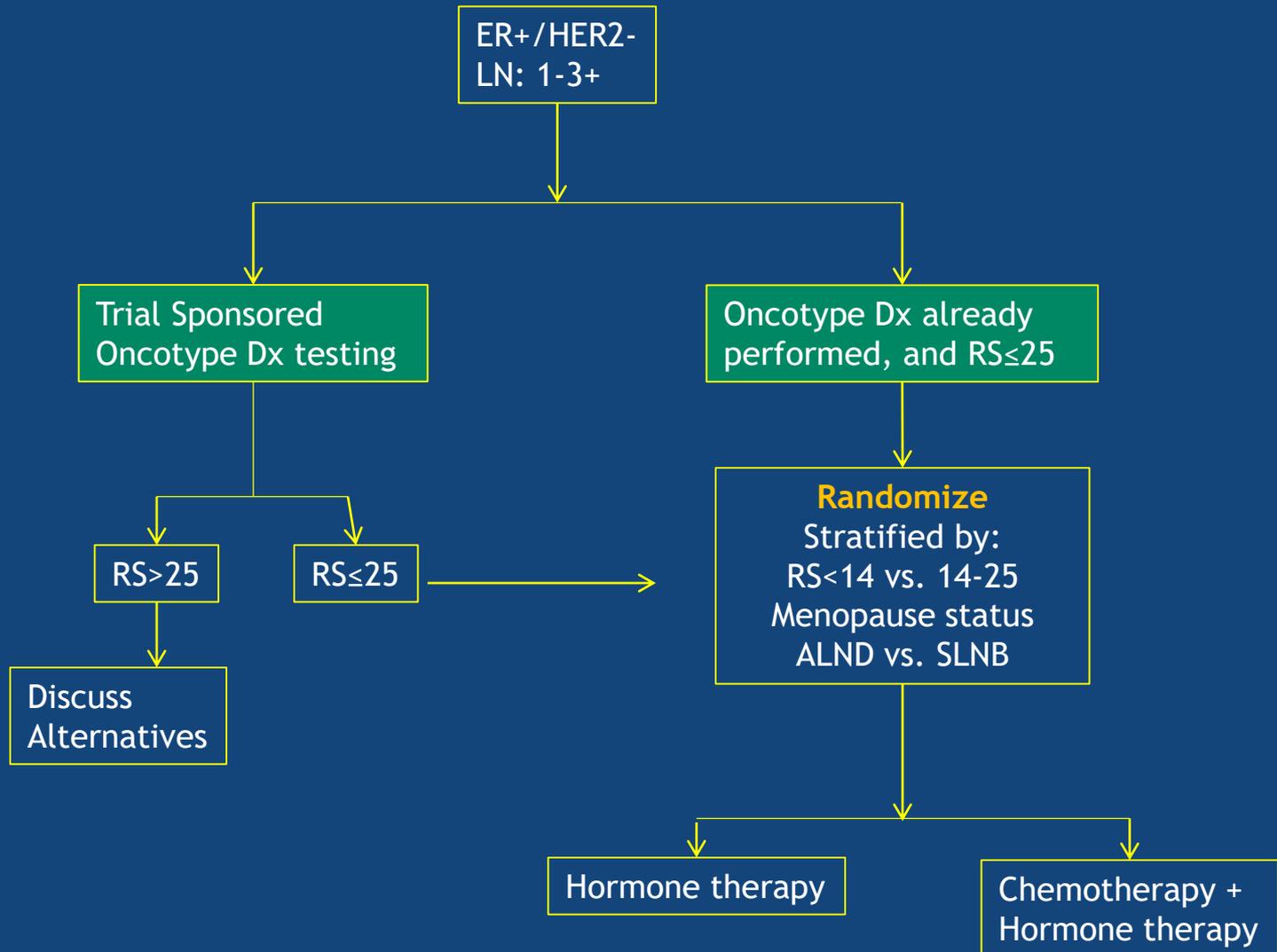
Score of  $\geq 26$ , chemoendocrine therapy

91.1 $\pm$ 1.6

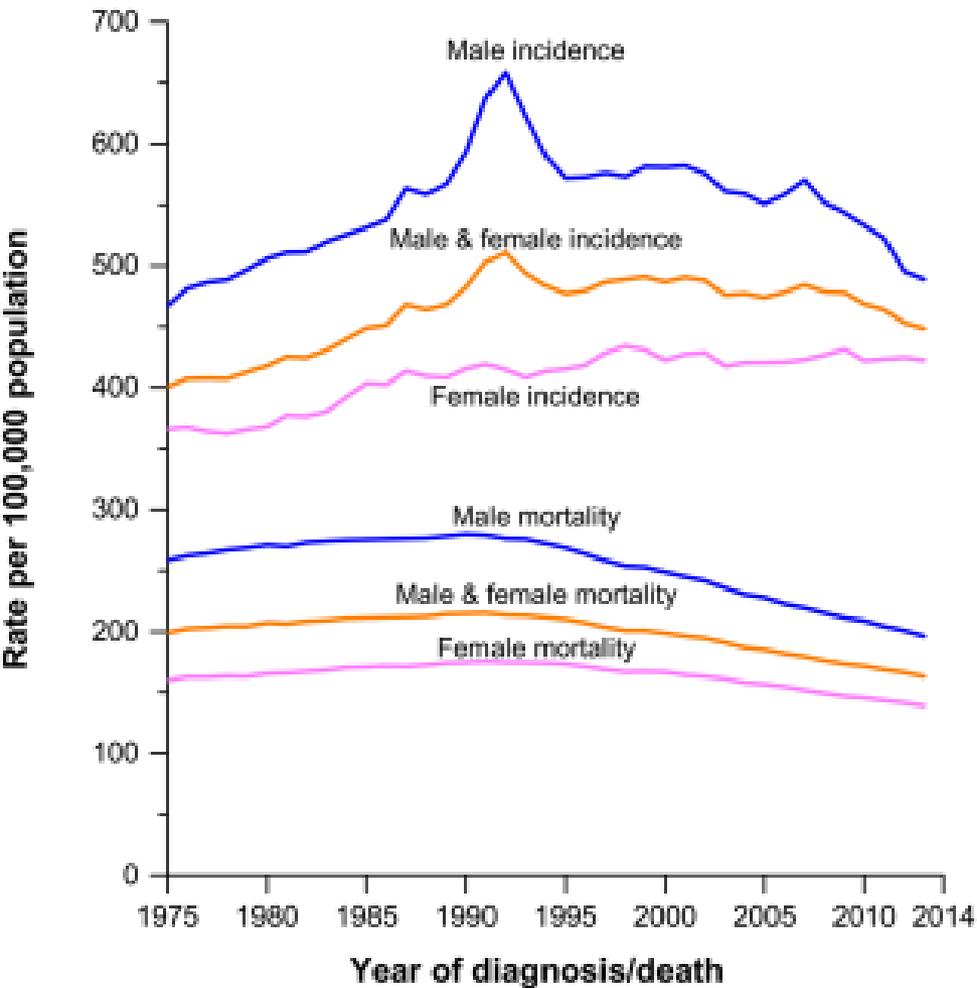
88.7 $\pm$ 2.1

# RxPONDER Trial

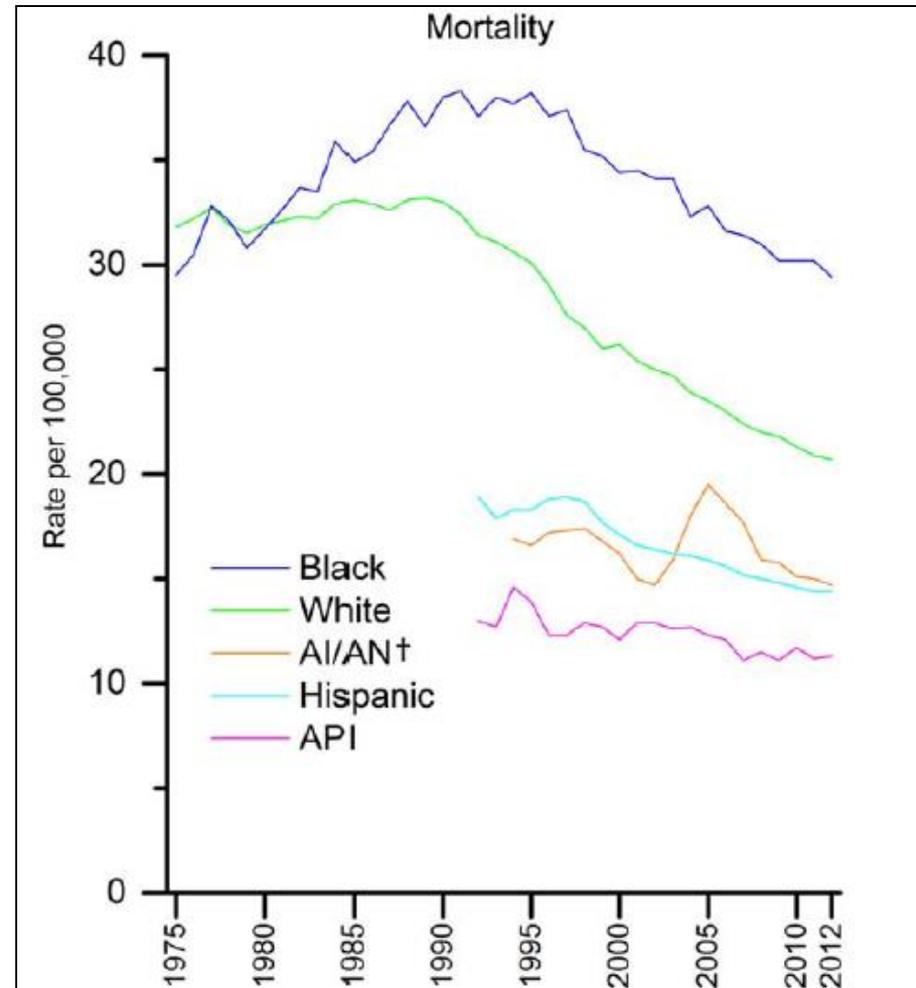
(Accrual completed, awaiting results)



# Cancer Mortality Declining in U.S.



Cancer Incidence and Mortality



Breast Cancer Mortality by Year

# Breast Cancer Symptoms/Diagnosis/Philosophy

- Over 80% of patients with breast cancer are asymptomatic when diagnosed
- Typically diagnosis made on screening mammogram or noticing a new lump
- Needle biopsy of the lump confirms the diagnosis and leads to a specific treatment plan for that particular type of breast cancer
- Important that we strive for **Minimally Effective not Maximally Tolerated treatment**
- Goal for patients to be cured of cancer while avoiding side effects from treatment
- You cannot improve on being asymptomatic from a disease!!!!

# Sequelae of Breast Cancer Treatment

The benefits of current treatment strategies are effective, many cancer survivors are at risk for developing physiologic and psychological late effects of cancer treatment that might lead to premature mortality and morbidity and compromise their quality of life. Psychological symptoms include anxiety, depression, fatigue, difficulty sleeping, and loss of self-esteem. Physiologic changes include pain, numbness, cognitive impairment, weight gain, loss of sexual interest, spontaneous menopause, and peripheral neuropathy. LYMPHEDEMA is a major QOL issue!!

National Lymphedema Network

# Arm symptoms after axillary lymph node surgery

- Pain
- Numbness
- Weakness
- Limitation of range of movement
- Seroma
- Cording(axillary web syndrome)
- Swelling: LYMPHEDEMA

# WHAT IS LYMPHEDEMA?

- Lymphedema is a chronic lymphatic disease that results in disfiguring swelling in one or more parts of the body. It can be hereditary (Primary Lymphedema) or it can occur after a surgical procedure, infection, radiation or other physical trauma (Secondary Lymphedema). **In breast cancer, for example, it can appear in the arm on the same side as the cancer, after lymph nodes are removed from the armpit region for cancer staging.** Primary Lymphedema often occurs in the lower extremities. Lymph is the protein-rich body fluid that accumulates when the lymphatic system for fluid transport is damaged

Lymphatic Research and Education Network Website

# PATIENT'S POINT OF VIEW

“LYMPHEDEMA WORSE THAN  
MASTECTOMY”

“I FEAR LYMPHEDEMA  
MORE THAN CANCER”

“LYMPHEDEMA REMINDS ME  
I HAVE CANCER EVERY DAY”



# LYMPHEDEMA: SCOPE OF THE PROBLEM

- 3.1M breast cancer survivors in the US, (NCI estimates >4M by 2024)
- Worldwide: 1.7M women dx with breast cancer annually
- Lymphedema rates

SLNB: 5-7%

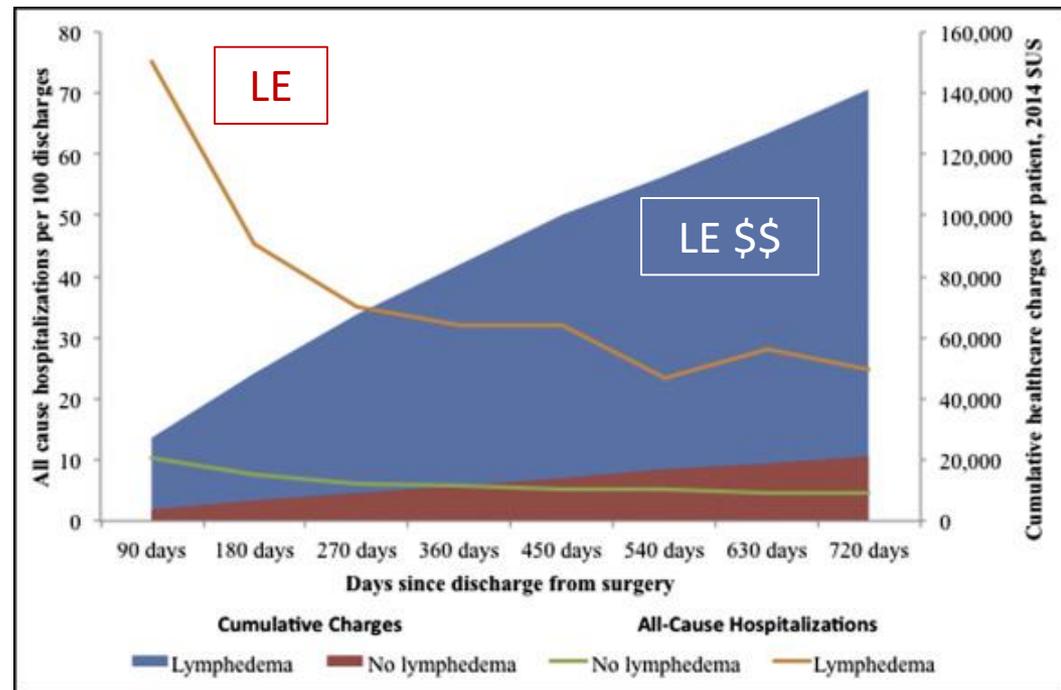
ALND: 15-20%

ART: 10-15%

ALND +XRT: 24-40%

# Complicated breast cancer–related lymphedema: health care resource utilization and associated costs of management

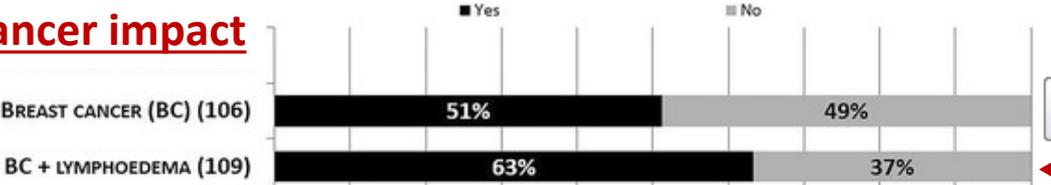
- 56,075 women
- IRR for admission if LE: 5.02 (4.76 to 5.29)
- Health care charges: \$58,088 vs \$31,819,  $p < 0.001$



Two-year standardized all-cause hospitalizations cumulative per patient charges (\$) with and without complicated lymphedema.

# Impact of LE on work and career after breast cancer

**Breast cancer impact**

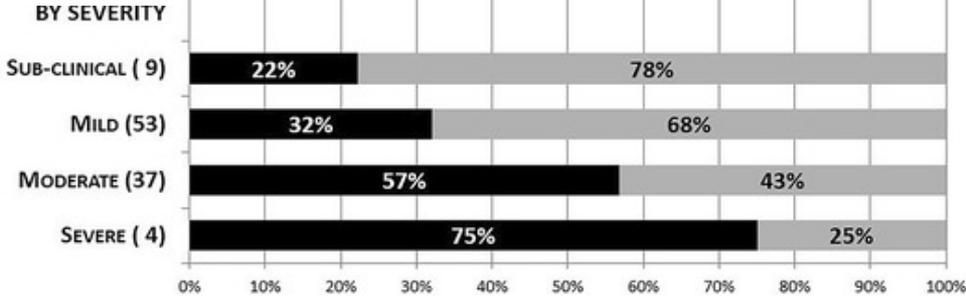


BC + LE

**Lymphedema impact**



**Severity impact**



- Annual number of days off work for subclinical/mild vs moderate/severe LE: 1.4 vs 8.1 (p=0.003)

# OVERVIEW OF LYMPHEDEMA ISSUE

- Major morbidity of breast cancer treatment
- Impacts quality of life and survivorship
- Often life long chronic therapy
- Many patients poorly controlled- infectious complications and secondary malignancy
- Risk factors; number nodes removed, BMI>30 radiation, advanced age, limited ROM, taxol
- Incidence 40% high risk group

# Is lymph node removal important?

- Overall survival-NO
- Disease free survival: loco-regional control
- Prognosis TNM staging
- Guide for systemic treatment-LESS SO
- Complications: lymphedema, chronic pain, shoulder mobility, nerve injury

# Rationale for Cancer Staging

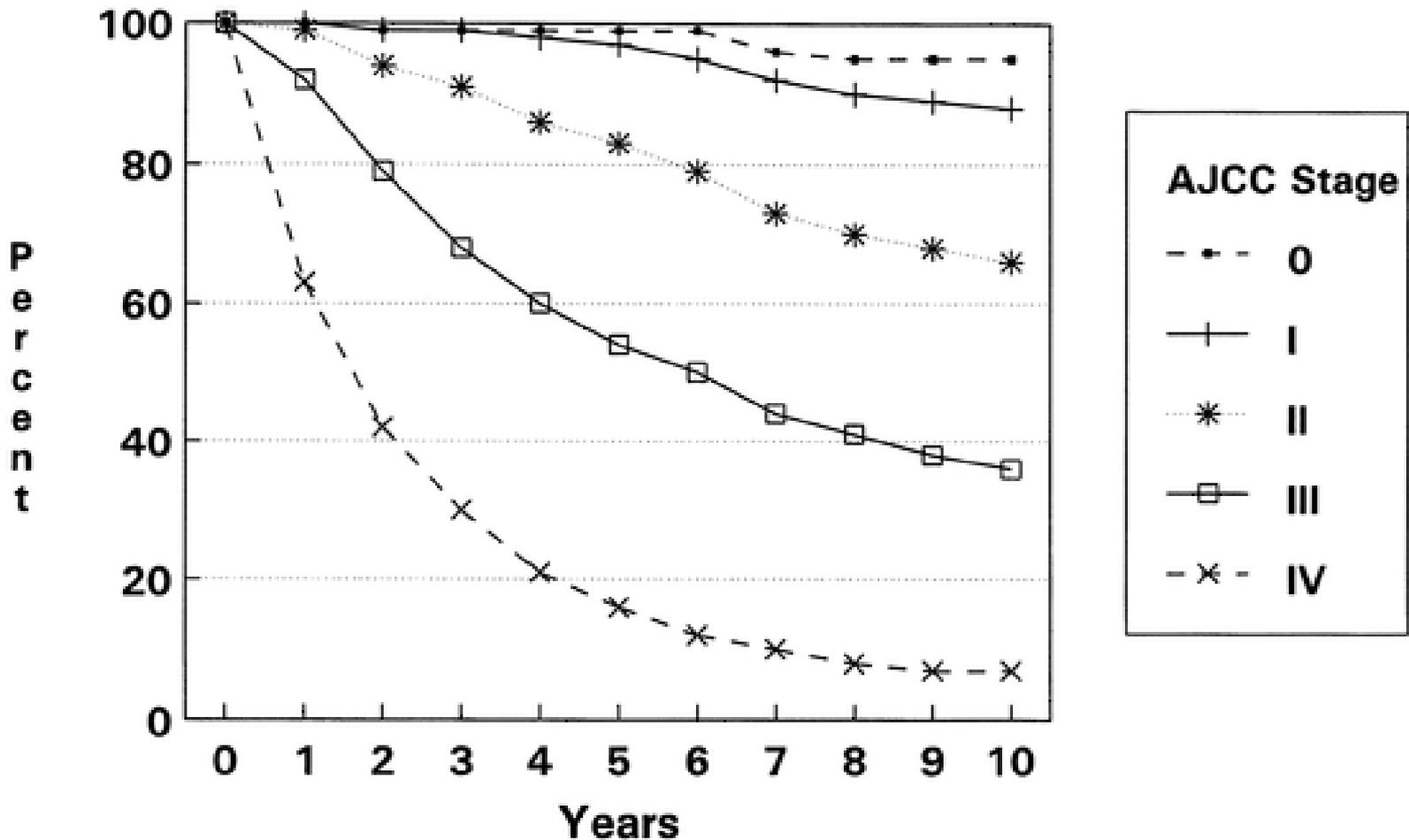
## CLINICAL CARE

- Define extent and prognosis of cancer
- Guide appropriate treatment
- Basis for guidelines(NCCN and others)

## COMMUNICATION ABOUT PATIENT GROUPS

- Population impact of cancer; changes over time
- Group similar cases for clinical trials

# Anatomic stage is a key predictor of cancer outcome; 10 year data NCDB (cancer vol 83,1988)



## ANATOMIC STAGE

CLINICAL  
PATHOLOGICAL  
TNM

Still can used when  
biomarkers and genomic  
scores are not available

## PROGNOSTIC STAGE (PREFERRED)

- BASED ON PATIENTS TREATED WITH ENDOCRINE AND OR SYSTEMIC CHEMOTHERAPY
- TNM
- BIOMARKERS - ER, PR,HER2
- TUMOR GRADE
- GENOMIC SCORE – ONCOTYPE DX

# AJCC 8<sup>th</sup> Edition- NODE POSITIVE- HR+

| T    | N    | M  | G   | HER2 | ER | PR  | PROGNOSTIC STAGE | AJCC 7TH |
|------|------|----|-----|------|----|-----|------------------|----------|
| T2   | N1   | M0 | 1   | -    | +  | +   | 1B               | 2B       |
| T2   | N1   |    | 2   | +    | +  | +   | 1B               | 2B       |
| T0-2 | N2   |    | 1-2 | +    | +  | +   | 1B               | 3A       |
| T3   | N1-2 |    | 1-2 | +    | +  | +   | 1B               | 3A       |
| T0-2 | N2   |    | 1   | -    | +  | +   | 2A               | 3A       |
| Any  | N3   |    | 1   | -    | +  | +   | 3A               | 3C       |
| T2   | N1   |    | 3   | -    | +  | +   | 3B               | 2B       |
| T0-2 | N2   |    | 3   | -    | +  | -   | 3C               | 3A       |
| T0-2 | N2   |    | 3   | -    | -  | +/- | 3C               | 3A       |
| T3   | N1-2 |    | 3   | -    | +  | -   | 3C               | 3A       |
| T3   | N1-2 |    | 3   | -    | -  | +/- | 3C               | 3A       |

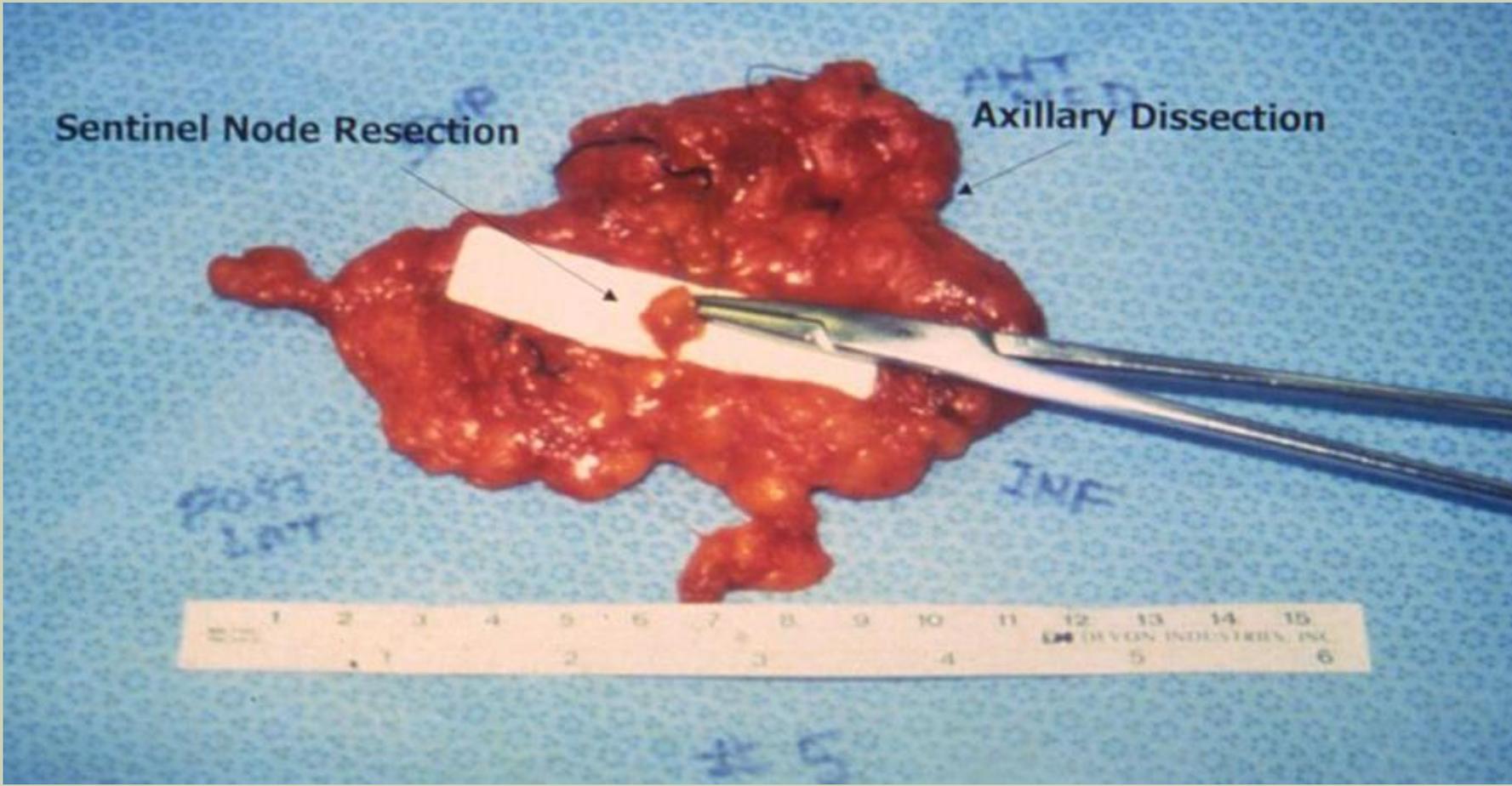
NOTE: IMPACT OF GRADE AND HER 2 NEU  
on Prognostic Stage T3N2 Grade 3 TP= 1B

## Sentinel (Primary) Lymph Node Concept –Breast Cancer–





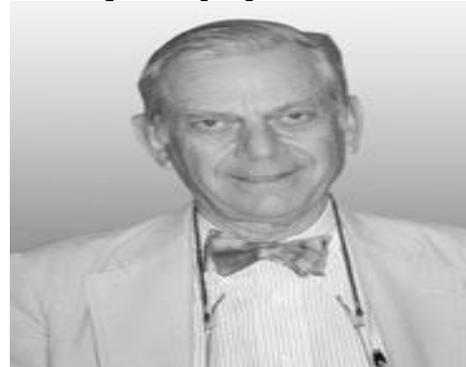
# SENTINEL NODE VS ALND



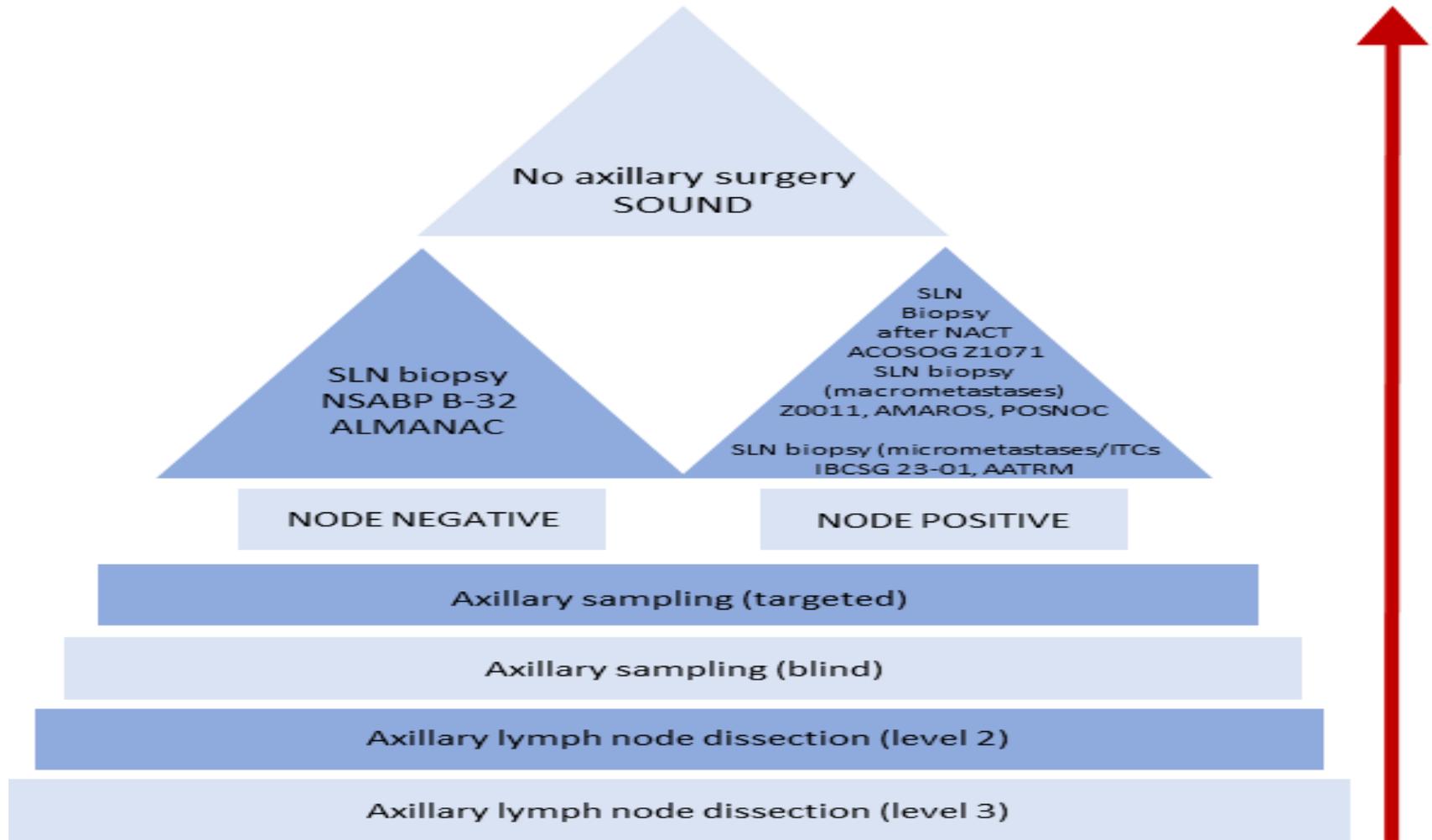
# Dr. BLAKE CADY

LYMPH NODE METASTASES; “INDICATORS NOT GOVERNORS OF SURVIVAL” Arch Surg 1984

“Biology is King; selection of cases is Queen, and the technical details of surgical procedures are princes and princesses of the realm who frequently try to overthrow the powerful forces of the King and Queen, usually to no long-term avail, although with some temporary apparent victories.” 1997



# DE-ESCALATION OF AXILLARY SURGERY



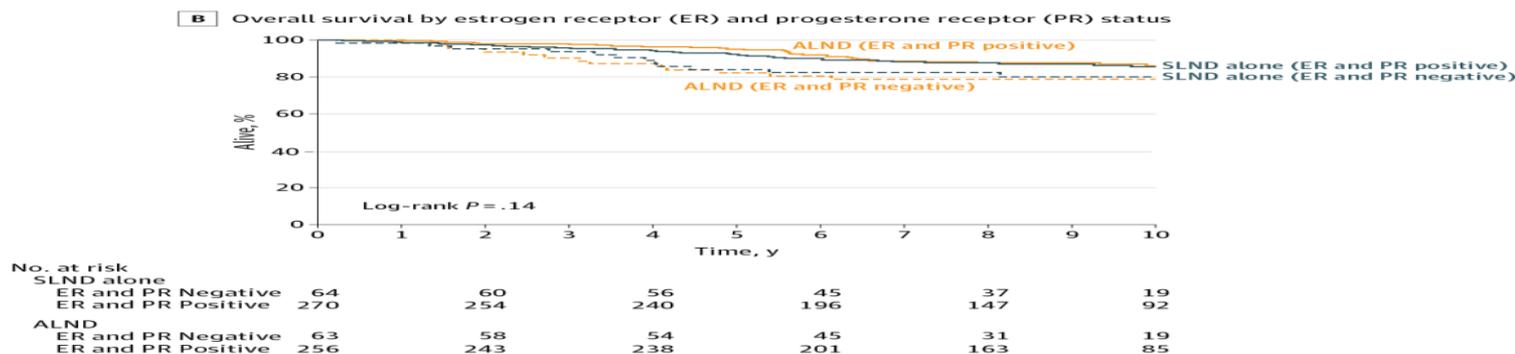
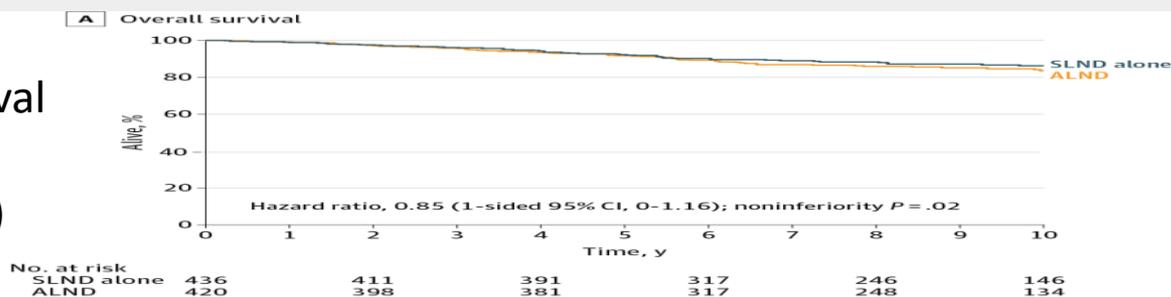
# Sentinel Node Biopsy; Major Improvement!

- Replaces ALND for pts with healthy sent node
- Marked reduction BCRL(5-7%)
- ACOSOG Z11 study: not necessary to do ALND if limited cancer involvement of sent node when pts have lumpectomy surgery since will receive radiation and systemic therapy. 27% of patients have additional lymph nodes with cancer that were not removed and no difference in survival
- NOT yet proven to avoid ALND in patients having mastectomy-since the number of lymph nodes involved with cancer determine the benefit of post-mastectomy radiation

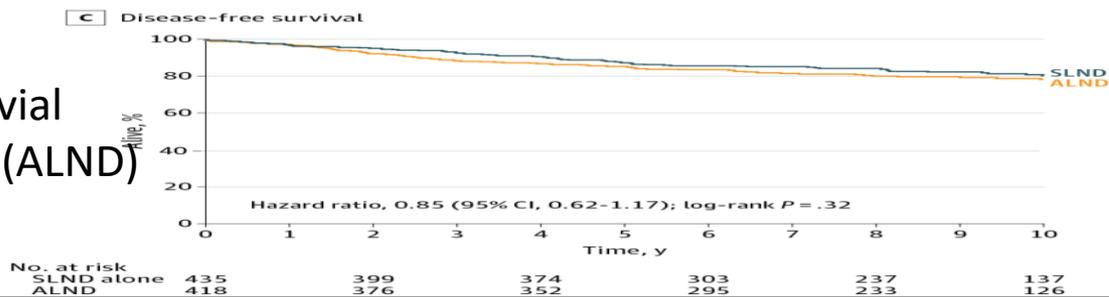
From: **Effect of Axillary Dissection vs No Axillary Dissection on 10-Year Overall Survival Among Women With Invasive Breast Cancer and Sentinel Node Metastasis**The ACOSOG Z0011 (Alliance) Randomized Clinical Trial

JAMA. 2017;318(10):918-926. doi:10.1001/jama.2017.11470

Overall survival  
86.3(SN) vs  
83.6%(ALND)



Disease free survival  
80.2(SN) vs 78.2%(ALND)



# Axillary Surgery Options

- SO PATIENTS MUST UNDERSTAND THAT IF THEY CHOOSE MASTECTOMY OVER LUMPECTOMY THEY ARE INCREASING THE LIKELIHOOD THAT THEY WILL UNDERGO AN ALND WITH AN INCREASED RISK OF DEVELOPING LYMPHEDEMA
- Very relevant point of discussion since mastectomy rates have been increasing among patient who are eligible for breast conservation surgery(lumpectomy)

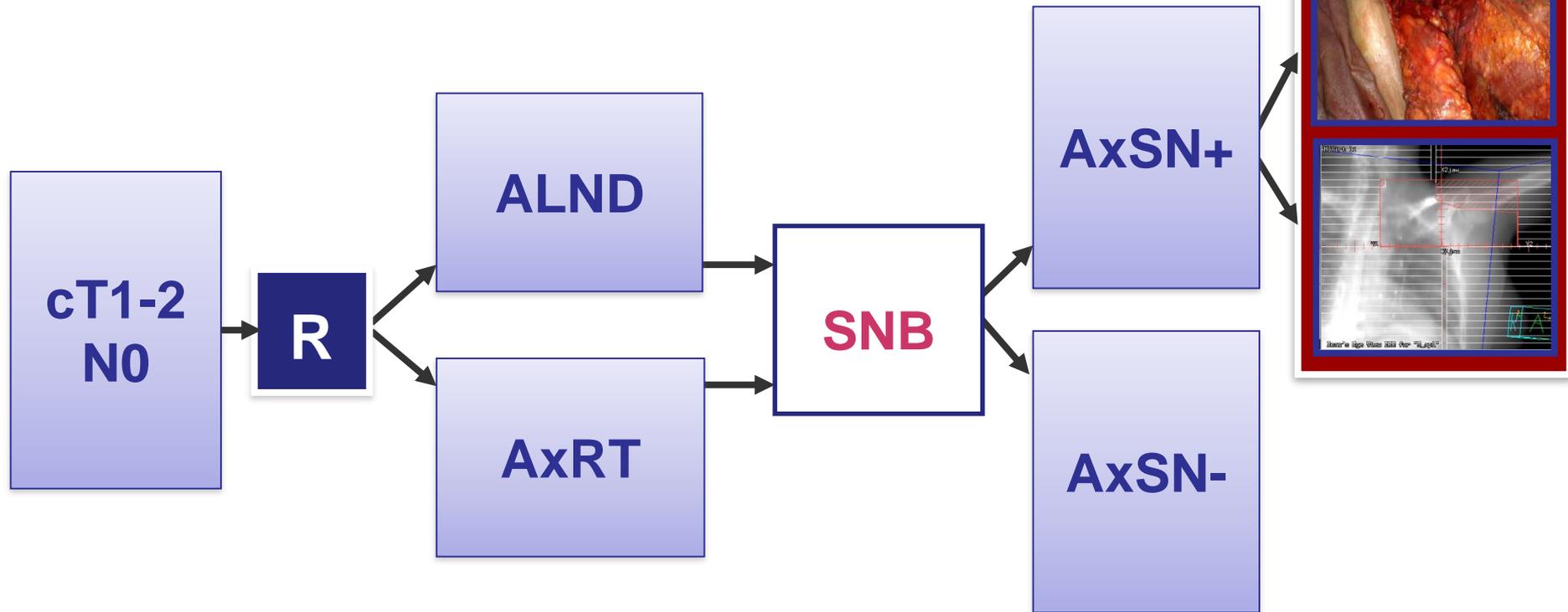
# **Radiotherapy or surgery of the axilla after a positive sentinel node in breast cancer patients: 10-year results of the EORTC AMAROS trial**

By the EORTC Breast Cancer Group and  
Radiation Oncology Group  
In collaboration with the Dutch BOOG Group  
and ALMANAC Trialists' Group

**Emiel J Rutgers**

The Netherlands Cancer Institute,  
Amsterdam

# Trial design

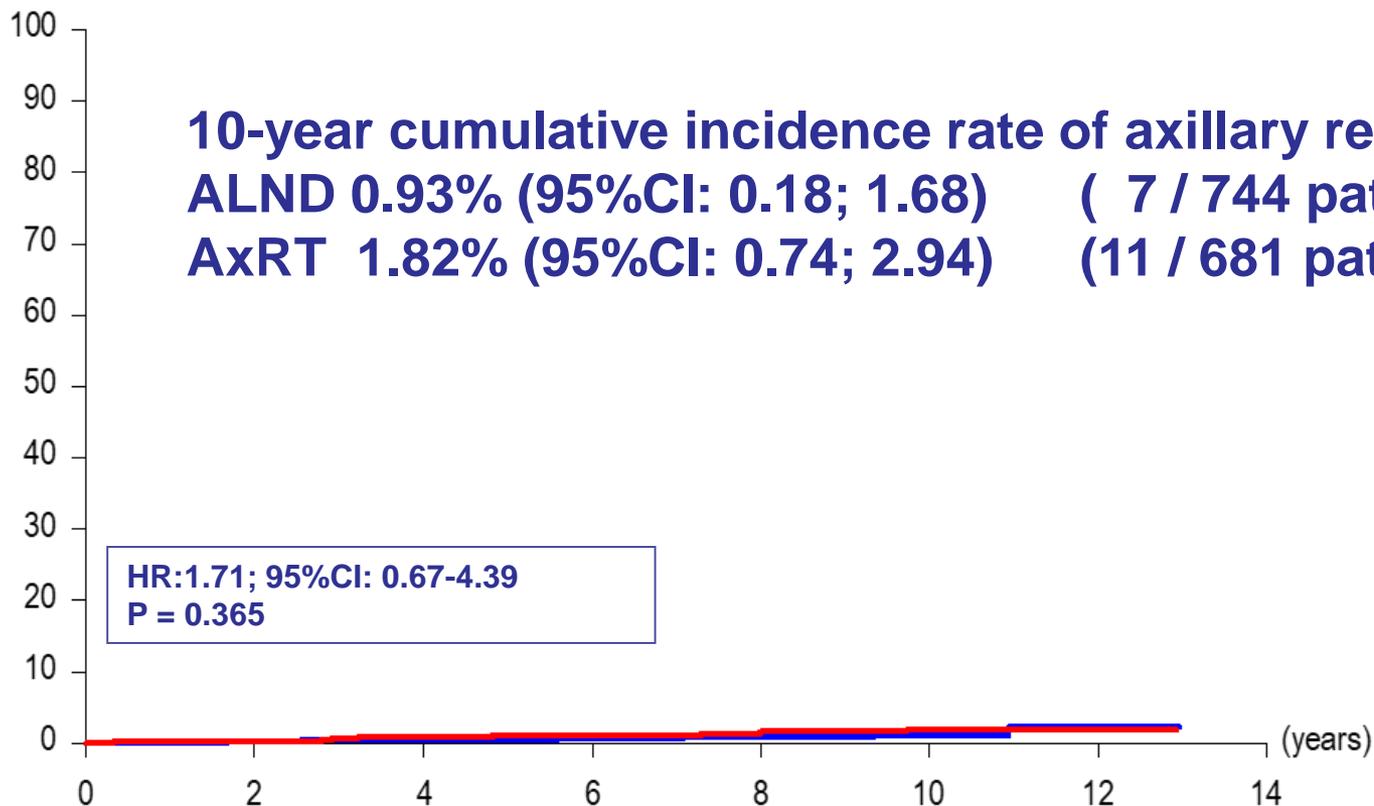


Stratification: institution

Adjuvant systemic therapy by choice

# Axillary recurrence rate

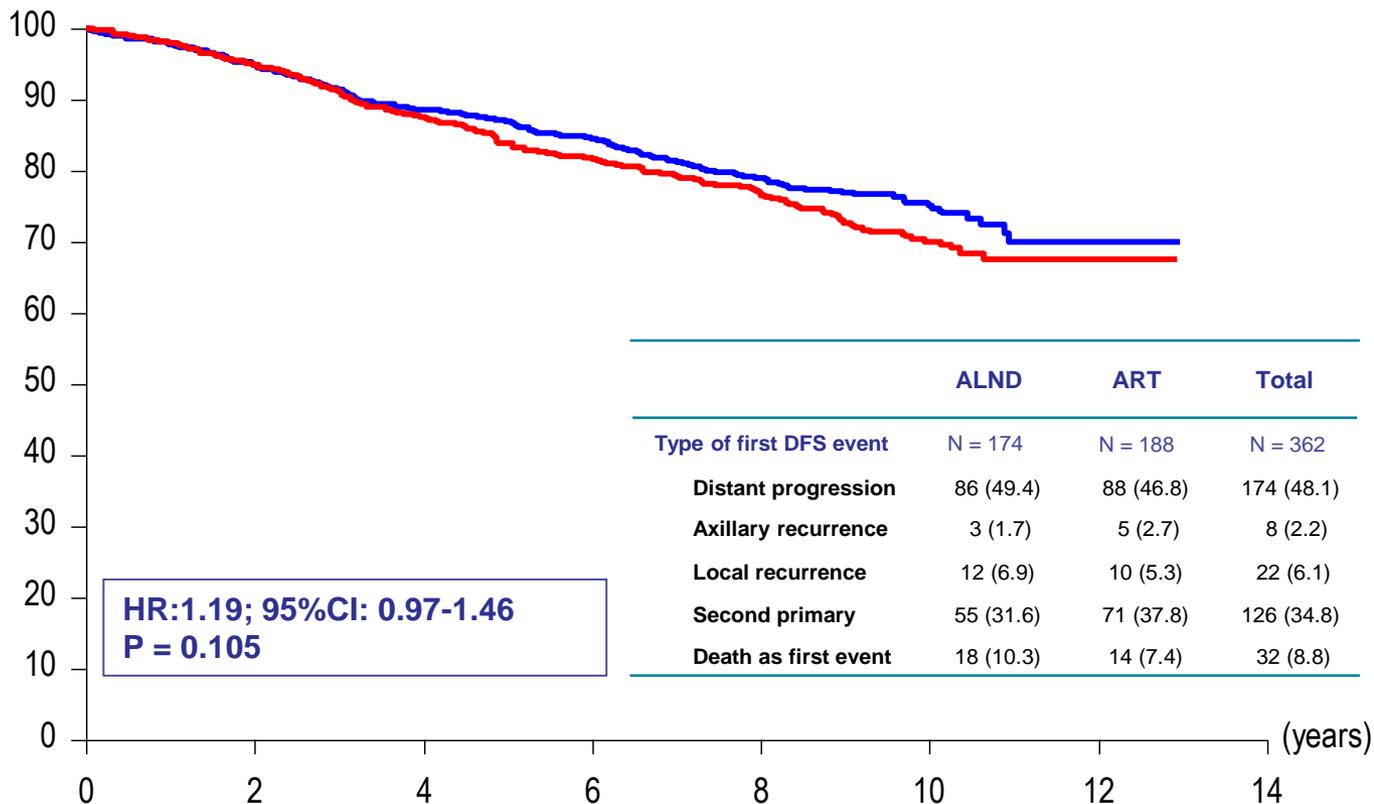
## AxSN+ ITT population



| O  | N   | Number of patients at risk : |     |     |     |     |   |   |      |
|----|-----|------------------------------|-----|-----|-----|-----|---|---|------|
| 7  | 744 | 716                          | 683 | 614 | 518 | 298 | 8 | — | ALND |
| 11 | 681 | 667                          | 631 | 569 | 476 | 278 | 8 | — | ART  |

# Disease-free survival

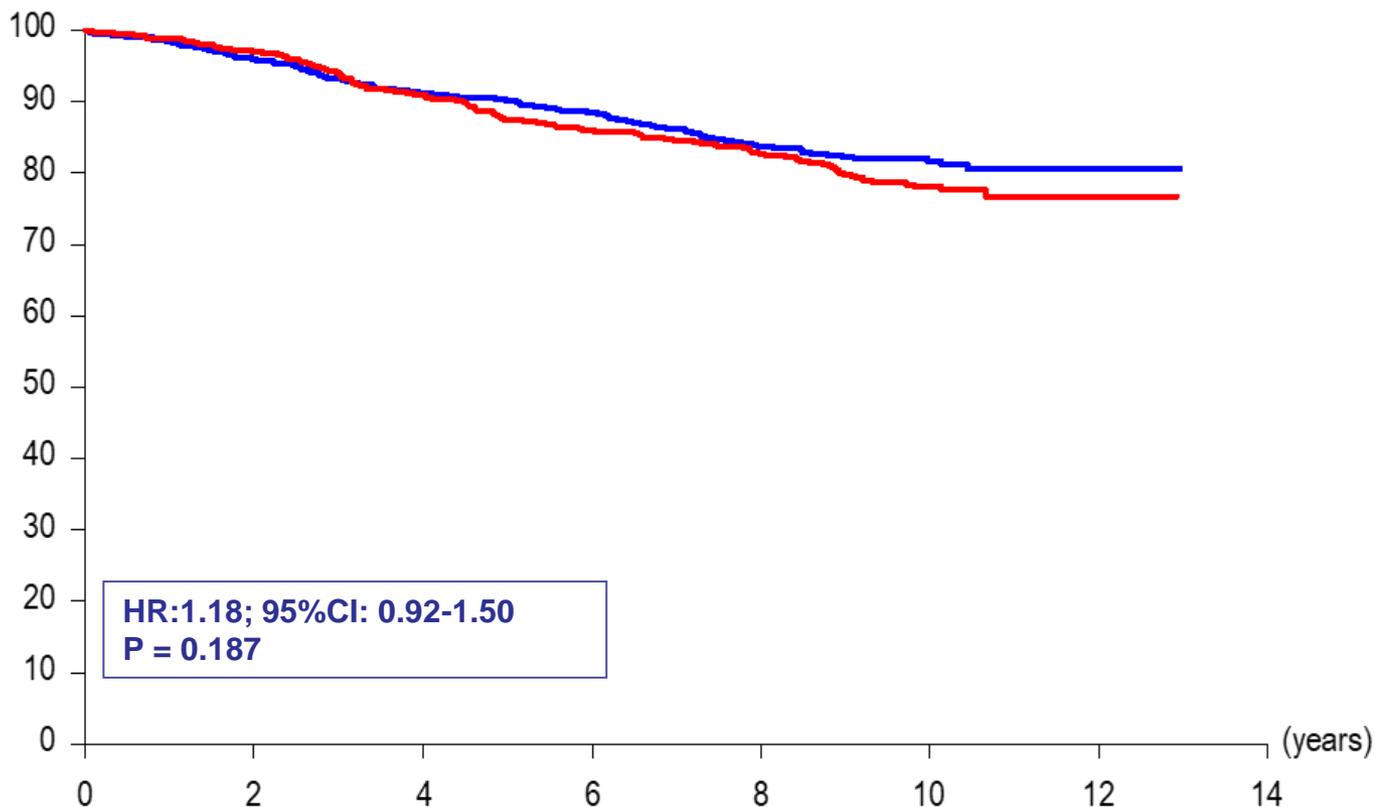
## AxSN+ ITT population



| O   | N   | Number of patients at risk : |     |     |     |     |   |        |
|-----|-----|------------------------------|-----|-----|-----|-----|---|--------|
| 174 | 744 | 695                          | 639 | 566 | 471 | 269 | 7 | — ALND |
| 188 | 681 | 641                          | 586 | 516 | 427 | 243 | 7 | — ART  |

# Distant metastasis free survival

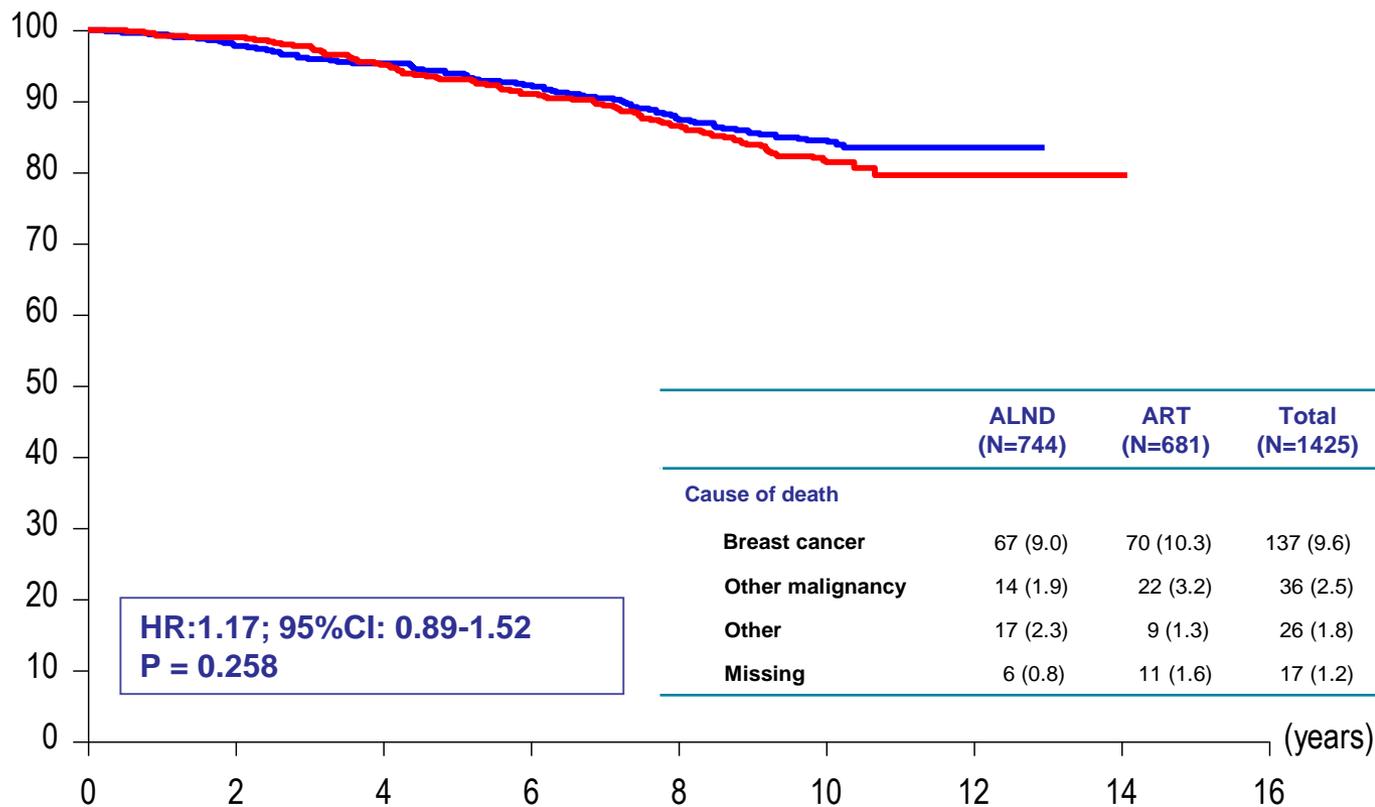
AxSN+ ITT population



| O   | N   | Number of patients at risk : |     |     |     |     |   |        |
|-----|-----|------------------------------|-----|-----|-----|-----|---|--------|
| 126 | 744 | 703                          | 658 | 592 | 498 | 287 | 7 | — ALND |
| 137 | 681 | 655                          | 609 | 544 | 459 | 268 | 7 | — ART  |

# Overall survival

## AxSN+ ITT population



| O   | N   | Number of patients at risk : |     |     |     |     |   |   |        |  |  |
|-----|-----|------------------------------|-----|-----|-----|-----|---|---|--------|--|--|
| 104 | 744 | 717                          | 685 | 617 | 520 | 299 | 8 | 0 | — ALND |  |  |
| 112 | 681 | 669                          | 633 | 571 | 479 | 280 | 9 | 1 | — ART  |  |  |

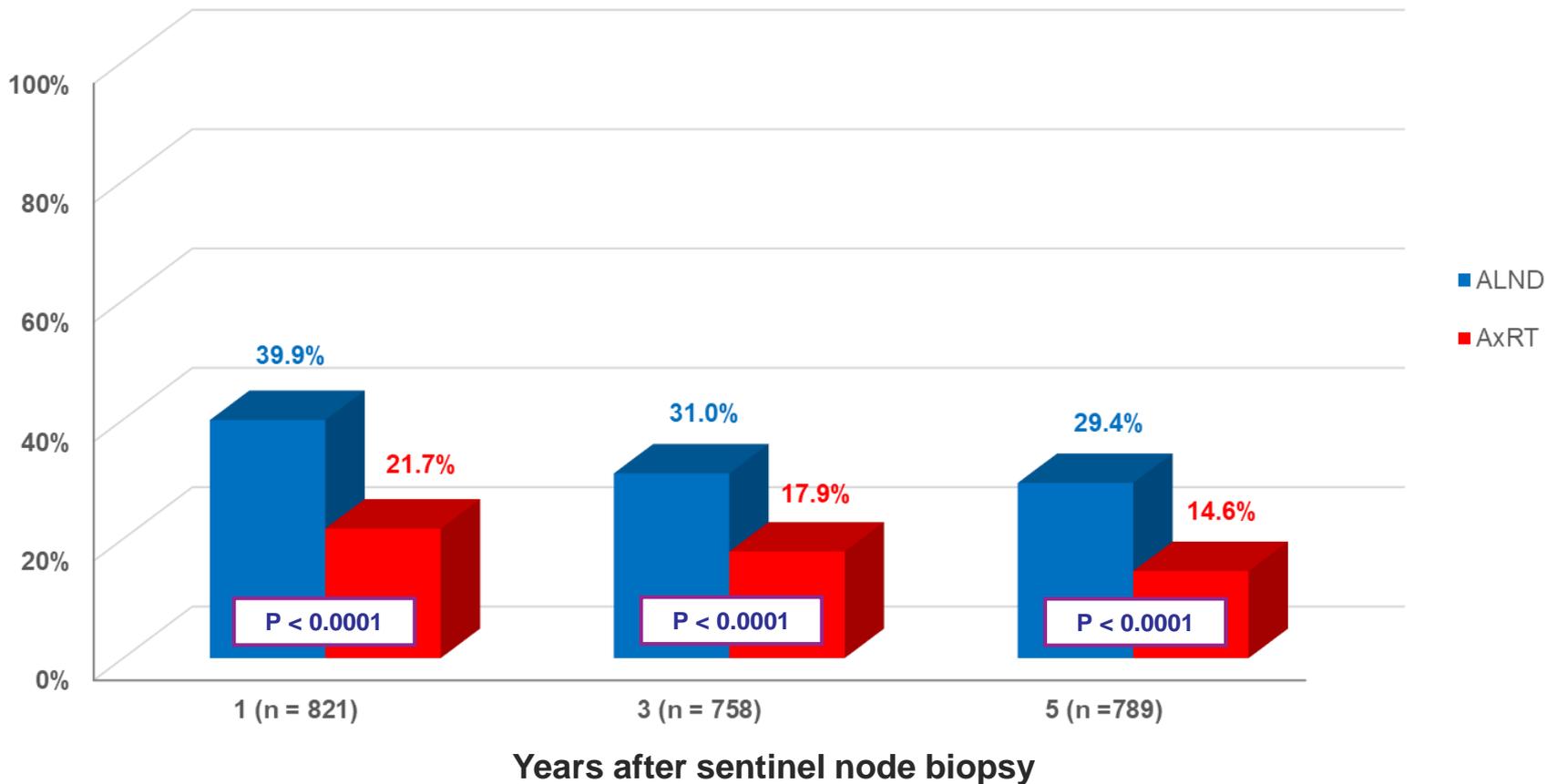
# Lymphedema of the arm

**Measured:** 1, 3 and 5 years after treatment

**Items:**

1. Clinical observation
2. Measurement

# Lymphedema: clinical observation and/or treatment



# Conclusion

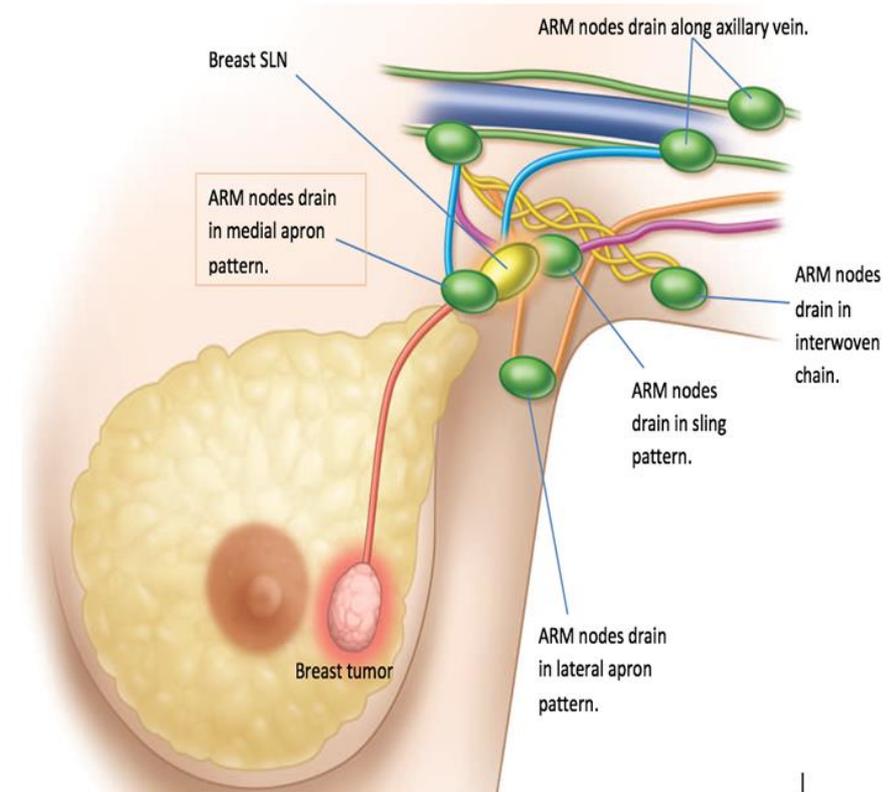
- Both ALND and AxRT provide excellent and comparable locoregional control in AxSN+ patients after 10 years, and no differences in DFS and OS
- Diagnosis of axillary lymph node recurrence after 5 yrs is a very rare event
- Significantly less lymphedema after AxRT after 5 years

# Conclusion

- AxRT can be considered standard treatment for patients with Amaros eligibility criteria
- Too few mastectomy patients for statistical significance but likely applies
- Radiation fields used more extensive than current approach

# The concept of axillary reverse mapping(ARM)

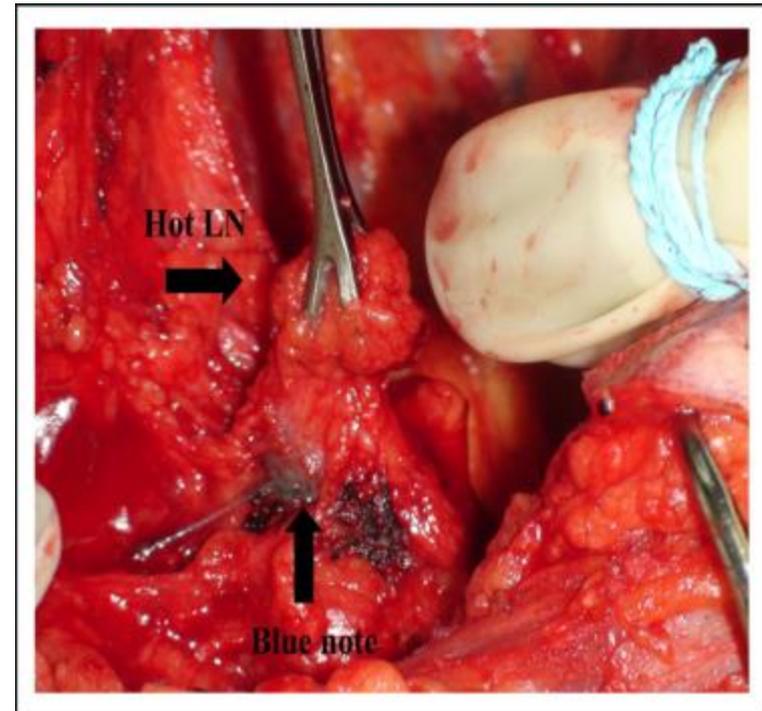
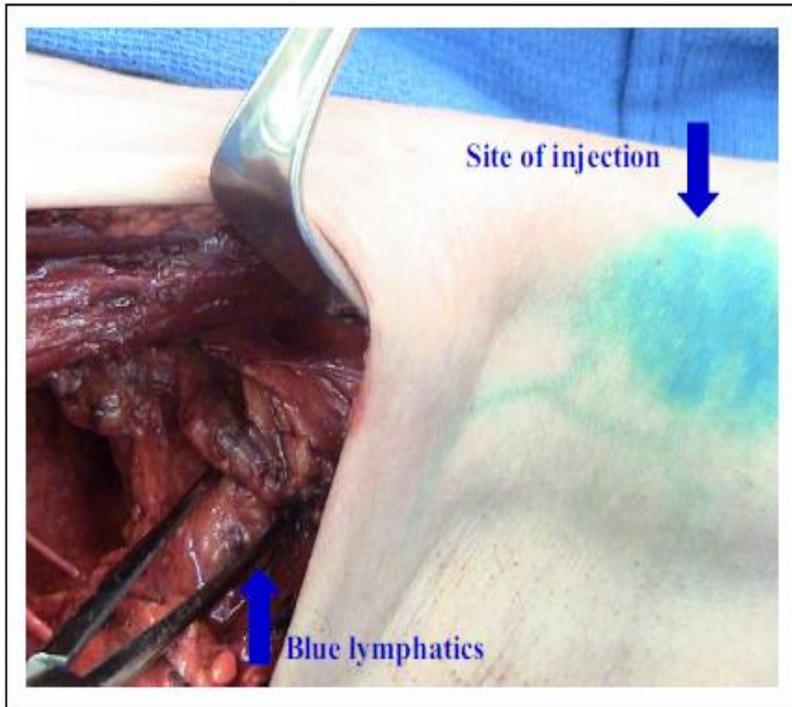
- Involves mapping the lymphatic drainage from the upper extremity, determine anatomic variation and ensure preservation
- Reverse mapping – blue dye, radioisotope or ICG



Five variations in upper extremity lymphatic drainage as demonstrated by Axillary Reverse Mapping (ARM) and their relationship to the breast sentinel lymph node (SLN).



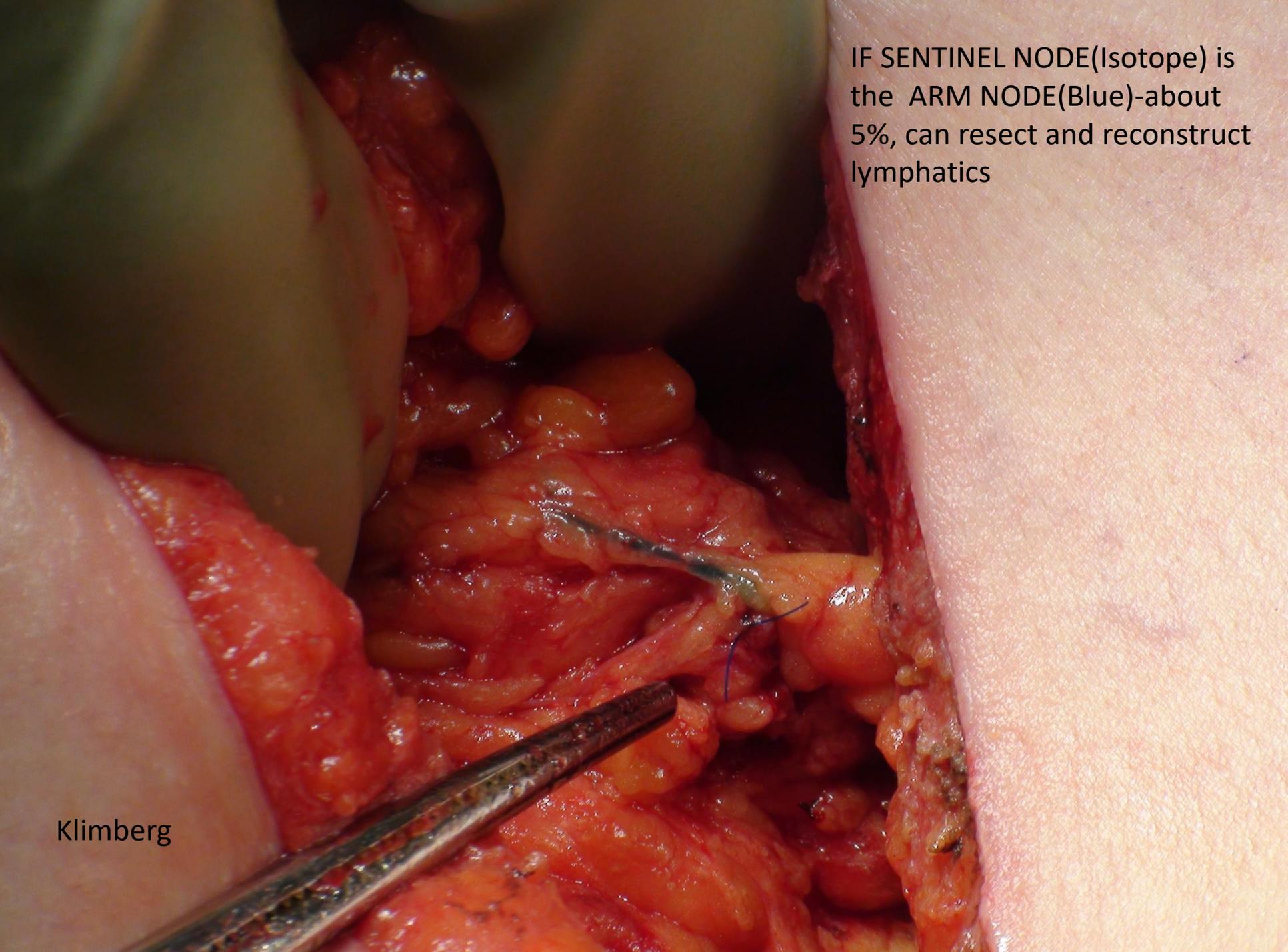
# Axillary Reverse Mapping



- ARM, preserves upper extremity lymphatics
- Avoid inadvertent injury to arm related nodes

IF SENTINEL NODE(Isotope) is  
the ARM NODE(Blue)-about  
5%, can resect and reconstruct  
lymphatics

Klimberg

An intraoperative photograph showing a surgical dissection of lymphatic tissue. The surgical field is filled with reddish, fatty lymphatic nodes. A pair of surgical forceps is visible in the lower-left corner, holding a small, pale lymph node. A thin blue suture is visible, likely used for marking or reconstruction. The surrounding tissue is bright red, indicating exposed muscle or connective tissue.

# EVOLUTION OF LYMPHA

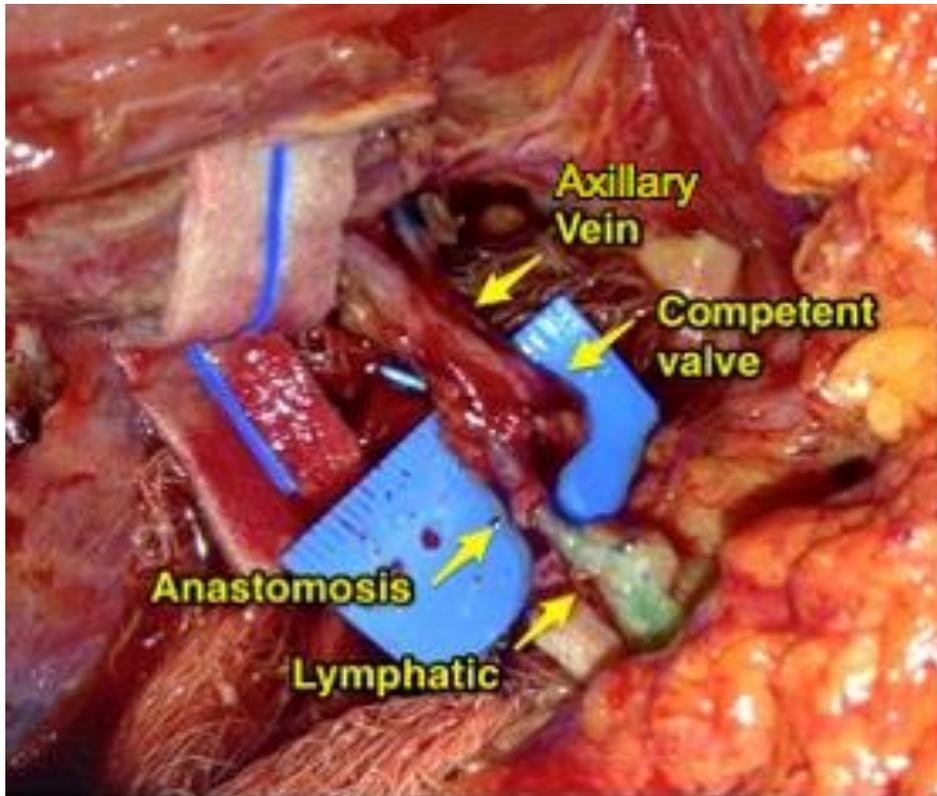
## Single Institution Experience with Lymphatic Microsurgical Preventive Healing Approach (LYMPHA) for the Primary Prevention of Lymphedema

**Sheldon Feldman, MD<sup>1</sup>, Hannah Bansil, MD<sup>1</sup>, Jeffrey Ascherman, MD<sup>2</sup>, Robert Grant, MD<sup>2</sup>, Billie Borden, BA<sup>3</sup>, Peter Henderson, MD<sup>2</sup>, Adewuni Ojo, MD<sup>1</sup>, Bret Taback, MD<sup>1</sup>, Margaret Chen, MD<sup>1</sup>, Preya Ananthakrishnan, MD<sup>1</sup>, Amiya Vaz, BA<sup>1</sup>, Fatih Balci, MD<sup>1,5</sup>, Chaitanya R. Divgi, MD<sup>4</sup>, David Leung, MD<sup>4</sup>, and Christine Rohde, MD<sup>2</sup>**

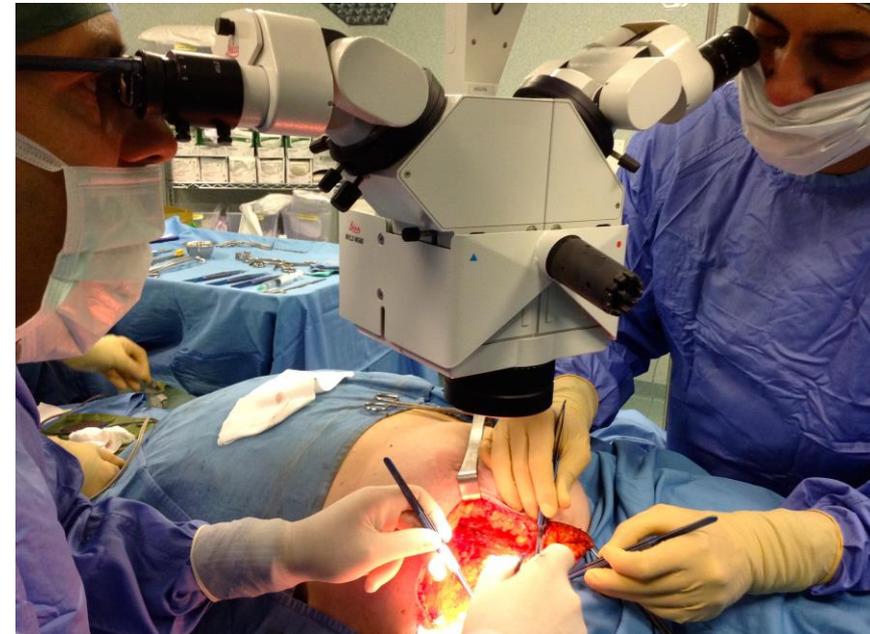
<sup>1</sup>Division of Breast Surgery, Columbia University Medical Center, New York-Presbyterian Hospital, Columbia University, New York, NY; <sup>2</sup>Division of Plastic Surgery, Columbia University Medical Center, New York-Presbyterian Hospital, Columbia University, New York, NY; <sup>3</sup>Columbia University College of Physicians and Surgeons, New York, NY; <sup>4</sup>Department of Radiology, Columbia University Medical Center, New York-Presbyterian Hospital, Columbia University, New York, NY; <sup>5</sup>Department of Surgery, Atakent Hospital, Acibadem University, Istanbul, Turkey

**2015** – **Feldman, Bansil, et. al.** report Columbia's experience with LYMPHA in the *Annals of Surgical Oncology*.<sup>25</sup>

# LYMPHA PROCEDURE



- Average diameter of anastomosed vessels was 1-2 mm. Average 1.5 lymphatics



- LYMPHA added about 45 minutes of OR time.
- No LVA-related complications.



## FIRST COLUMBIA LYMPHA PATIENT:

74yo Woman(Nun)  
Stage 2B Left  
Invasive Lobular  
Carcinoma. Left  
Modified Radical  
Mastectomy with  
Implant: Feb 2013

Severe arthritis-  
ambulates with  
walker. Major  
concern mobility  
issues if  
developed  
lymphedema. Arm  
measurements  
and 18 month f/u  
lymphoscintigram  
normal

# Sequence of treatment decision

- Essentially all patients with breast cancer require local therapy(surgery-lumpectomy or mastectomy), axillary nodal evaluation and possible radiation
- Essentially all patients with invasive breast cancer require systemic therapy with anti-estrogen medicine and/or chemotherapy to treat cancer cells that may be spread to organs outside the breast
- KEY QUESTION WHICH GOES FIRST??

# Sequence of treatment decision(cont)

- Based on the subtype of the cancer, size of tumor and lymph node involvement, many patients benefit from systemic therapy prior to surgery (neoadjuvant) for the following reasons:
  - a. Tumor gets smaller or disappears(complete response) so can remove less breast tissue- more normal breast appearance
  - b. Cancer containing axillary lymph nodes can become cancer free allowing avoidance of ALND
  - c. Can assess the effectiveness of the medical treatment

# Complete pathological response by subtype after neoadjuvant chemotherapy

**TABLE 1. Axillary Pathologic Complete Response Rates in Patients With Biopsy-Proven Axillary Lymph Node Metastases After Neoadjuvant Systemic Therapy**

| References                   | No. of Patients | SLNB Success Rate (%) | Axillary pCR (%) | Molecular Subtype (%) |             |             |            |
|------------------------------|-----------------|-----------------------|------------------|-----------------------|-------------|-------------|------------|
|                              |                 |                       |                  | ER + HER2 -           | ER + HER2 + | ER- HER2 +  | ER- HER2 - |
| Mamtami et al <sup>13</sup>  | 195             | 98                    | 49               | 21                    | 70          | 97          | 47         |
| Park et al <sup>14</sup>     | 178             | 95                    | 41               | 24                    | 52          | 52          | 59         |
| Dominici et al <sup>15</sup> | 109             | —                     | —                | —                     | 67          | 79          | —          |
| Boughey et al <sup>16</sup>  | 689             | 93                    | 40               | —                     | —           | —           | —          |
| Yagata et al <sup>17</sup>   | 95              | 85                    | 33               | —                     | —           | —           | —          |
| Newman et al <sup>18</sup>   | 54              | 98                    | 32               | —                     | —           | —           | —          |
| McVeigh et al <sup>19</sup>  | 78              | —                     | 37               | —                     | —           | —           | —          |
| Total [n/N (%)]              | —               | 1067/1144 (93)        | 497/1236 (40)    | 33/148 (22)           | 71/111 (64) | 96/125 (77) | 46/89 (52) |

pCR indicates pathologic complete response; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; SLNB, sentinel lymph node biopsy.

# Current approach lymphedema prevention:

- Less axillary surgery- sentinel node bx, preop chemotherapy for node + patients
- No sentinel node bx if will not effect systemic Rx, SSO choosing wisely- pts >age 70
- Preserve arm nodes with Axillary Reverse mapping technique
- LYMPHA procedure if extensive residual disease requiring complete axillary dissection
- Monitor for pre-clinical volume increase with bioimpedence spectroscopy(L-Dex)
- Patient education and awareness key
- Early physical therapy
- Multidisciplinary team to evaluate patients refractory to conservative management-LVA,LNT,Liposuction

# POSNOC TRIAL-opened 7/2014

- POSITIVE SENTINEL NODE-ADJUVANT THERAPY ALONE VS ADJUVANT THERAPY PLUS AXILLARY CLEARANCE OR AXILLARY RADIATION
- PATIENT HAVING BREAST CONSERVATION WITH 2 OR LESS MACROMETS IN SENTINEL NODE
- ELUCIDATE VALUE OF AXILLARY SPECIFIC TREATMENT IN SETTING OF SYSTEMIC THERAPY

# Surgical treatment after neoadjuvant systemic therapy in young women with breast cancer: Results from a prospective cohort study

Hee Jeong Kim<sup>1,2</sup>, Laura Dominici<sup>1,3</sup>, Shoshana Rosenberg<sup>1</sup>, Linda Ma Pak<sup>1,3</sup>, Phillip D. Poorvu<sup>1</sup>, Kathryn Ruddy<sup>4</sup>, Rulla Tamimi<sup>3</sup>, Lidia Schapira<sup>5</sup>, Steven Come<sup>6</sup>, Jeffrey Peppercorn<sup>7</sup>, Virginia Borges<sup>8</sup>, Ellen Warner<sup>9</sup>, Hilde Vardeh<sup>6</sup>, Laura Collins<sup>6</sup>, Rachel Gaither<sup>1</sup>, Tari King<sup>1,3</sup>, Ann H. Partridge<sup>1</sup>

<sup>1</sup>Dana-Farber Cancer Institute, Boston, MA; <sup>2</sup>Asan Medical Center, Seoul, South Korea; <sup>3</sup>Brigham and Women's Hospital, Boston, MA; <sup>4</sup>Mayo Clinic, Rochester, MN; <sup>5</sup>Stanford University, Palo Alto, CA; <sup>6</sup>Beth Israel Deaconess Medical Center, Boston, MA; <sup>7</sup>Massachusetts General Hospital, Boston, MA; <sup>8</sup>University of Colorado Cancer Center, Aurora, CO; <sup>9</sup>Sunnybrook Health Science center, Toronto, ONT



# Background

- Randomized controlled trials (RCTs) have demonstrated that eligibility for breast conserving surgery (BCS) can be increased after neoadjuvant chemotherapy (NAC)
- Despite eligibility for BCS, analyses from large pre-operative RCTs have revealed many women are undergoing mastectomy:
  - 76% of BCS eligible patients had mastectomy in CALGB 40601 (HER2+)
  - 69% of BCS eligible patients had mastectomy in CALGB 40603 (TNBC)

# Background

- Young women are more likely to present with large tumors and may benefit from a neoadjuvant systemic approach
- Recent data suggest that response rates, including pathologic complete response (pCR), are higher in women <40 years than in older women
- Little is known about how response to NAC influences surgical decision making in young women

# Objectives

- To describe the use of and response to NAC among young women with breast cancer
- To evaluate choice of surgical procedure considering:
  - Before- and after- NAC eligibility for BCS
  - Clinical and pathological response to NAC
- To evaluate reasons for not undergoing BCS when BCS eligible after NAC

# Methods

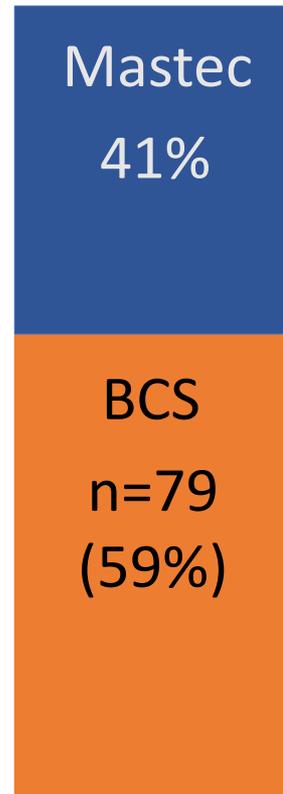
- The Young Women`s Breast Cancer Study (
  - Multicenter prospective cohort
  - Women age  $\leq 40$  at diagnosis of breast cancer identified through pathology record review
  - 12 participating hospitals (academic and community)
  - 1302 women enrolled from October 2006 to June 2016
- The study was established to explore biological, medical and psychosocial issues in young breast cancer patients



# Methods

- BCS eligibility before and after NAC and clinical response to NAC were abstracted from the medical records by two trained surgeons and reviewed by a third investigator in instances of discrepancy

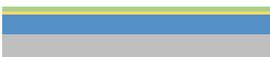
# Initial surgical procedure among BCS-eligible patients after NAC (N=133)



BCS-eligible  
After NAC

- 41% of BCS-eligible patients after NAC chose mastectomy
- The proportion of patients with BCS as first surgical procedure was not influenced by response to NAC
  - 42% of BCS-eligible patients with clinical CR chose mastectomy and 35% had a pCR

# Reasons for choosing mastectomy in BCS-eligible patients (N=55)

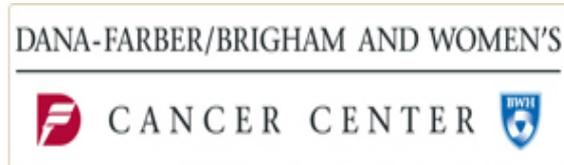
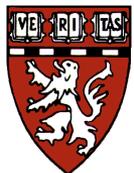
- The most common documented reason that BCS-eligible patients chose mastectomy was patient preference (53%)
  - 40% chose mastectomy because of carrying a BRCA 1 or 2, or p53 mutation or having a strong family history
  - 75% who chose mastectomy underwent bilateral mastectomy
- 
- 
- Among BCS-eligible patients with cCR and/or ultimately pCR who chose mastectomy, these reasons were similar

# Conclusions and Implications

- NAC increased the proportion of young women with breast cancer who were eligible for BCS, yet 40% of eligible patients chose mastectomy regardless of response to NAC in a large multicenter cohort
  - Personal preference (without known high risk predisposition) was most common reason
- While rates of NAC have increased over time and response rates have improved, rate of BCS as first surgical procedure is not increasing
- Surgical decisions among young women with breast cancer appear driven by factors beyond the extent of disease and response to NAC
- Focused efforts to optimize surgical decision-making are needed

# Local therapy and quality of life outcomes in young women with breast cancer

Laura Dominici, Jiani Hu, Tari King, Kathryn J. Ruddy,  
Rulla M. Tamimi, Jeffrey Peppercorn, Lidia Schapira,  
Virginia F. Borges, Steven E. Come, Ellen Warner, Ann  
Partridge, Shoshana Rosenberg



# Background

- More than 13,000 women  $\leq 40$  years of age are diagnosed with breast cancer each year
  - ~7% of new breast cancers diagnosed in the United States
- Despite equivalent local regional control and survival with breast conservation and mastectomy, rates of (bilateral) mastectomy are increasing in young women
  - 3.6% in 1998  $\rightarrow$  33% in 2011

# Background

- Previous studies of women of all ages treated for breast cancer found no clinically meaningful differences in QOL related to surgical procedure
  - Some QOL domains improved after CPM
- Young women are at increased risk for poorer psychosocial outcomes following a breast cancer diagnosis and in survivorship
- Little is known about the impact of surgery, particularly in the era of increasing bilateral mastectomy, on QOL in young survivors

Hwang JCO 2016  
Koslow Ann Surg  
Onc 2013

Leibel Health Psychol

# Objectives

- Using a multicenter prospective cohort of young women with breast cancer, we sought to:
  - Evaluate differences in QOL among women who had breast conserving surgery (BCS), unilateral mastectomy and bilateral mastectomy
  - Identify demographic and treatment-related factors that impact QOL

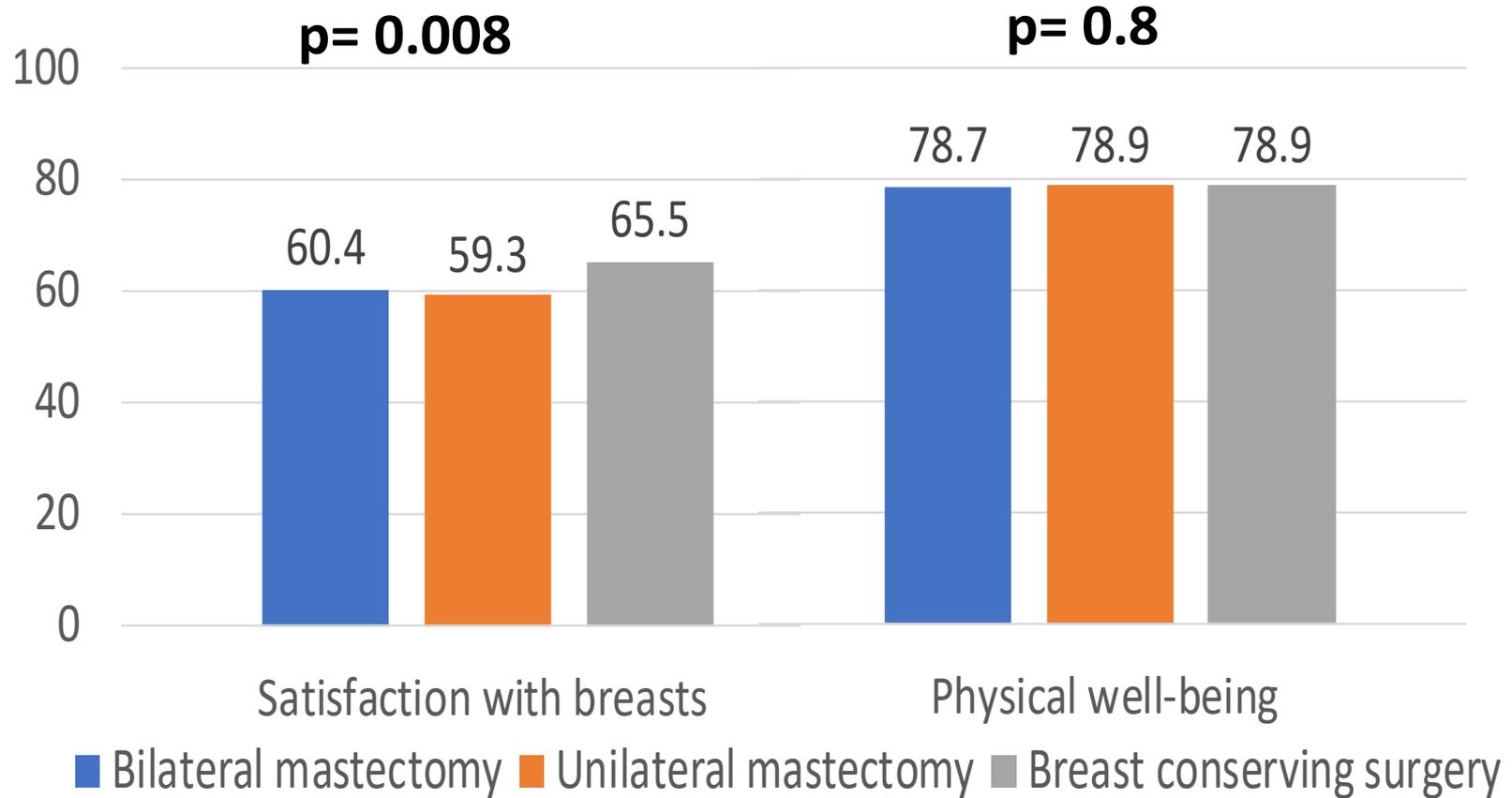
# Methods

- This analysis used a cross-sectional study design
- BREAST-Q was administered to all eligible YWS participants in active follow-up in 2016-2017, either as a stand-alone survey or as part of their 10-year follow-up
- Median time from diagnosis to BREAST-Q completion: 5.8 (range: 1.9-10.4) years
- Demographics and treatment information were obtained from serial surveys and chart

# BREAST-Q

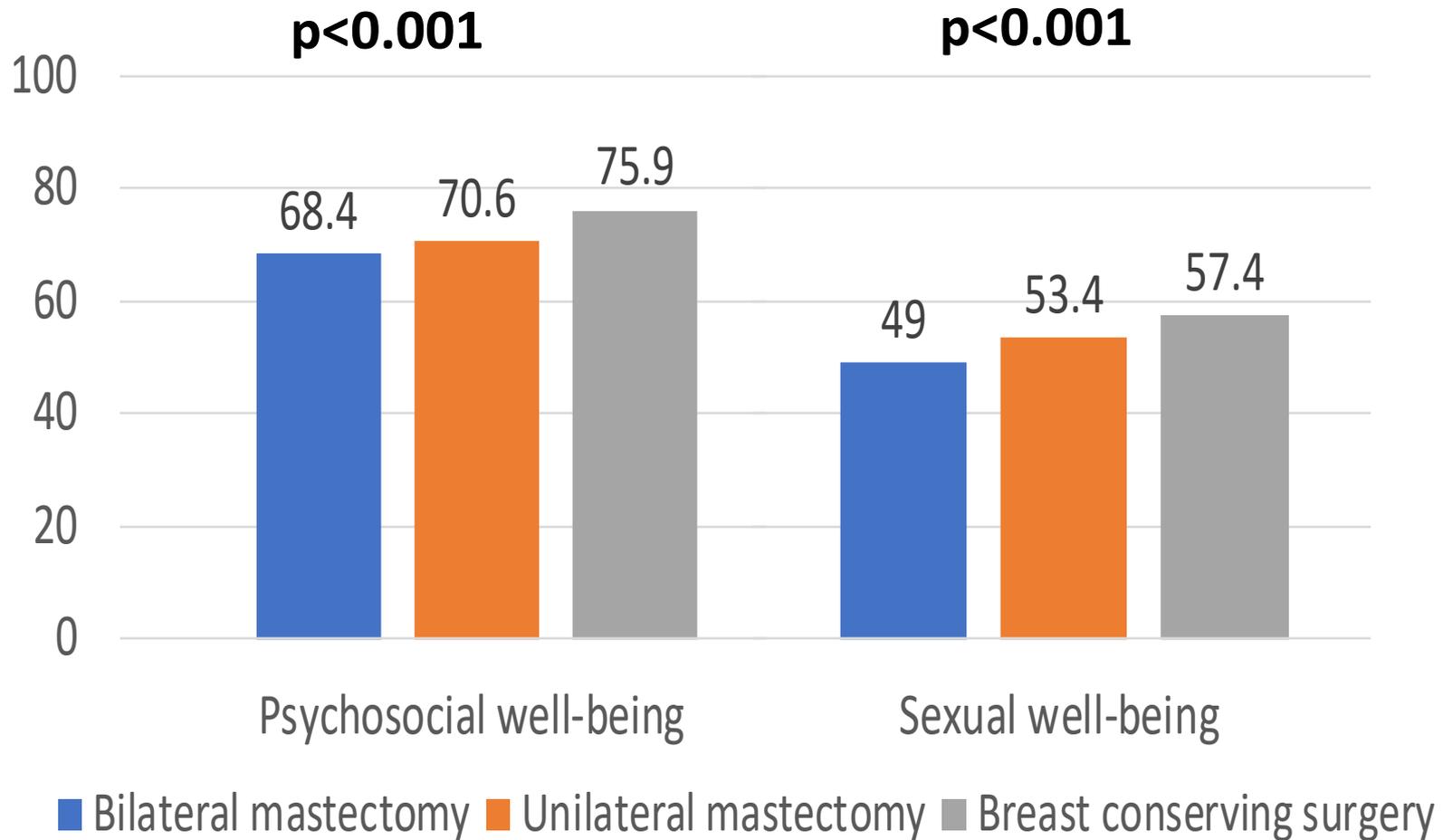
- Six domains:
  - Satisfaction with breasts
  - Psychosocial well-being
  - Physical well-being
  - Sexual well-being
  - Overall outcome
  - Process of care

# BREAST-Q Mean Scores



Higher score = Better QOL

# BREAST-Q Mean Scores



**Higher score = Better QOL**

# Limitations

- One time survey of women enrolled in an observational cohort study
  - Preoperative QOL likely drives surgical choices
- Findings may have limited generalizability to more diverse populations
  - Majority of participants are white and of a high socio-economic status

# Conclusions

- Local therapy decisions are associated with a persistent impact on QOL in young breast cancer survivors
- Compared to BCS, unilateral or bilateral mastectomy is associated with significant decreases in QOL domains for:
  - Satisfaction with breasts
  - Psychosocial well-being
  - Sexual well-being

# Abs GS03-01. Randomized trial of low dose tamoxifen to prevent recurrence of breast intraepithelial neoplasia. Study TAM01

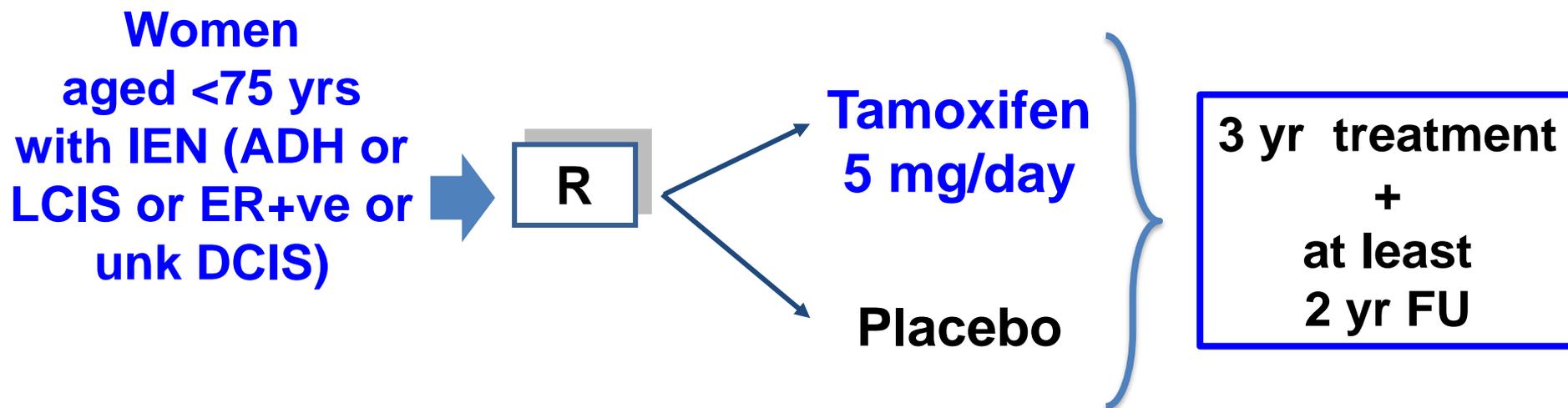


A.DeCensi\*, M.Puntoni, A.Guerrieri Gonzaga, S.Caviglia, F.Avino, L.Cortesi, M.Donadio, M.Grazia Pacquola, F.Falcini, M.Gulisano, M.Digennaro, A.Carriello, K.Cagossi, G.Pinotti, M.Lazzeroni, D.Serrano, D.Branchi, S.Campora, M.Petrera, T.Buttiron Webber, L.Boni and B.Bonanni



EudraCT Number  
2007-007740-10  
ClinicalTrials.gov  
NCT01357772

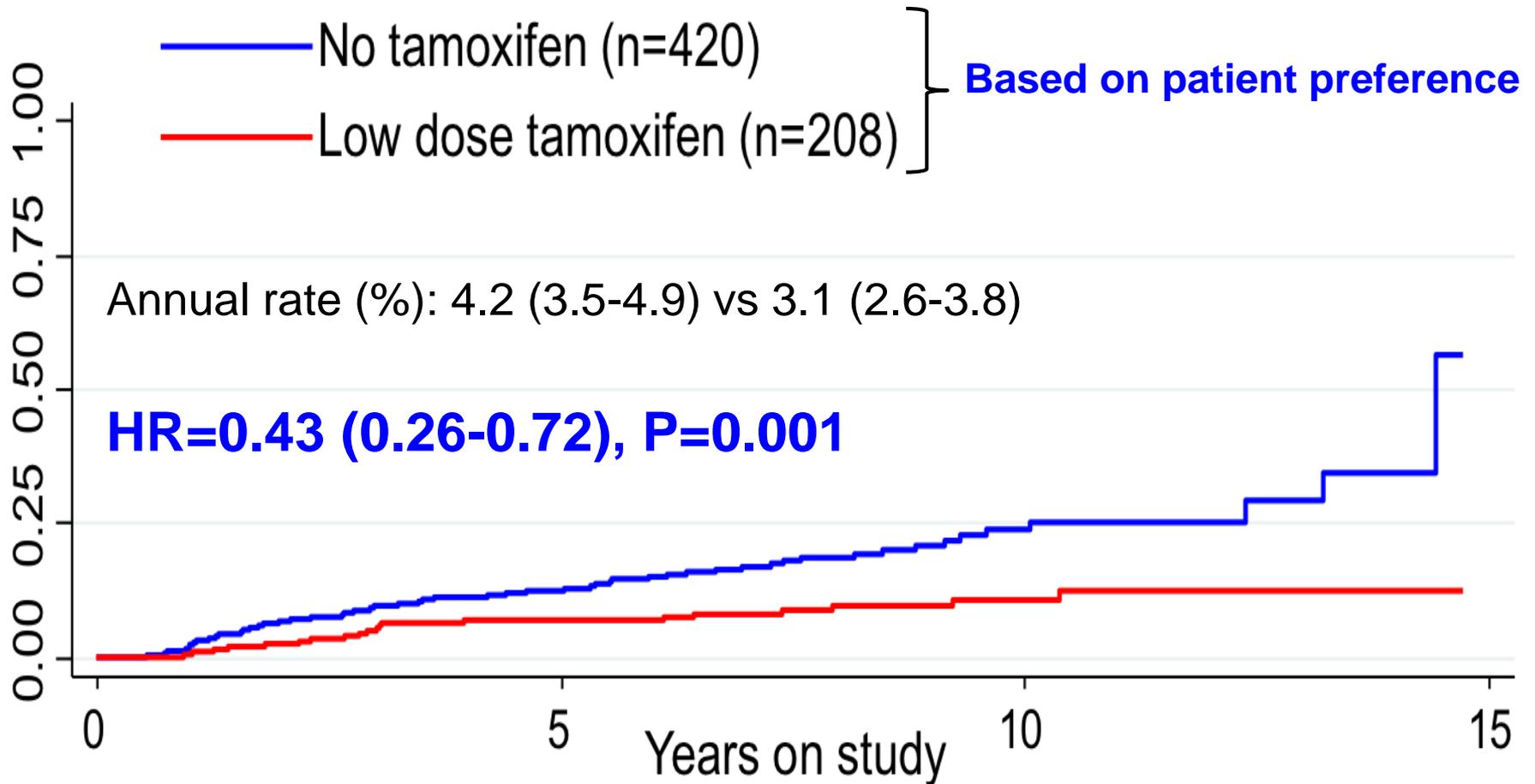
# Study Design



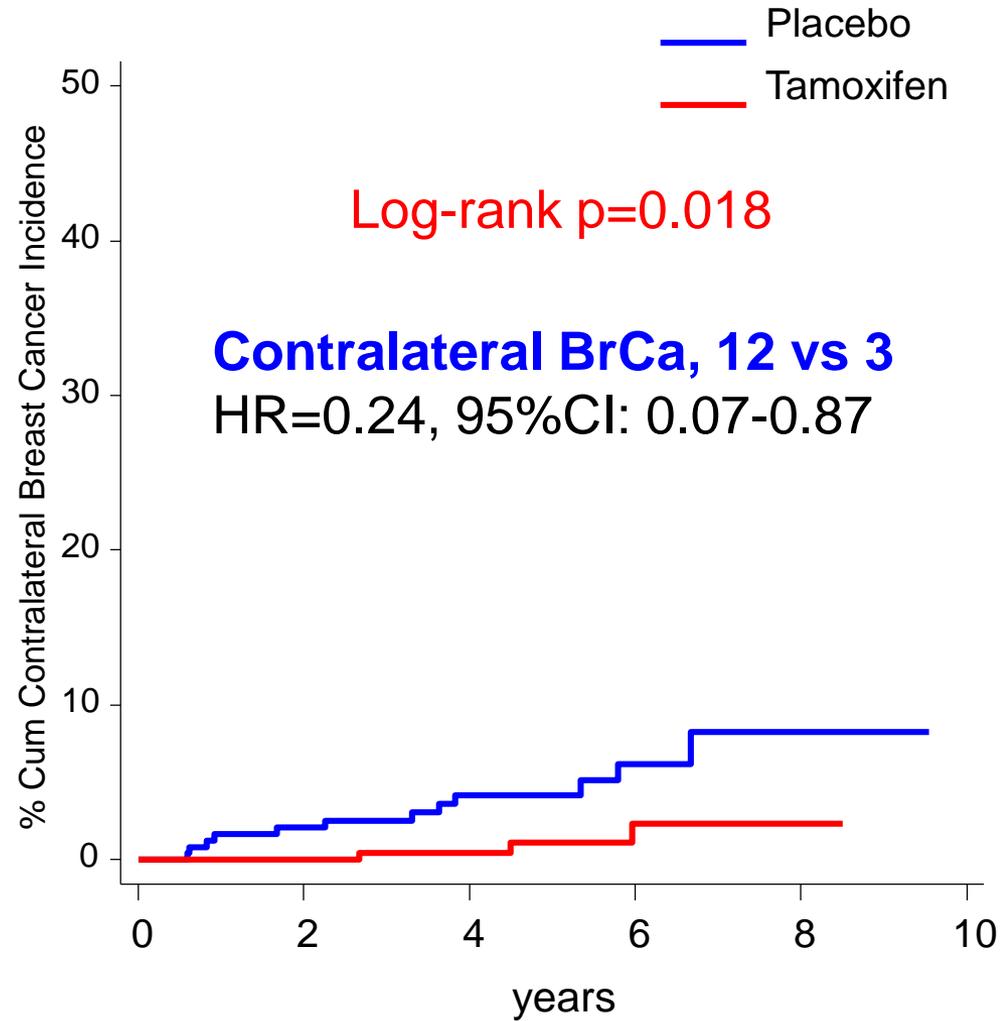
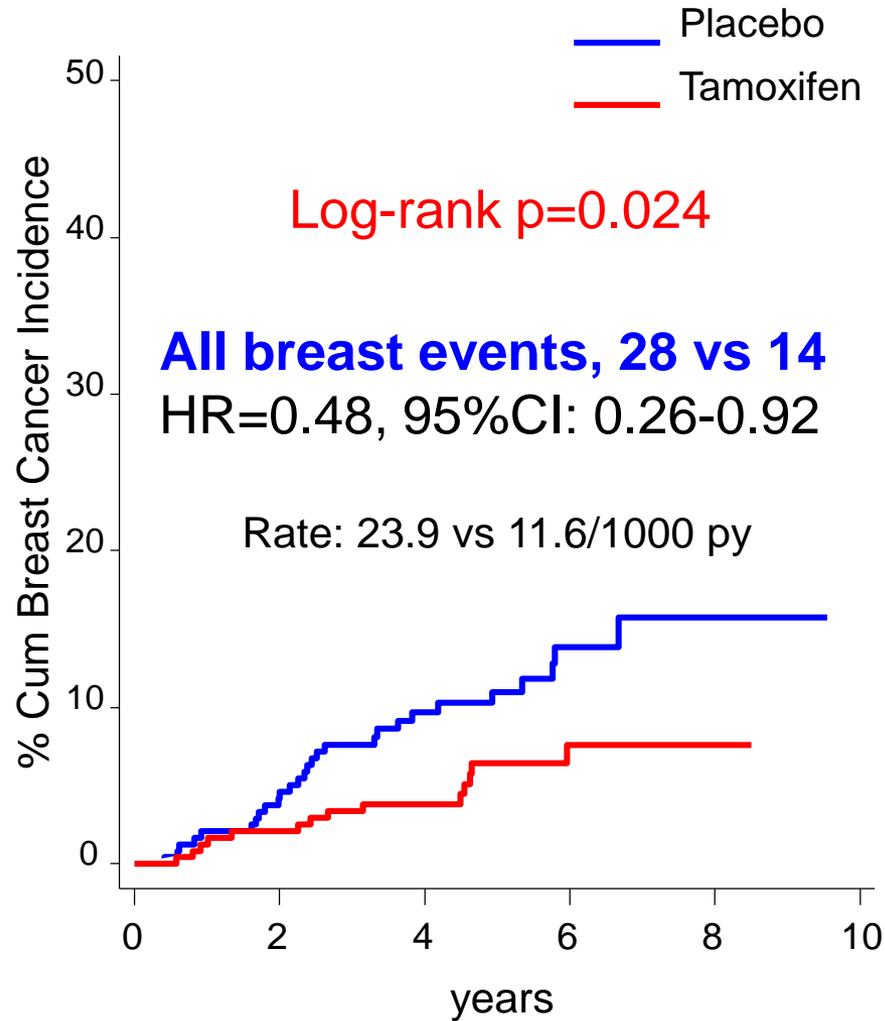
**Primary endpoint: Incidence of invasive breast cancer or DCIS**

- 500 participants enrolled from 14 centers in Italy
- Visit and QoL every 6 months, Mx every year
- Median follow up = 5.1 years (IQR 3.9-6.3)
- Primary events: 42

# Effect of 10 mg on alternate days on ipsilateral recurrence in high risk DCIS>50 yrs



Guerrieri Gonzaga et al. *Int J Cancer* 139:2127-34, 2016



Number at risk

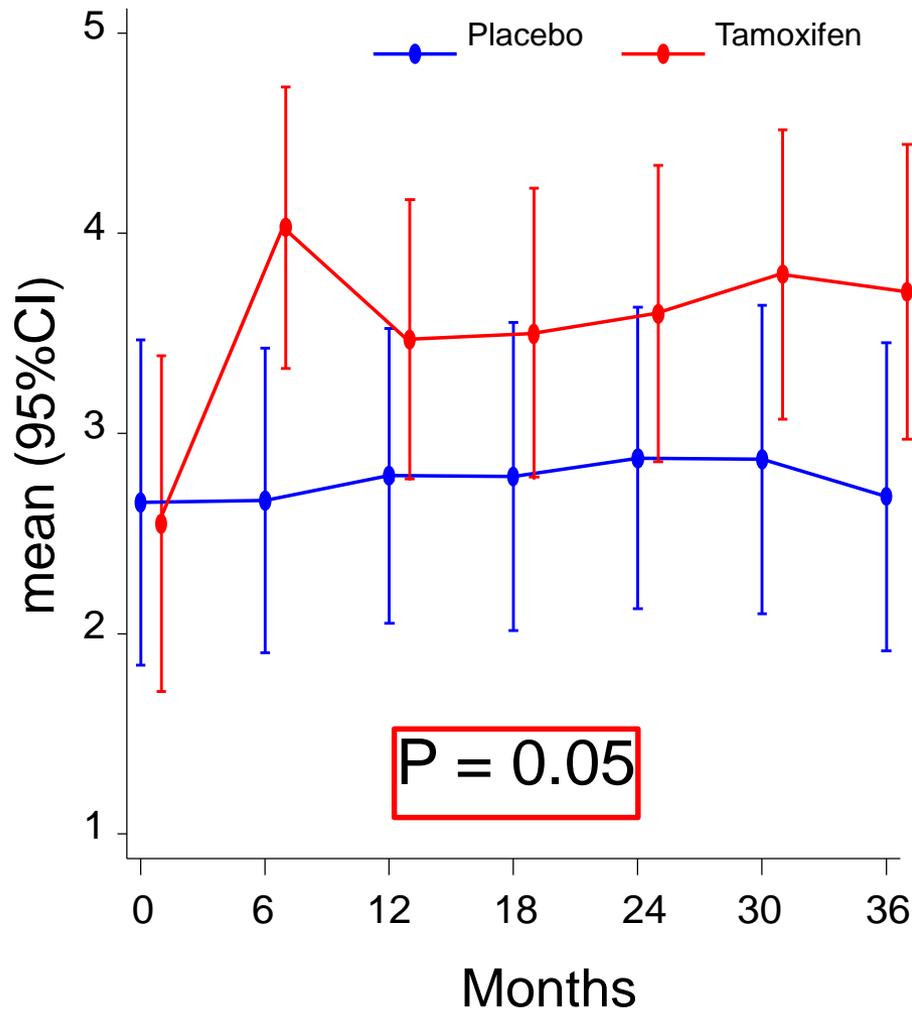
|     |     |     |     |    |   |   |     |     |     |    |   |   |
|-----|-----|-----|-----|----|---|---|-----|-----|-----|----|---|---|
| Pla | 247 | 225 | 161 | 78 | 4 | 0 | 247 | 225 | 161 | 78 | 4 | 0 |
| Tam | 253 | 234 | 172 | 76 | 3 | 0 | 253 | 234 | 172 | 76 | 3 | 0 |

## Serious adverse events

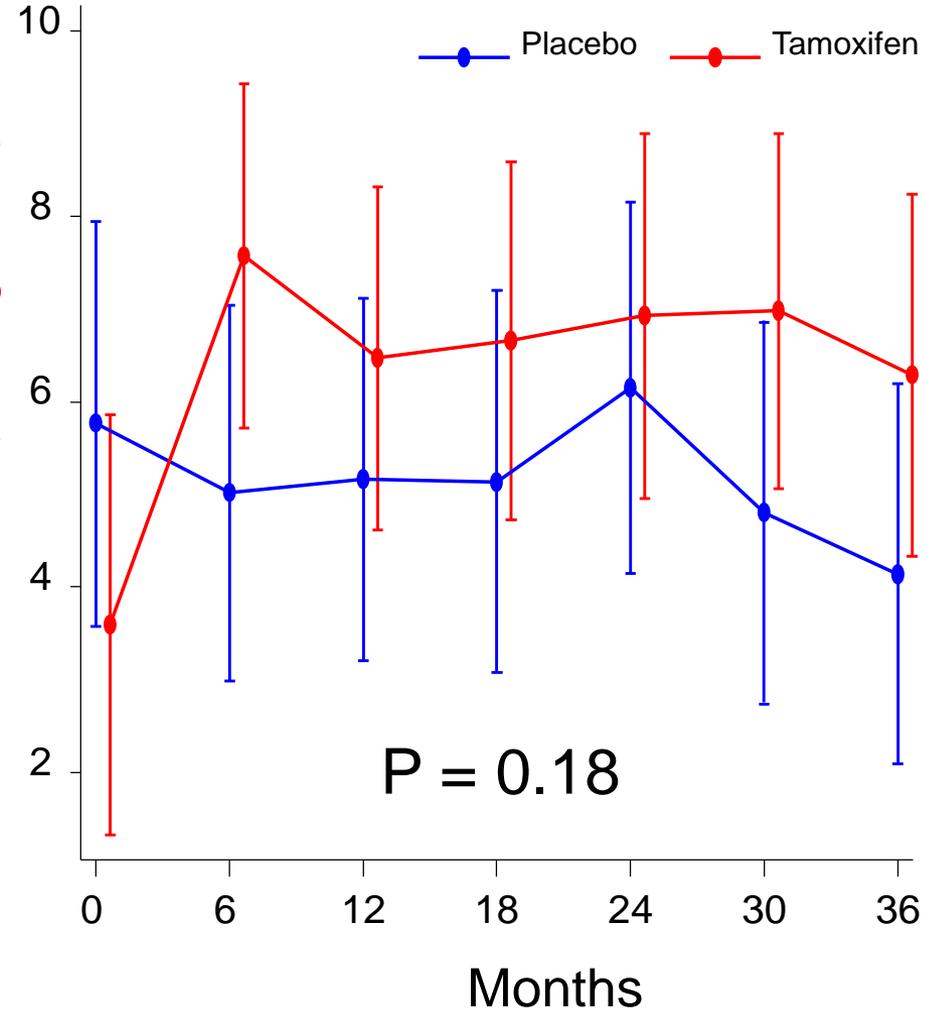
|   | Tamoxifen | Placebo   |
|---|-----------|-----------|
| Endometrial cancer  | 1         | 0         |
| DVT or PE   | 1         | 1         |
| Other neoplasms   | 4         | 6         |
| Coronary heart disease  | 2         | 2         |
| Other   | 3         | 5         |
| Death   | 1         | 2         |
| 20 mg/d, expected Endometrial Cancer: 2.7; DVT+PE: 2.4 <sup>1</sup> |           |           |
| <b>Total</b>  | <b>12</b> | <b>16</b> |

<sup>1</sup>NSABP-P1 trial (Fisher et al. *JNCI* 90:1371-88, 1998)

## Daily hot flashes frequency

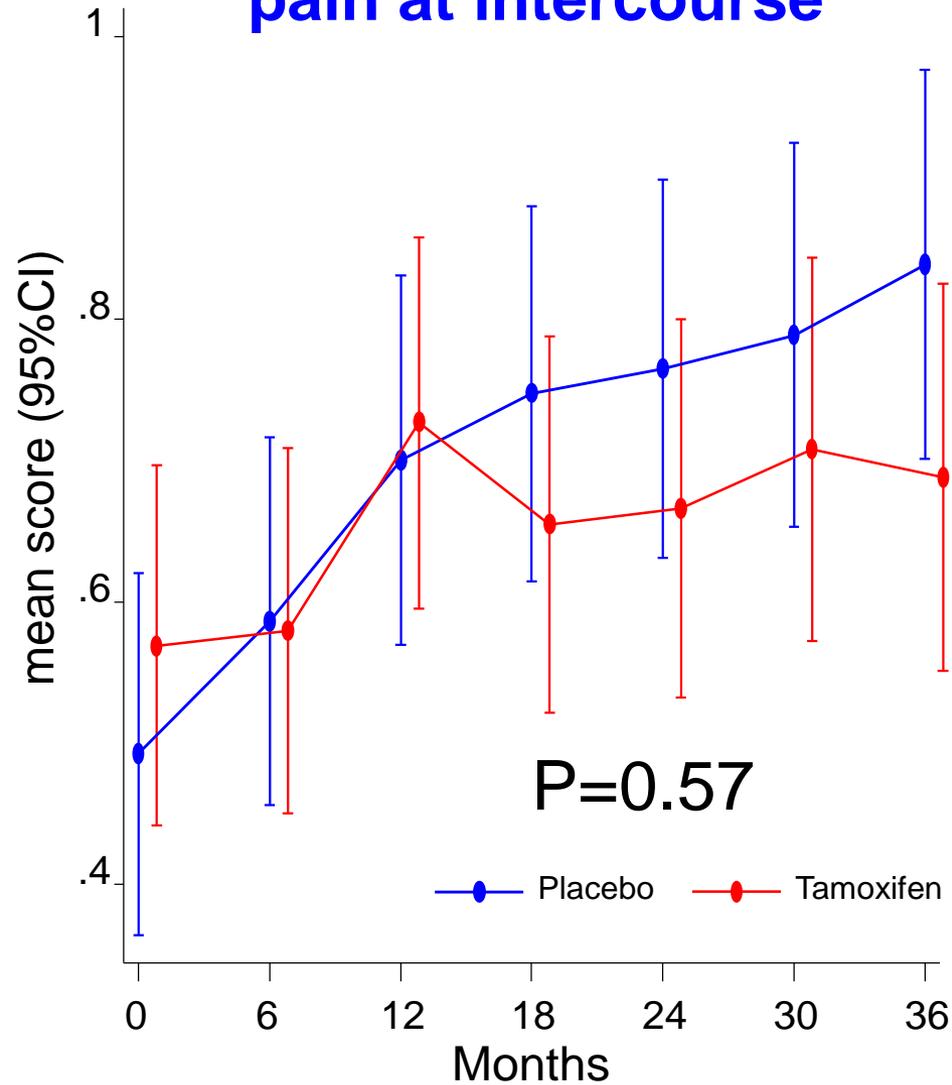


## Daily hot flashes score Frequency by Intensity

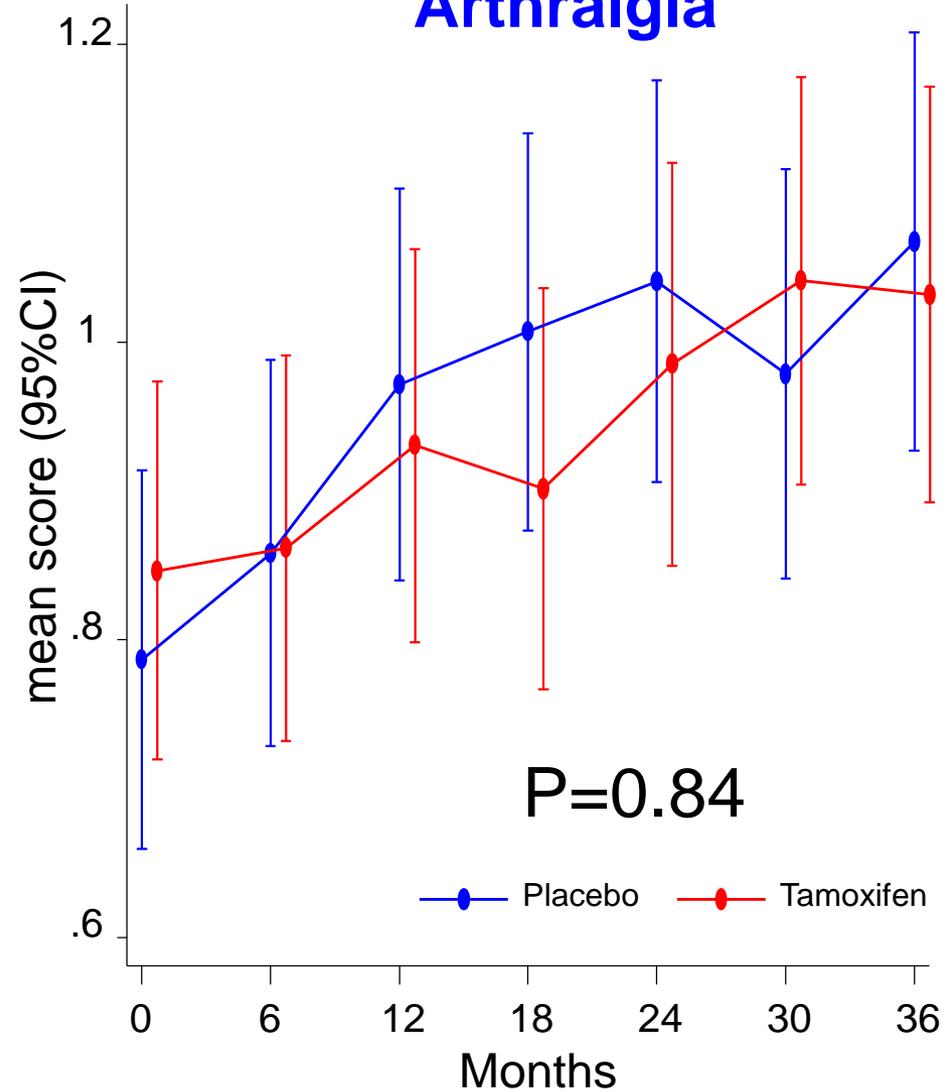


Sloan, Loprinzi et al. *JCO* 19:4280, 2001

## Vaginal dryness or pain at intercourse

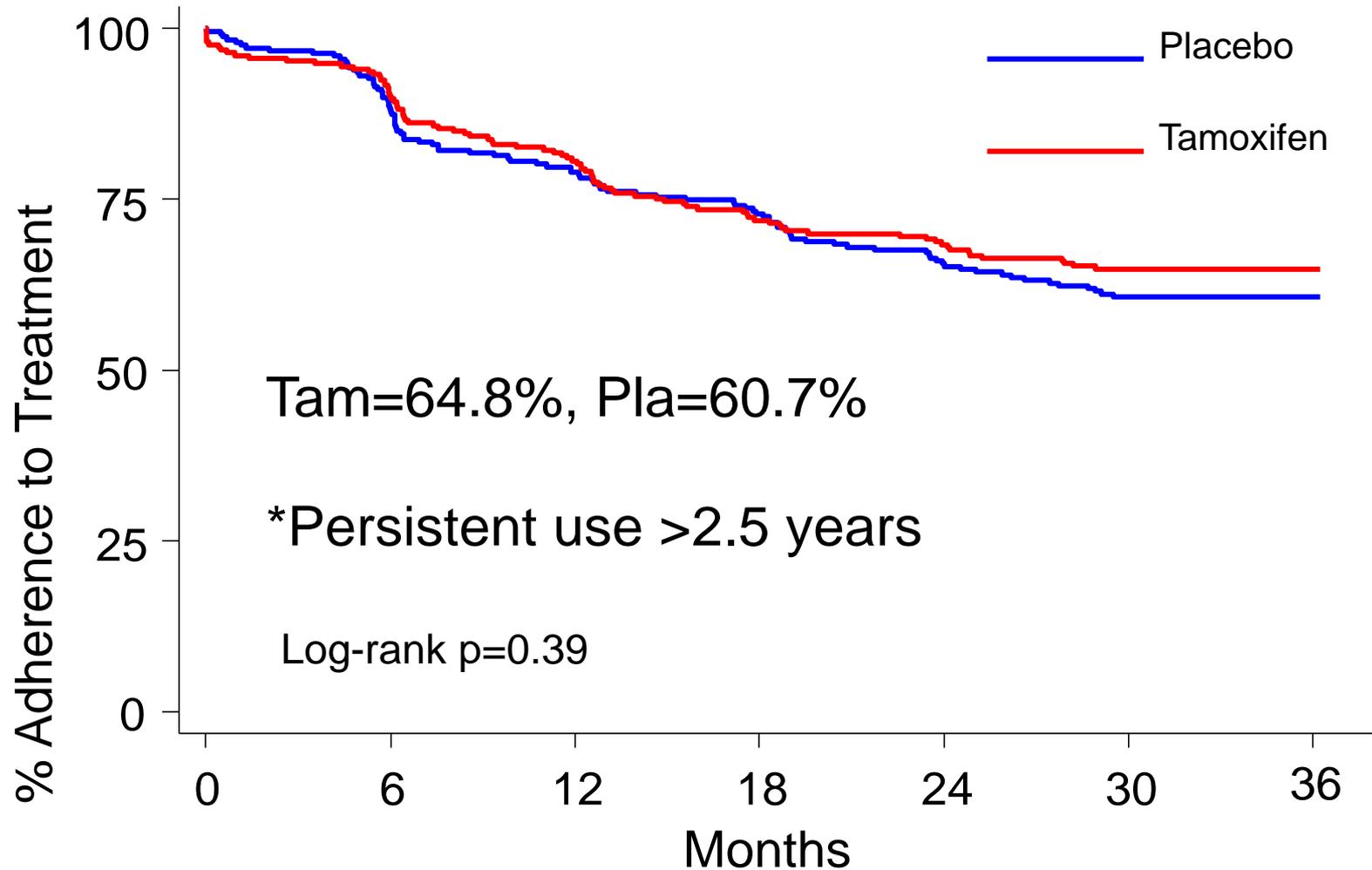


## Musculoskeletal pain/ Arthralgia



BCPSC, Stanton et al. *JNCI* 97:448-456, 2005

# Treatment adherence\*



Number at risk

|           |     |      |     |      |     |      |     |      |     |      |     |     |     |
|-----------|-----|------|-----|------|-----|------|-----|------|-----|------|-----|-----|-----|
| Placebo   | 247 | (29) | 218 | (23) | 195 | (15) | 180 | (18) | 162 | (12) | 149 | (0) | 109 |
| Tamoxifen | 253 | (25) | 228 | (24) | 204 | (22) | 182 | (9)  | 173 | (9)  | 163 | (0) | 114 |

## Conclusions

- Tamoxifen 5 mg/day for 3 years **halves the recurrence** of breast intraepithelial neoplasia in line with 20 mg/day (HR=0.58, 95% CI, 0.42-0.81)<sup>1</sup>
- Low dose Tamoxifen decreased contralateral breast cancer by 75%, suggesting a **strong preventive** potential
- Rate of endometrial cancer and DVT/PE on 5 mg (0.85/1000 py) **not different from placebo** and **2.5 times lower** than 20 mg<sup>2</sup>
- **Menopausal symptoms not worsened** except for a borderline effect on hot flashes
- Our results have external validity and are **generalizable**
- Tamoxifen **10 mg every other day** is **applicable in clinical practice from tomorrow!**

<sup>1</sup>Allred et al. NSABP B-24 trial. *JCO* 30:1268-73, 2012

<sup>2</sup>Fisher et al. NSABP-P1 trial. *JNCI* 90:1371-88, 1998

**Extended Aromatase Inhibitor  
treatment following 5 or more years  
of endocrine therapy: a meta-  
analysis of 22,192 women in 11  
randomised trials**

**Early Breast Cancer Trialists'  
Collaborative Group**

## Extended AI treatment after 5+ years of prior endocrine therapy: methods

Meta-analysis of individual patient data on postmenopausal women with ER-positive (99%) or ER-unknown (1%) tumours in trials of:

**Any third-generation AI** (exemestane, anastrozole, letrozole) vs no further adjuvant therapy **following:**

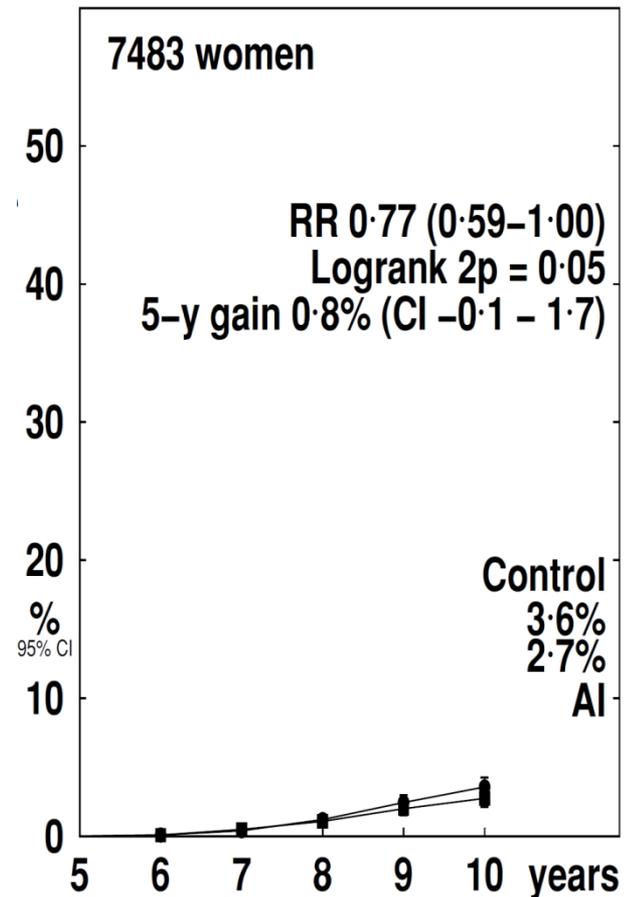
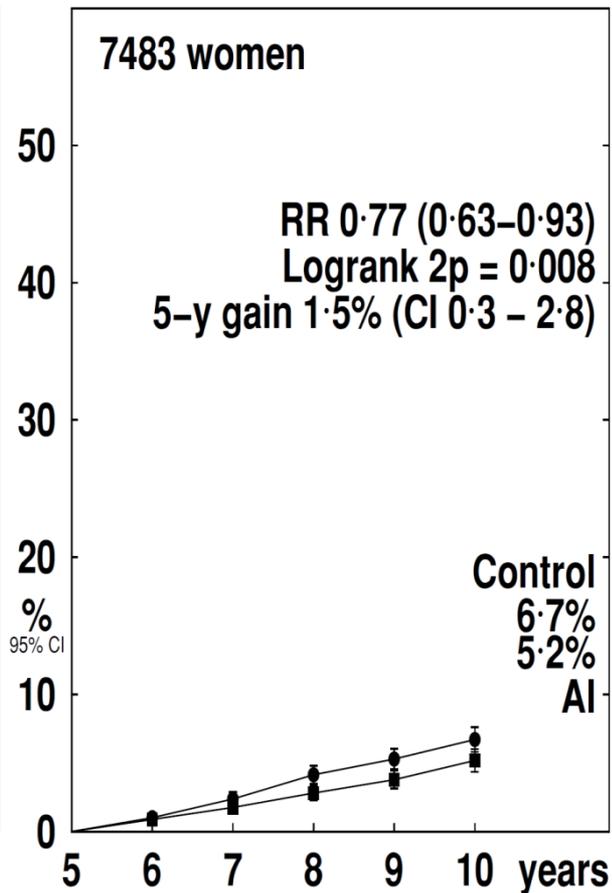
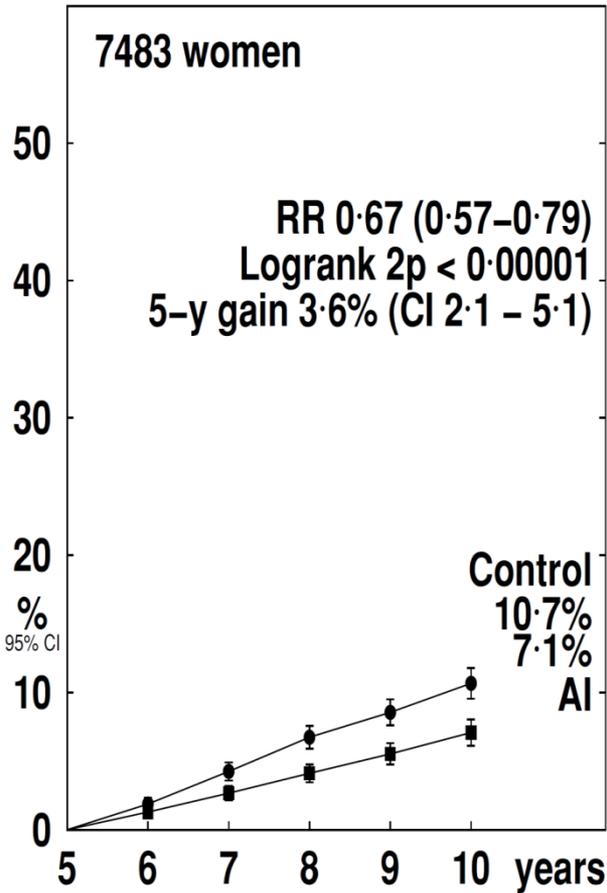
- a)  $\approx$  5 years of tamoxifen alone (n=7,500)
- b)  $\approx$  5-10 years of tamoxifen then AI (n=12,600)
- c)  $\approx$  5 years of AI alone (n=4,800)

# (a) Trials of AI after $\approx 5$ years of Tamoxifen alone

**Any recurrence  
(distant, local or  
new primary)**

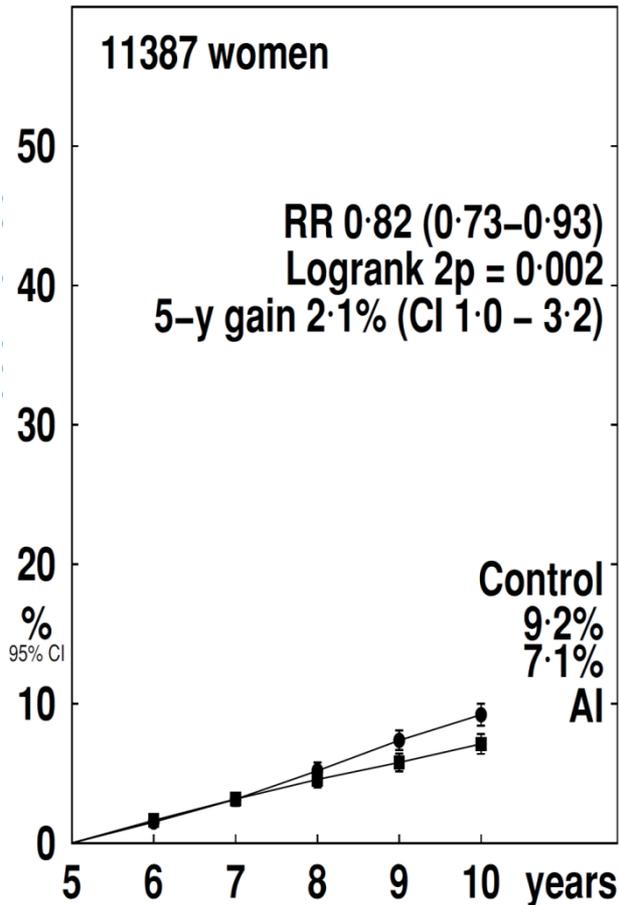
**Distant  
Recurrence**

**Breast cancer  
mortality**

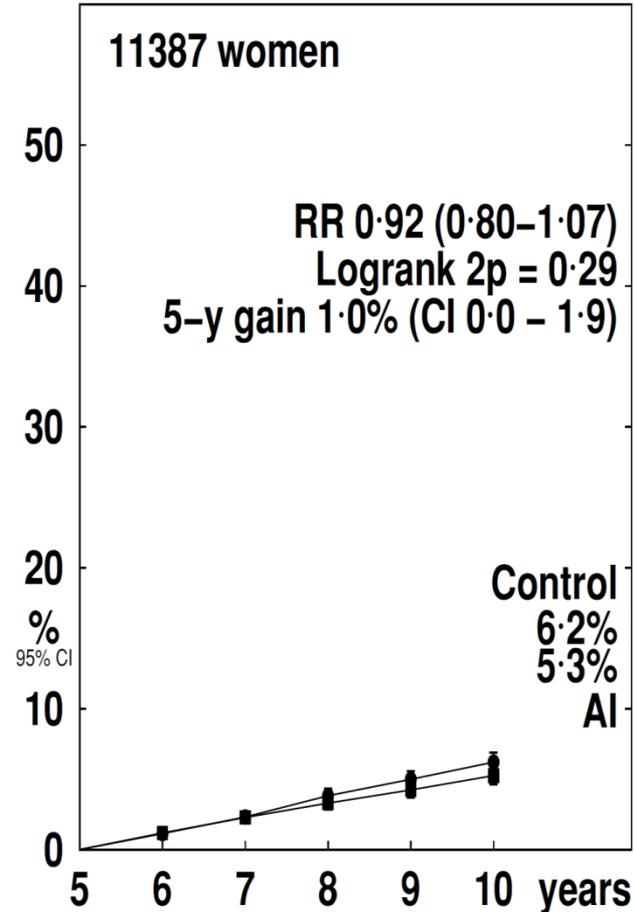


# (b) Trials of Extended AI following 5-10 years of Tamoxifen then AI

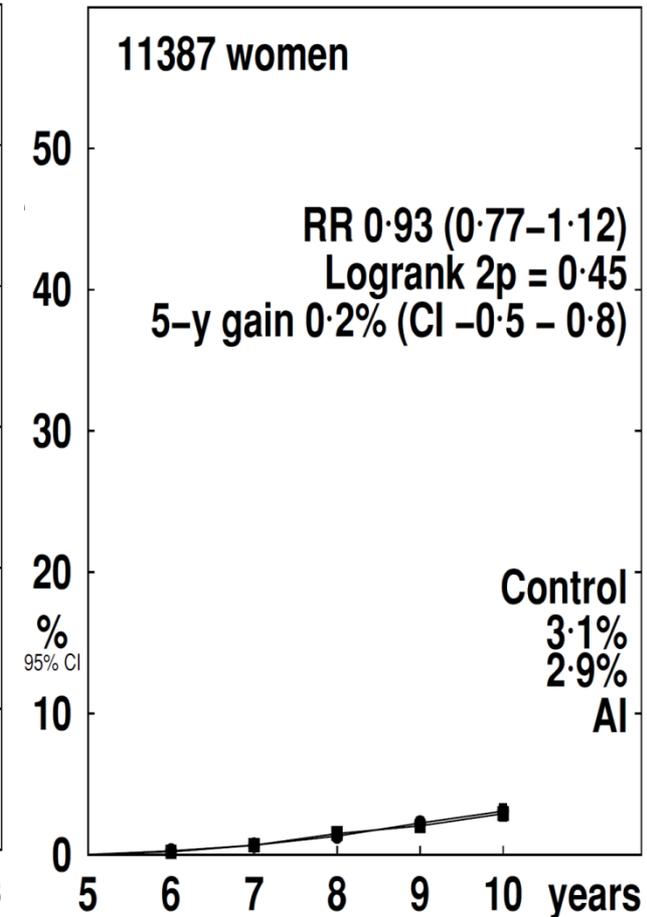
## Any recurrence



## Distant Recurrence



## Breast cancer mortality

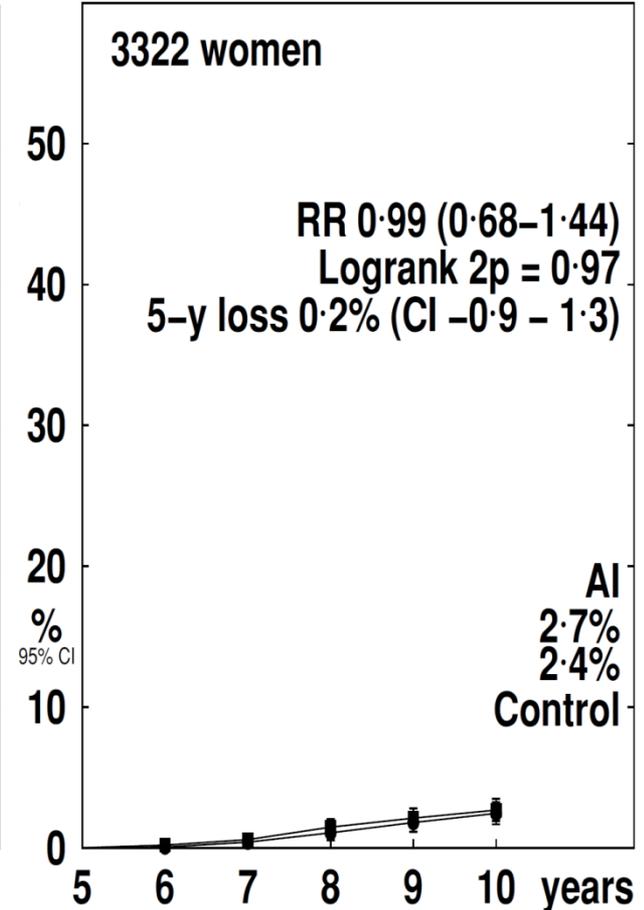
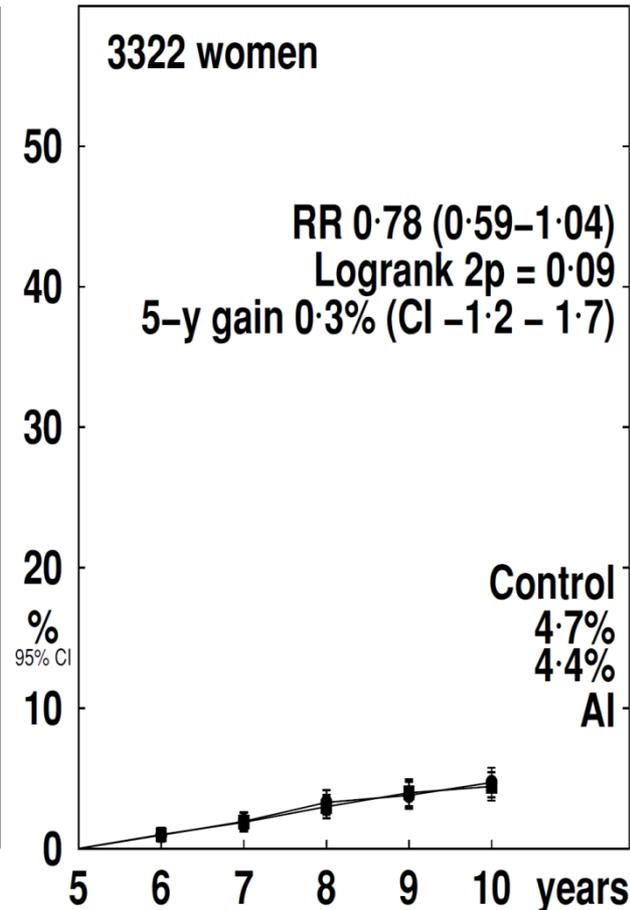
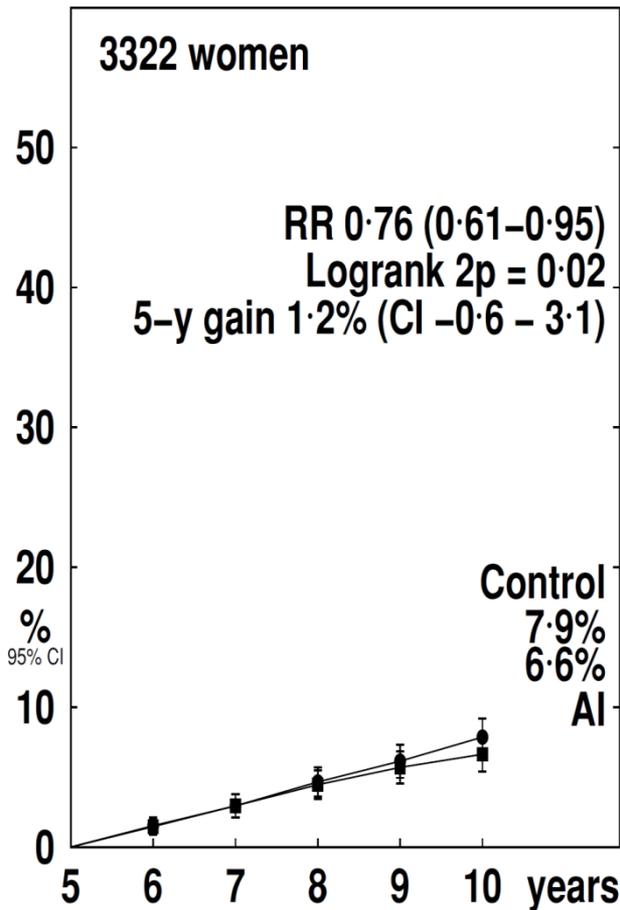


# (c) Trials of Extended AI following 5 years of AI alone

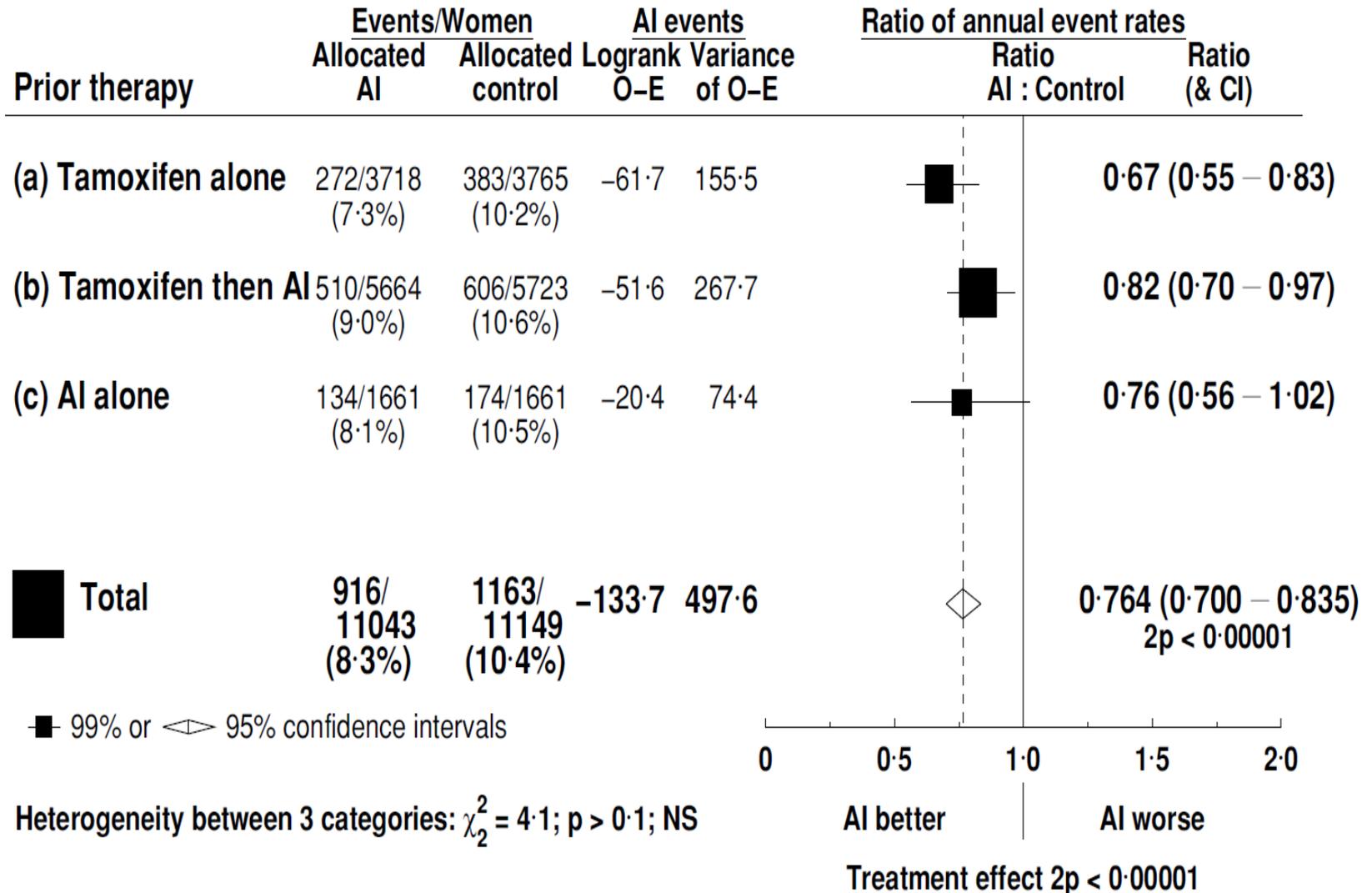
Any recurrence

Distant  
Recurrence

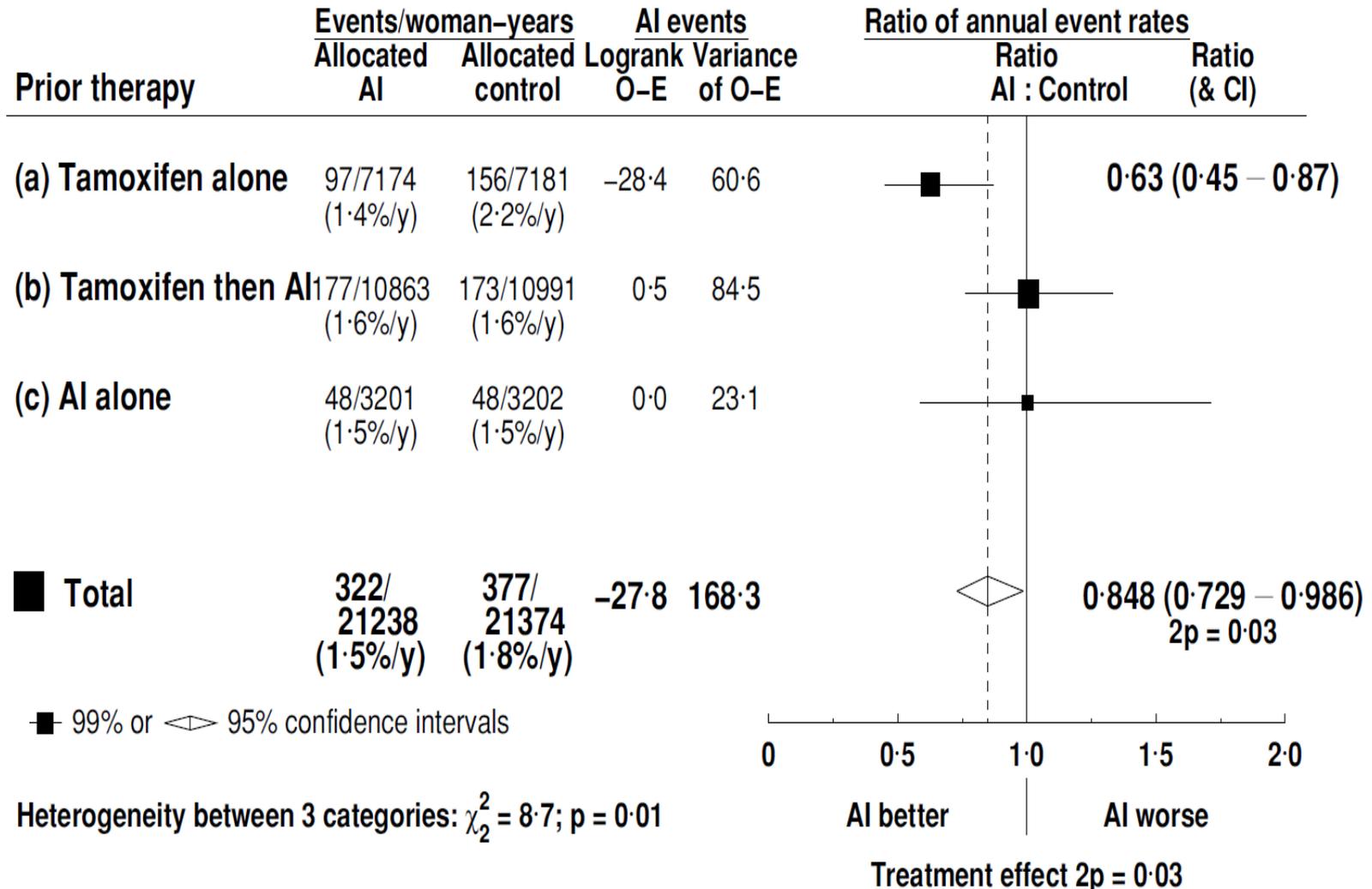
Breast cancer  
mortality



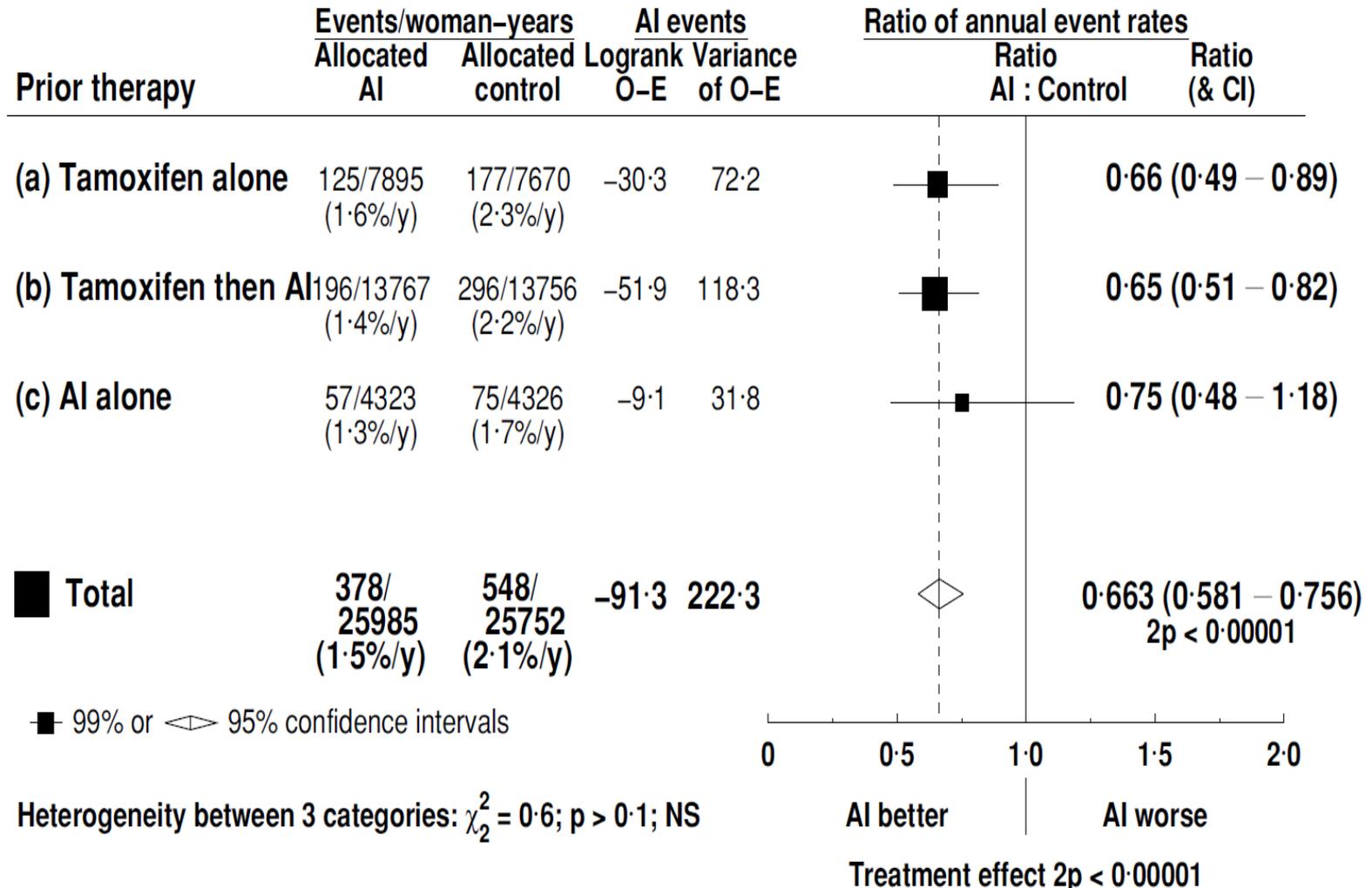
# Effect on recurrence by prior endocrine therapy



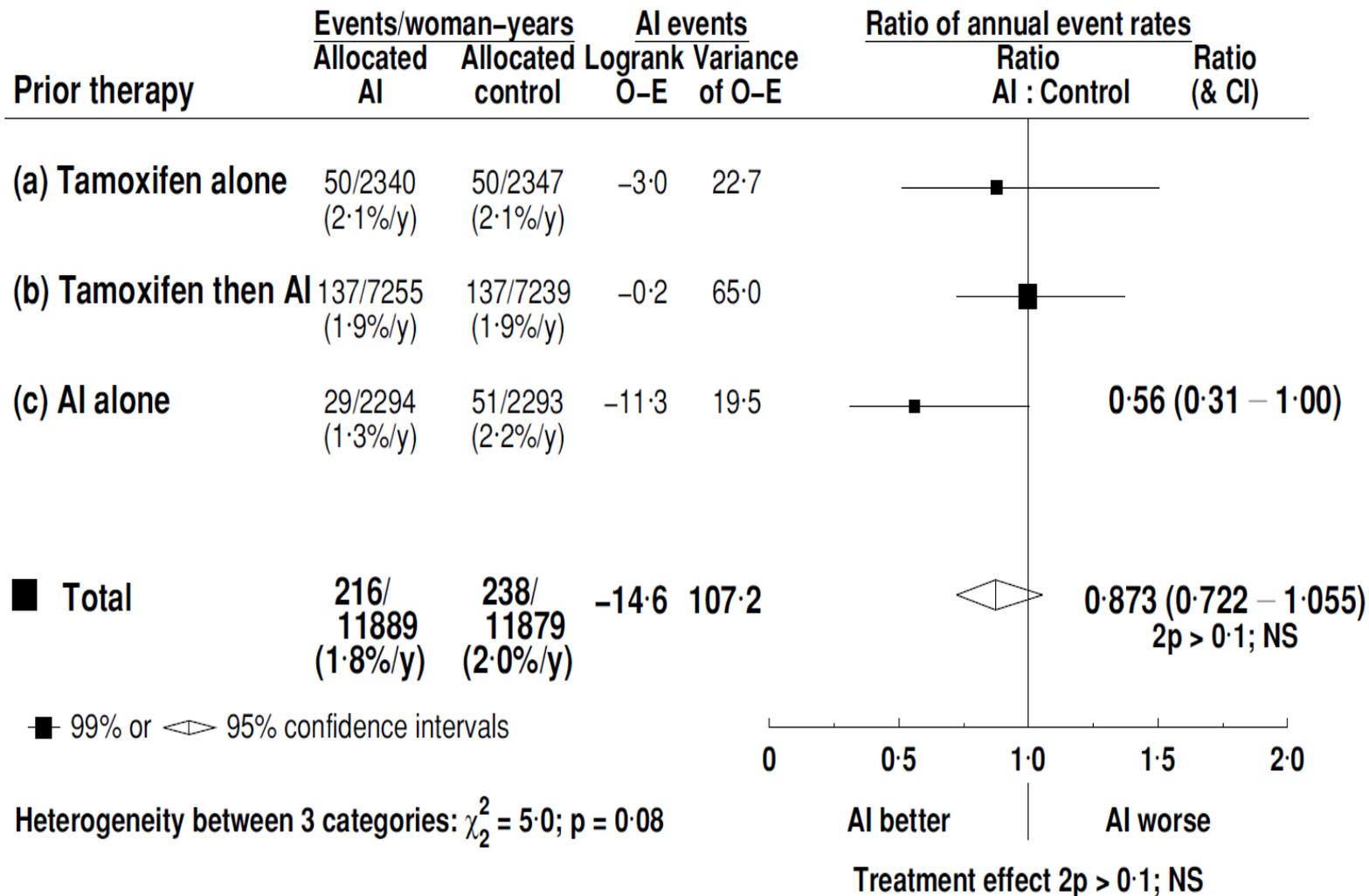
# Effect on recurrence in **years 0-1** after treatment divergence by prior endocrine therapy



# Effect on recurrence in in **years 2-4** after treatment divergence by prior endocrine therapy

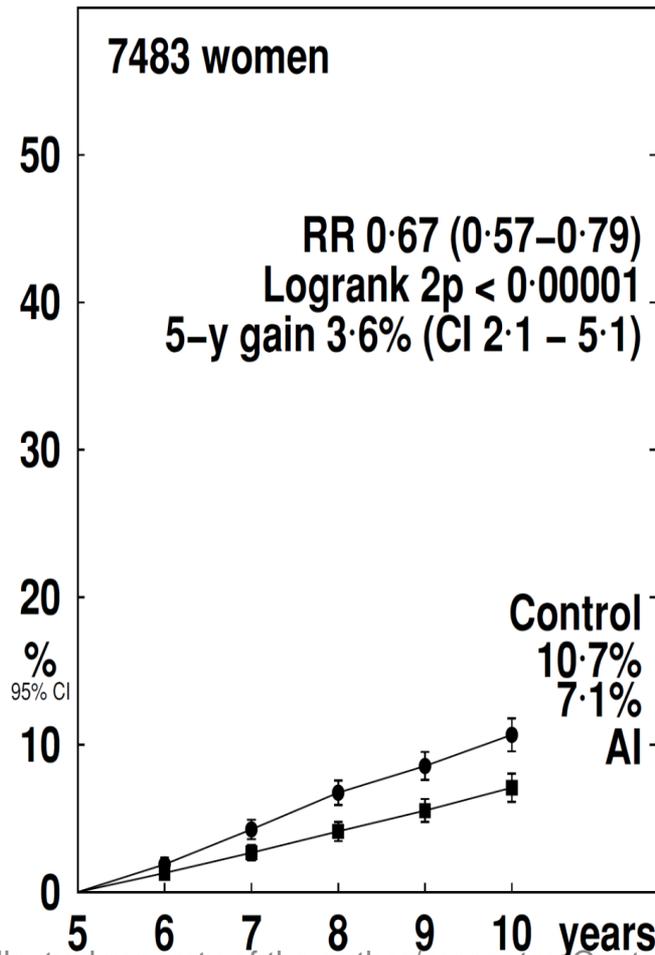


# Effect on recurrence in **years 5+** after treatment divergence by prior endocrine therapy

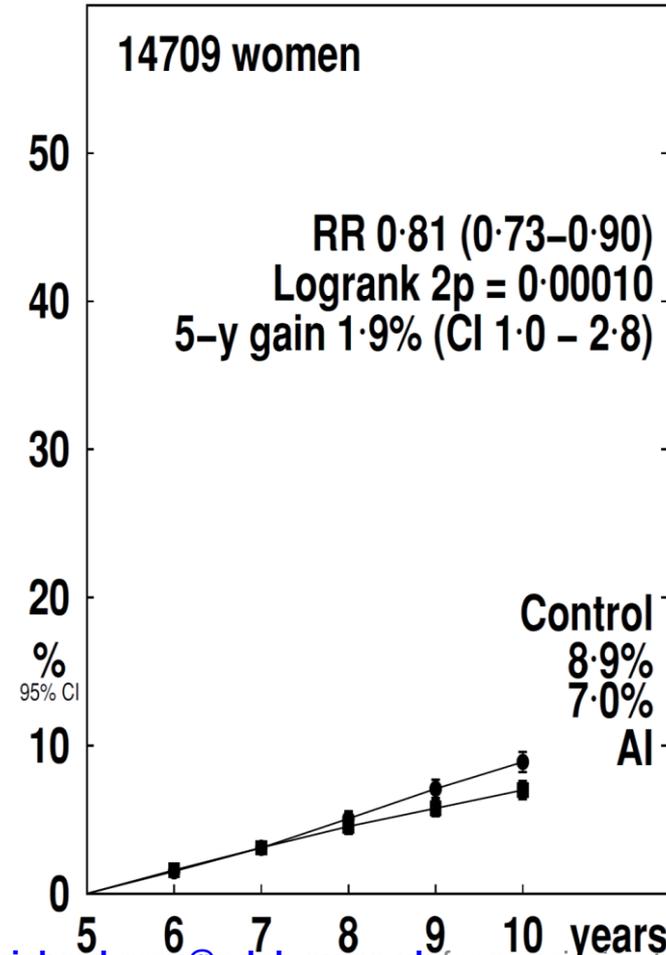


# Summary: effect of extended AI therapy after 5-10 yrs on recurrence differs by type of prior endocrine therapy

## Prior tamoxifen (a)

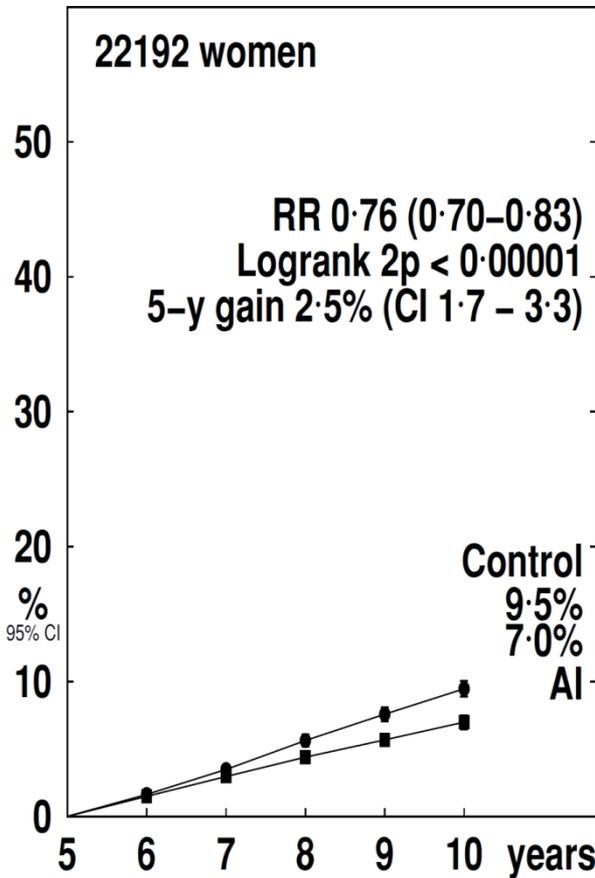


## Prior AI (b + c)

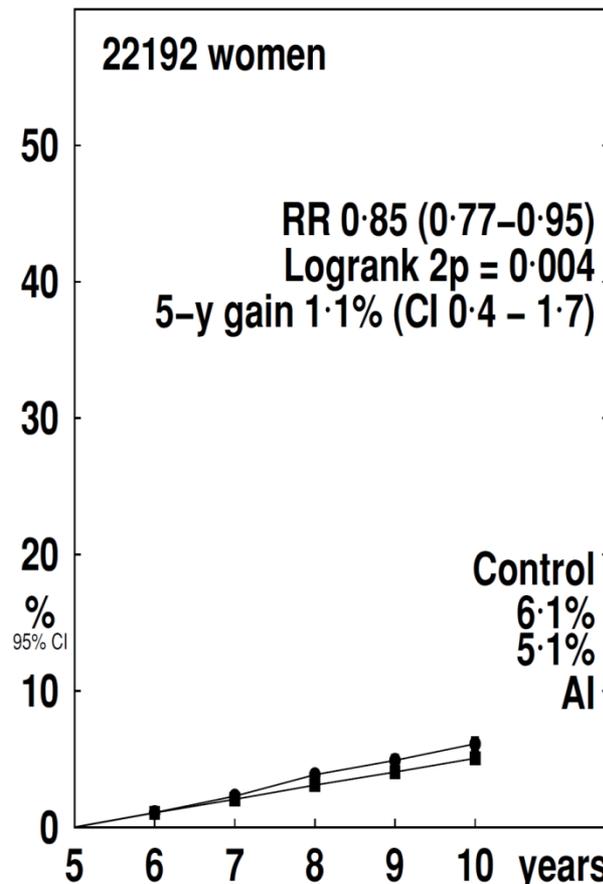


# Combined results from all trials of Extended AI following 5-10 years of any prior endocrine therapy

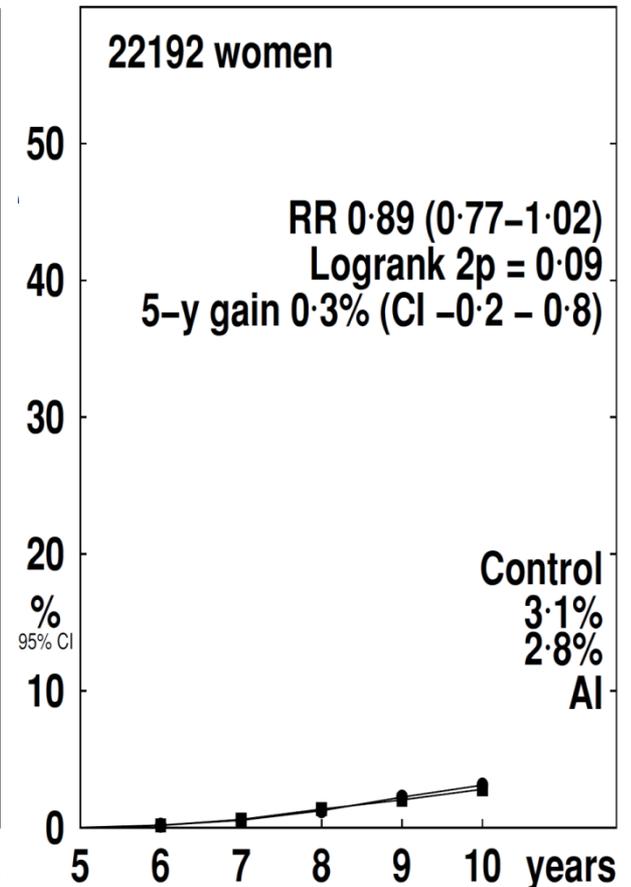
## Any recurrence



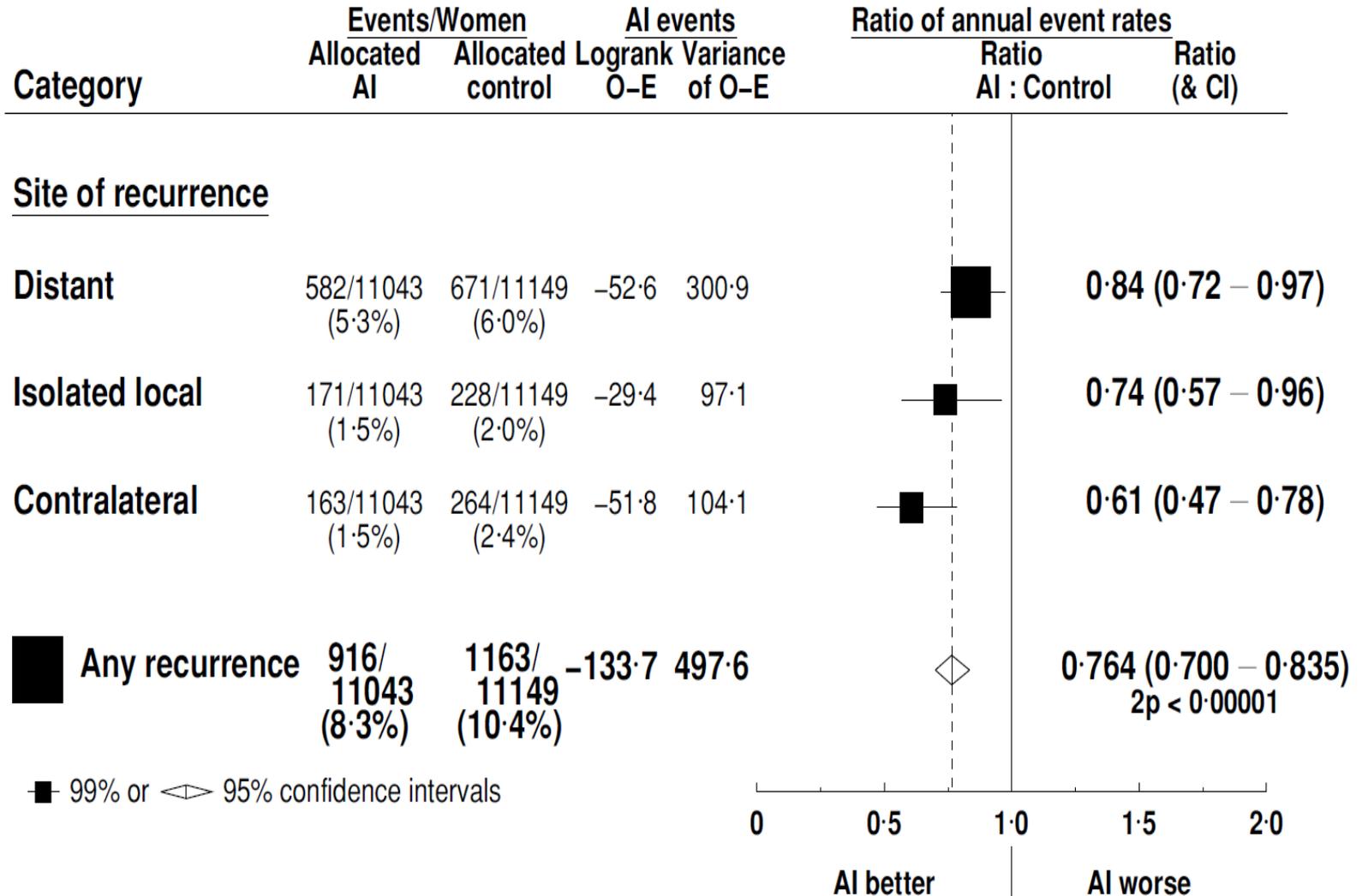
## Distant Recurrence



## Breast cancer mortality



# Recurrence by site – combined results from all trials



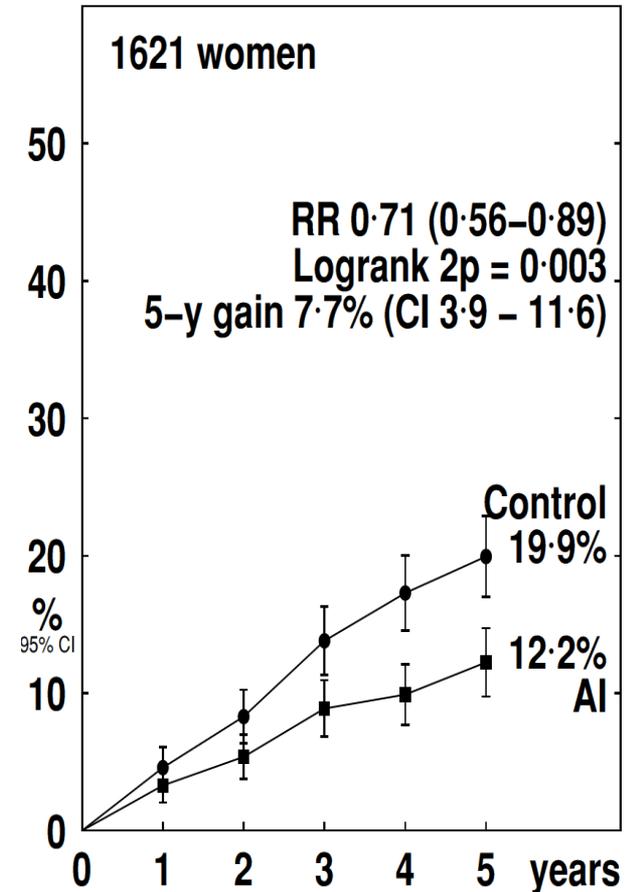
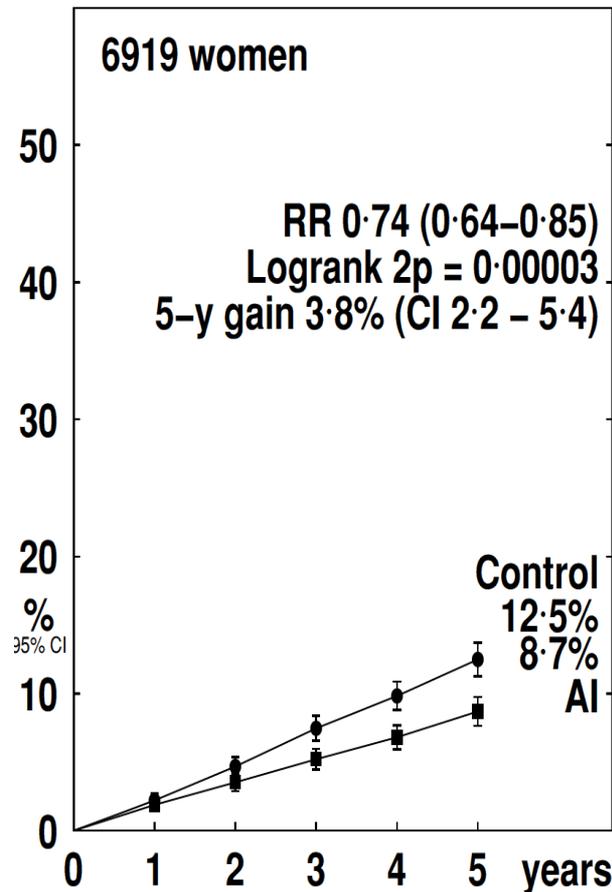
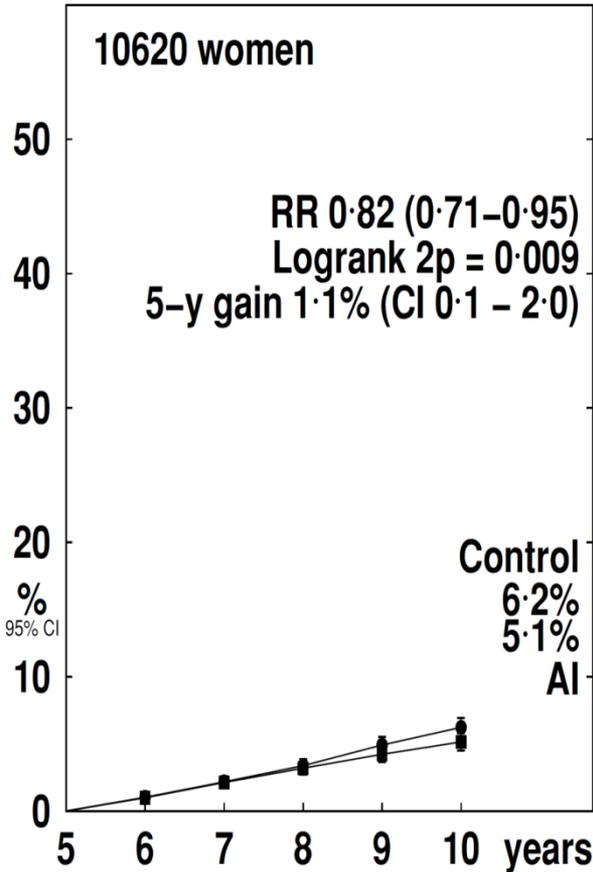
Treatment effect 2p < 0.00001

# Recurrence by nodal status – all trials

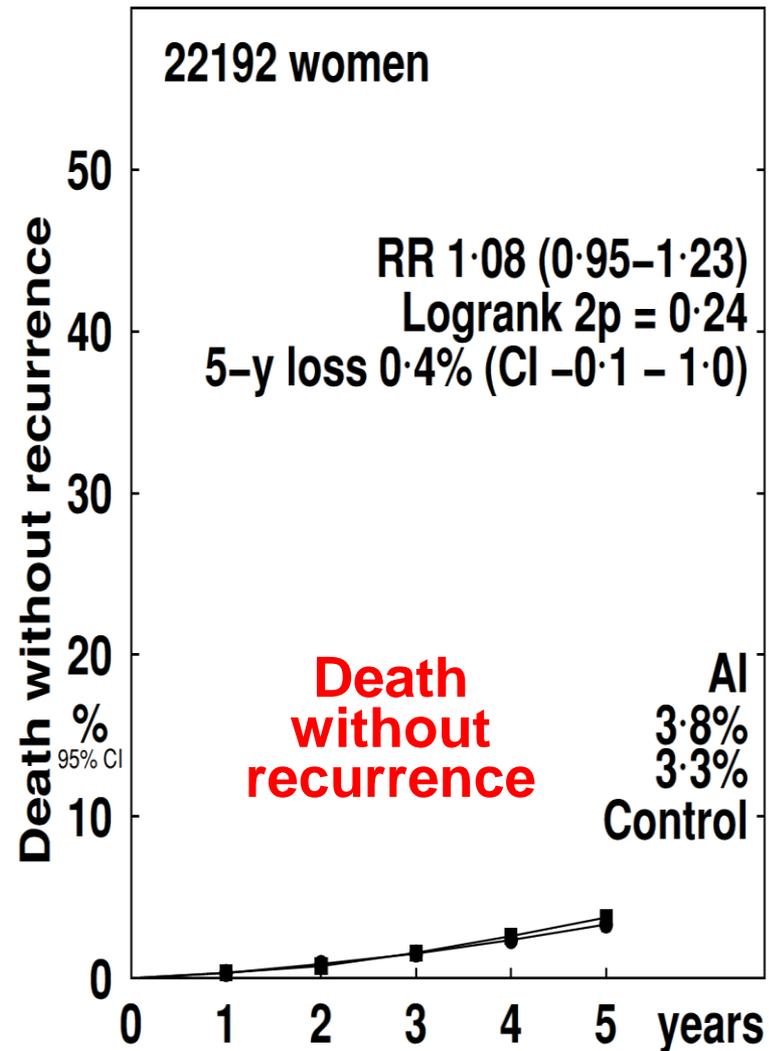
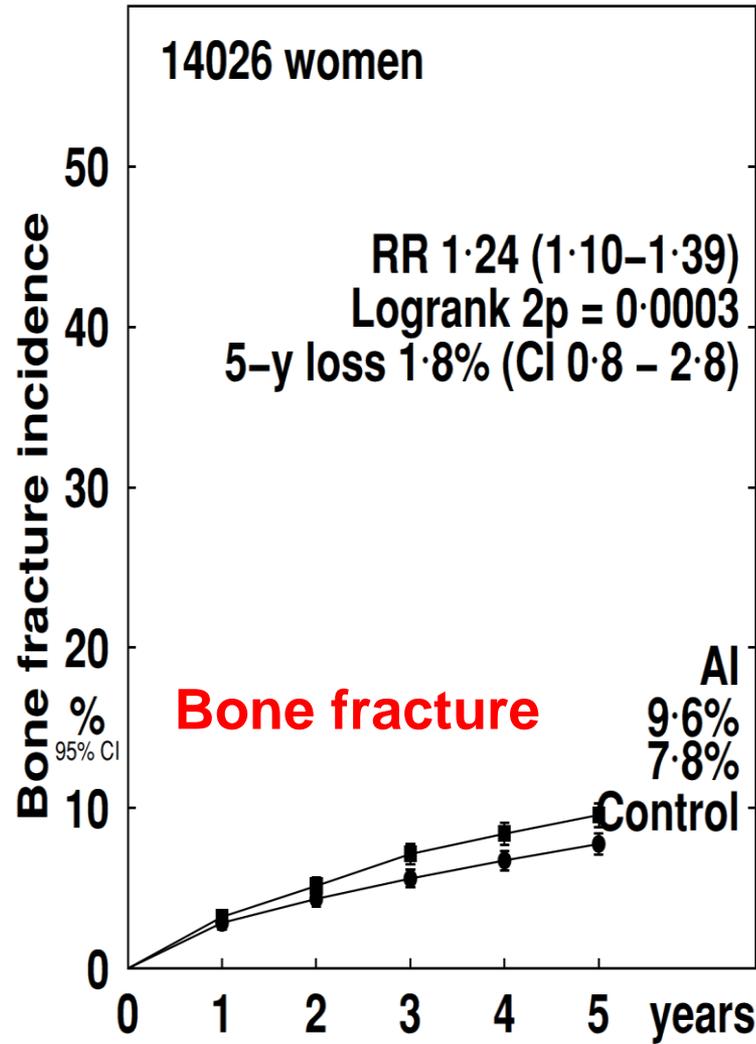
## Node-negative

## N 1-3

## N 4+



# Bone fracture and death without recurrence

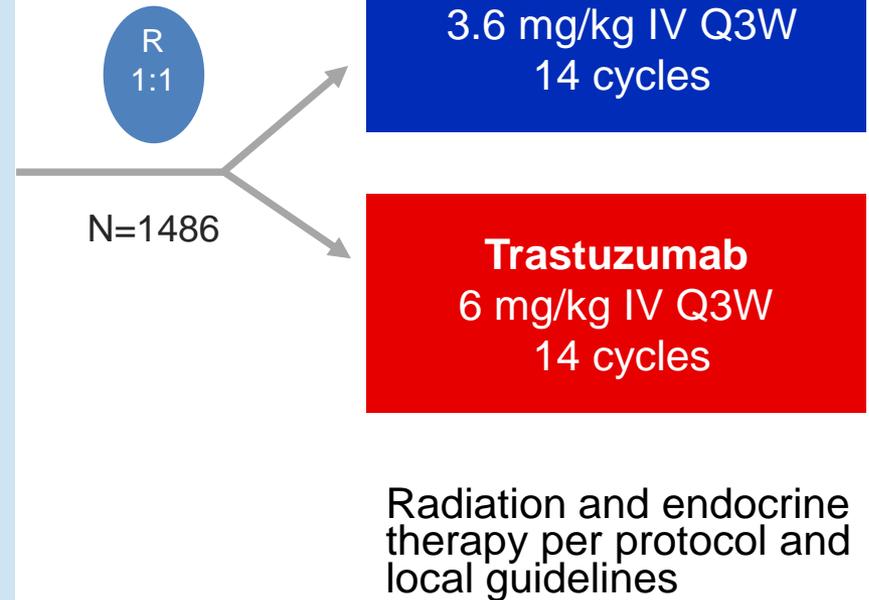


## Conclusions: Benefits and risks of AI after 5+ years of prior endocrine therapy

- $\approx 35\%$  proportional reduction in recurrence for women who have received  $\approx 5$  years of tamoxifen
- $\approx 20\%$  proportional reduction in risk of recurrence for women receiving AI (with or without prior tamoxifen)
- Recurrence reductions apparent in first two years following prior tamoxifen, but not until the third year following prior AI
- Absolute benefits increase the more nodes were involved
- Risk of bone fracture increased by  $\approx 25\%$

# KATHERINE Study Design

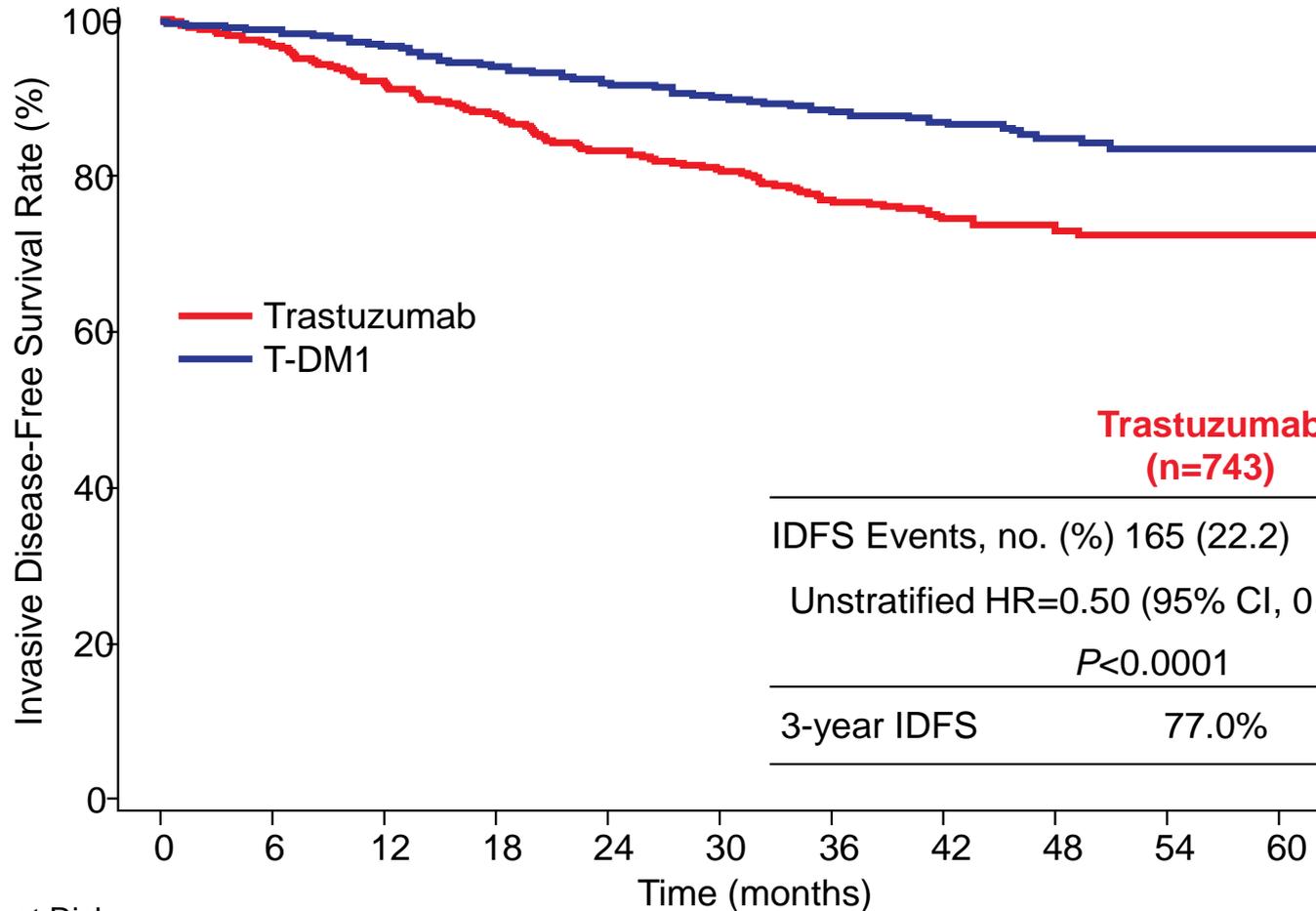
- cT1-4/N0-3/M0 at presentation (cT1a-b/N0 excluded)
- Centrally confirmed HER2-positive breast cancer
- Neoadjuvant therapy must have consisted of
  - Minimum of 6 cycles of chemotherapy
    - Minimum of 9 weeks of taxane
    - Anthracyclines and alkylating agents allowed
    - All chemotherapy prior to surgery
  - Minimum of 9 weeks of trastuzumab
    - Second HER2-targeted agent allowed
- Residual invasive tumor in breast or axillary nodes
- Randomization within 12 weeks of surgery



## Stratification factors:

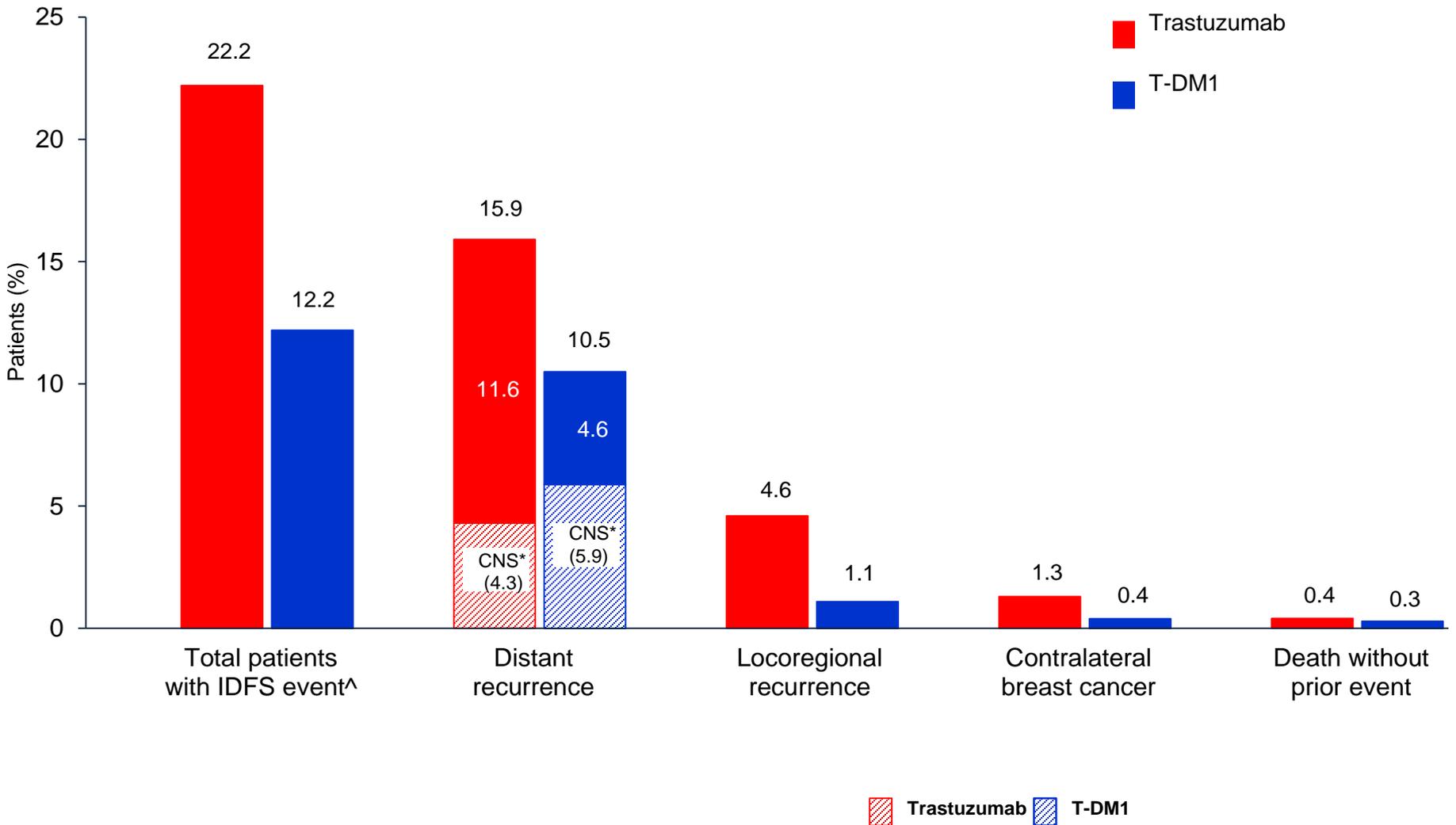
- Clinical presentation: Inoperable (stage cT4 or cN2–3) vs operable (stages cT1-3N0-1)
- Hormone receptor: ER or PR positive vs ER negative and PR negative/unknown
- Preoperative therapy: Trastuzumab vs trastuzumab plus other HER2-targeted therapy
- Pathological nodal status after neoadjuvant therapy: Positive vs negative/not done

# Invasive Disease-Free Survival



| No. at Risk | 0   | 6   | 12  | 18  | 24  | 30  | 36  | 42  | 48  | 54 | 60 |
|-------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|
| Trastuzumab | 743 | 676 | 635 | 594 | 555 | 501 | 342 | 220 | 119 | 38 | 4  |
| T-DM1       | 743 | 707 | 681 | 658 | 633 | 561 | 409 | 255 | 142 | 44 | 4  |

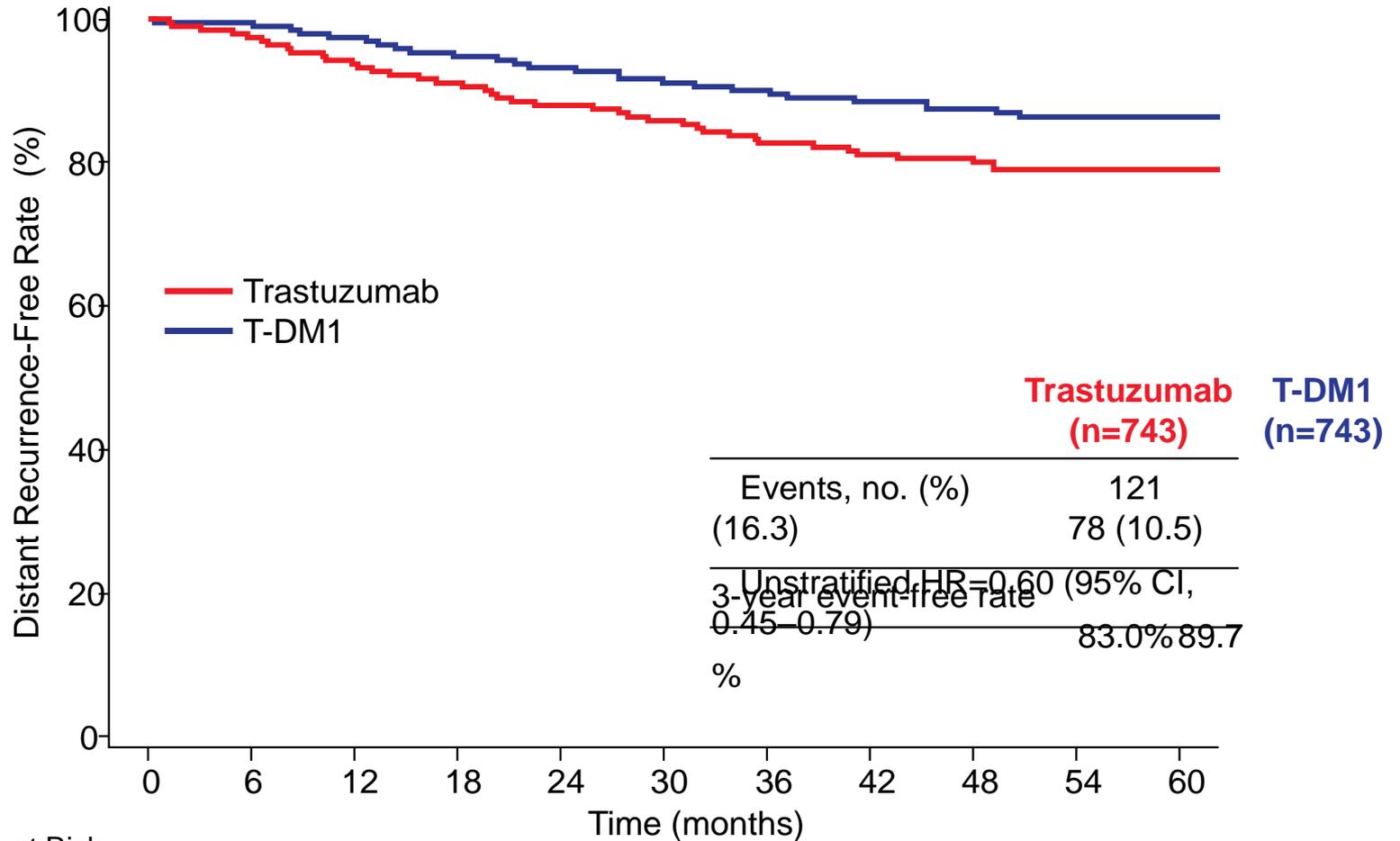
# First IDFS Events



<sup>^</sup>Patients who experience additional IDFS event(s) within 61 days of their first IDFS event are reported in the category according to the following hierarchy: [1] Distant recurrence; [2] Locoregional recurrence; [3] Contralateral breast cancer; [4] Death without prior event.

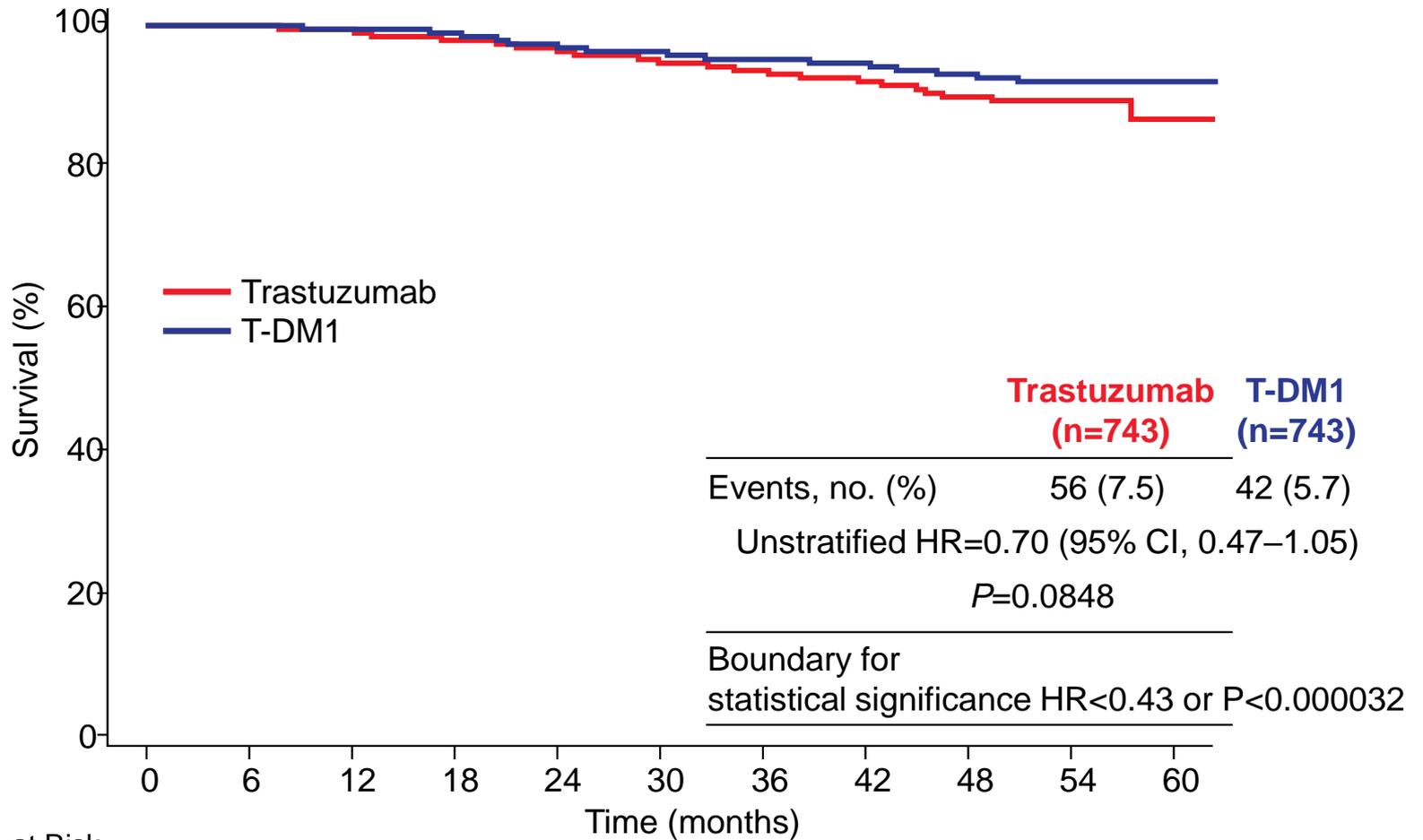
\*CNS metastases as component of distant recurrence (isolated or with other sites).

# Distant Recurrence



| No. at Risk | 0   | 6   | 12  | 18  | 24  | 30  | 36  | 42  | 48  | 54 | 60 |
|-------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|
| Trastuzumab | 743 | 679 | 643 | 609 | 577 | 520 | 359 | 233 | 126 | 41 | 4  |
| T-DM1       | 743 | 707 | 682 | 661 | 636 | 564 | 412 | 254 | 143 | 45 | 4  |

# Overall Survival

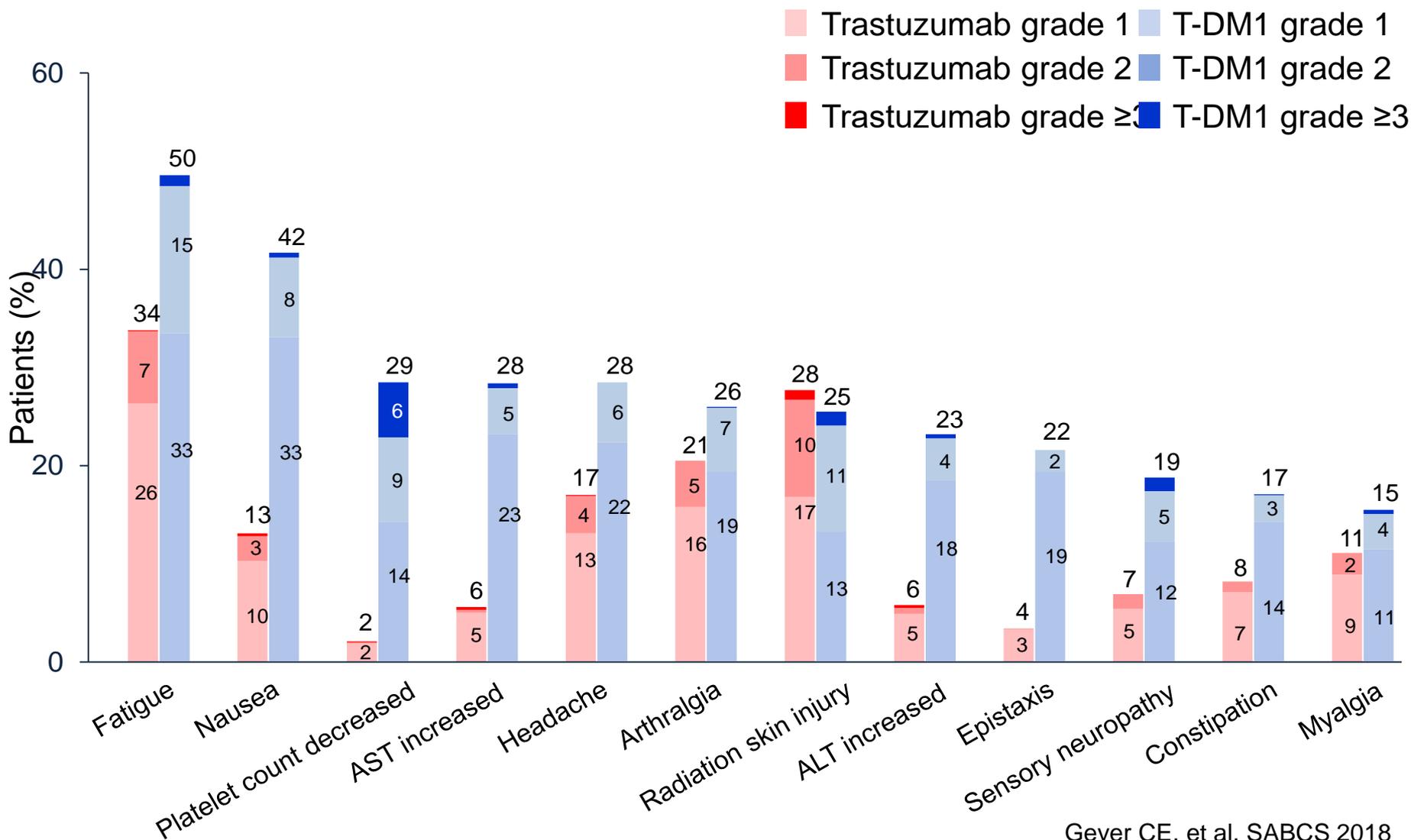


| No. at Risk | 0   | 6   | 12  | 18  | 24  | 30  | 36  | 42  | 48  | 54 | 60 |
|-------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|
| Trastuzumab | 743 | 695 | 677 | 657 | 635 | 608 | 471 | 312 | 175 | 71 | 8  |
| T-DM1       | 743 | 719 | 702 | 693 | 668 | 648 | 508 | 345 | 195 | 76 | 12 |

## AEs Leading to Treatment Discontinuation ( $\geq 1\%$ Incidence Either Arm)

|   | Trastuzumab<br>n=720 | T-DM1<br>n=740 |
|---|----------------------|----------------|
| <b>Patients discontinuing due to adverse events</b> | 15 (2.1%)            | 133 (18.0%)    |
| Platelet count decreased                            | 0                    | 31 ( 4.2%)     |
| Blood bilirubin increased                           | 0                    | 19 ( 2.6%)     |
| Aspartate aminotransferase (AST) increased          | 0                    | 12 (1.6%)      |
| Alanine aminotransferase (ALT) increased            | 0                    | 11 (1.5%)      |
| Peripheral sensory neuropathy                       | 0                    | 11 (1.5%)      |
| Ejection fraction decreased                         | 10 (1.4%)            | 9 ( 1.2%)      |

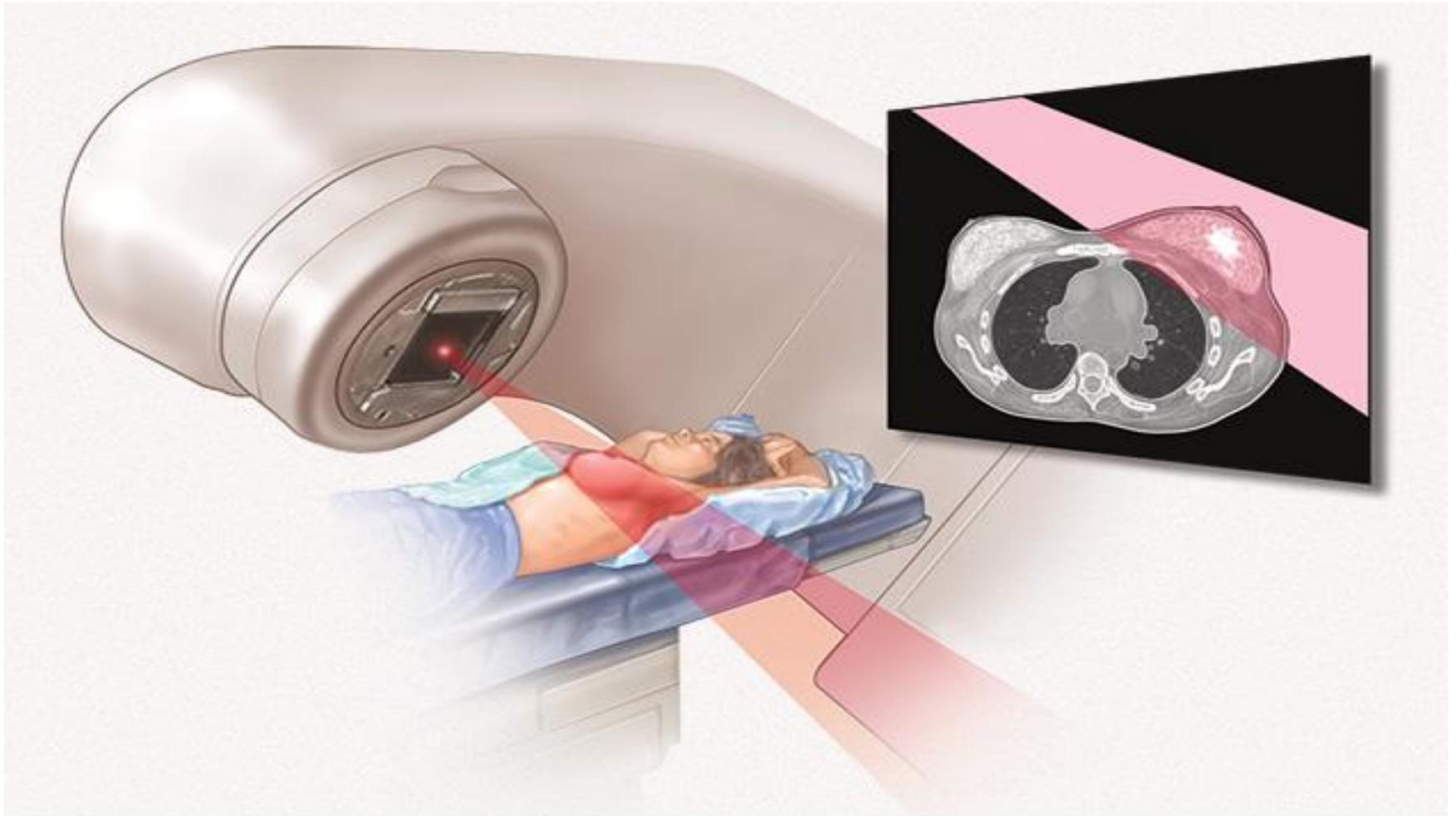
# All Grade AEs $\geq 15\%$ Incidence in Either Arm



# KATHERINE Summary and Conclusions

- Adjuvant T-DM1 demonstrated both a statistically significant and clinically meaningful improvement in IDFS compared with trastuzumab
  - Unstratified HR=0.50; 95% CI 0.39–0.64;  $P<0.0001$
  - 3-year IDFS rate improved from 77.0% to 88.3% (difference=11.3%)
- Benefit of T-DM1 was consistent across all key subgroups including HR status, extent of residual invasive disease, and single or dual HER2-targeted neoadjuvant therapy
- The safety data were consistent with the known manageable toxicities of T-DM1, with expected increases in AEs associated with T-DM1 compared to trastuzumab
- Additional follow-up will be necessary to evaluate the effect of T-DM1 on OS
- The KATHERINE data will likely form the foundation of a new standard of care in this population and increase the use of neoadjuvant therapy in HER2-positive EBC

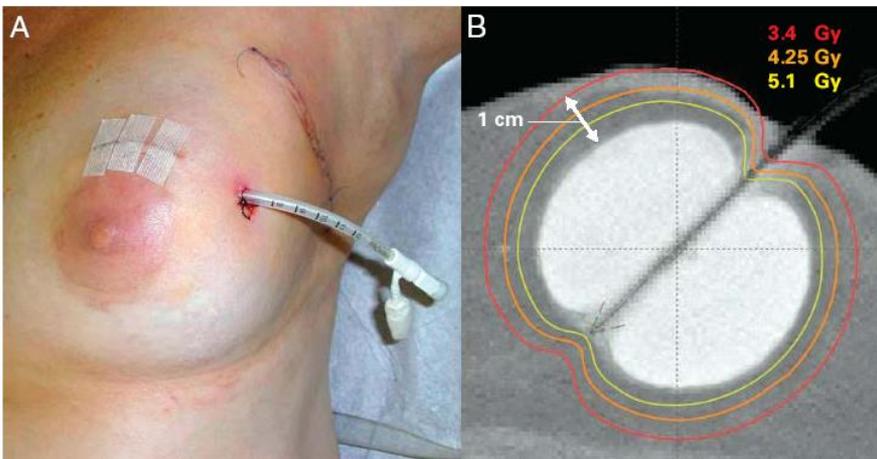
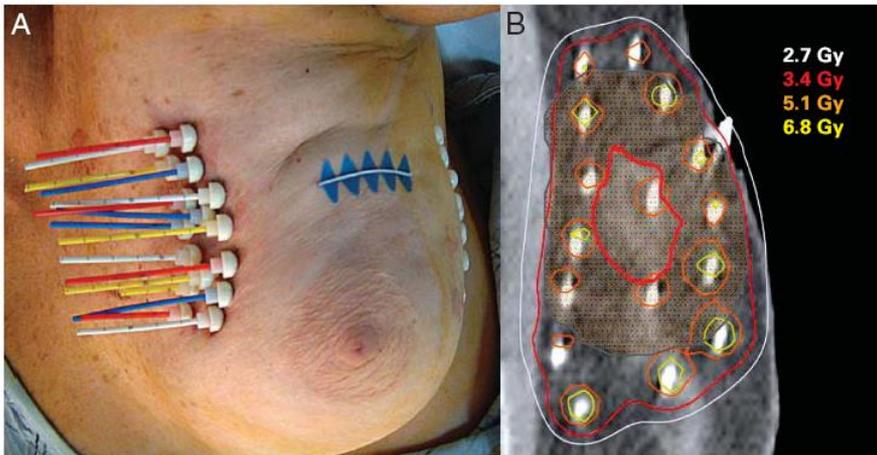
# Traditional whole breast radiation



# Breast Conserving Therapy

- BCT = Lumpectomy + whole breast RT
  - Standard of care for early stage Breast Cancer/DCIS
  - RT typically 3- 6 weeks
- Mastectomy or Lumpectomy w/o RT remains common
  - Access to care-COMPLIANCE ISSUES!!
  - Length of treatment
  - Distance to treatment – as distance increases, BCT decreases
    - 82% <10miles
    - 69% 50-75 miles
    - 42% if >100 miles

# Partial Breast Irradiation (PBI)



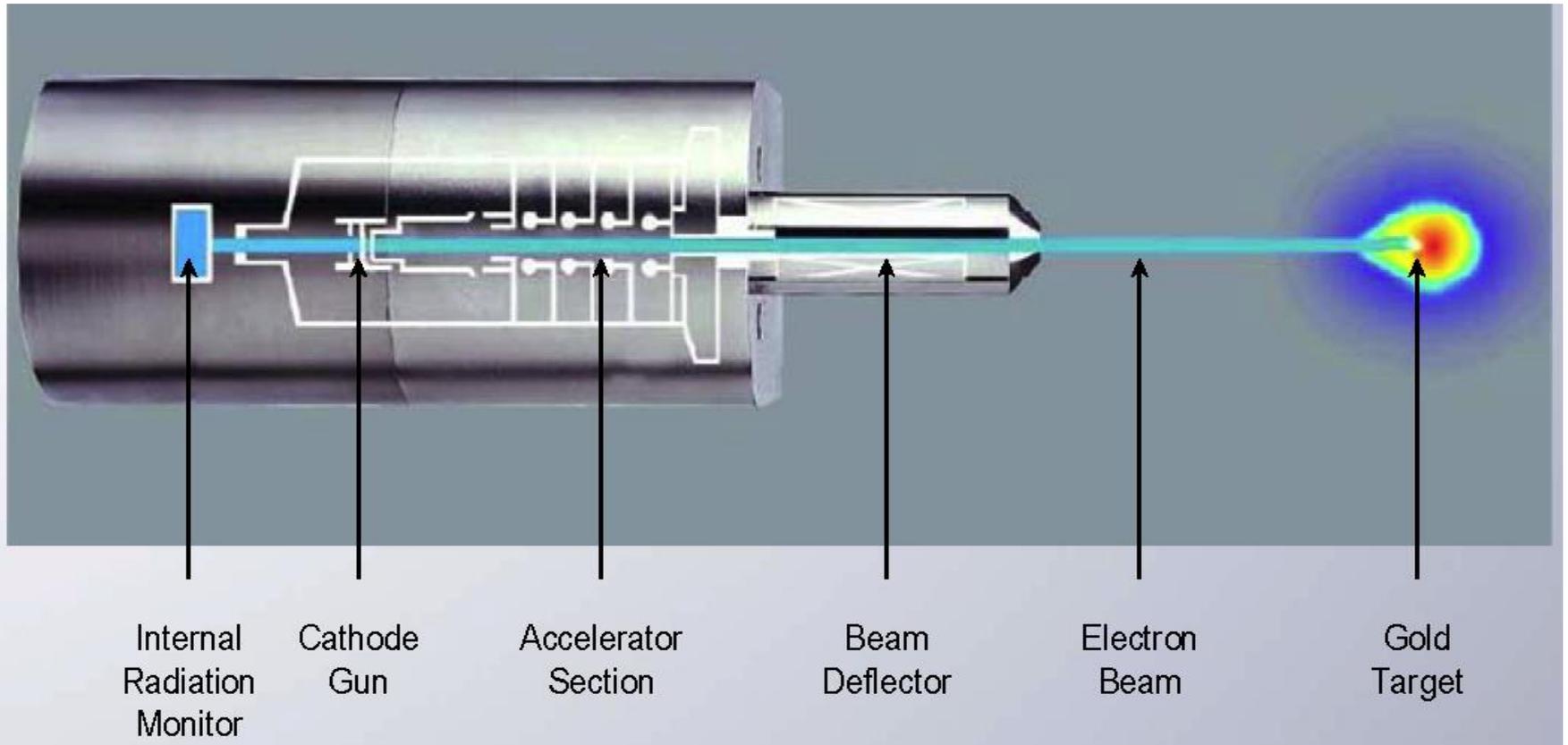
- **Larger radiation dose/fraction**
- **Brachytherapy or external beam**
- **Complete RT in 0-5 days instead of 6-7 weeks**

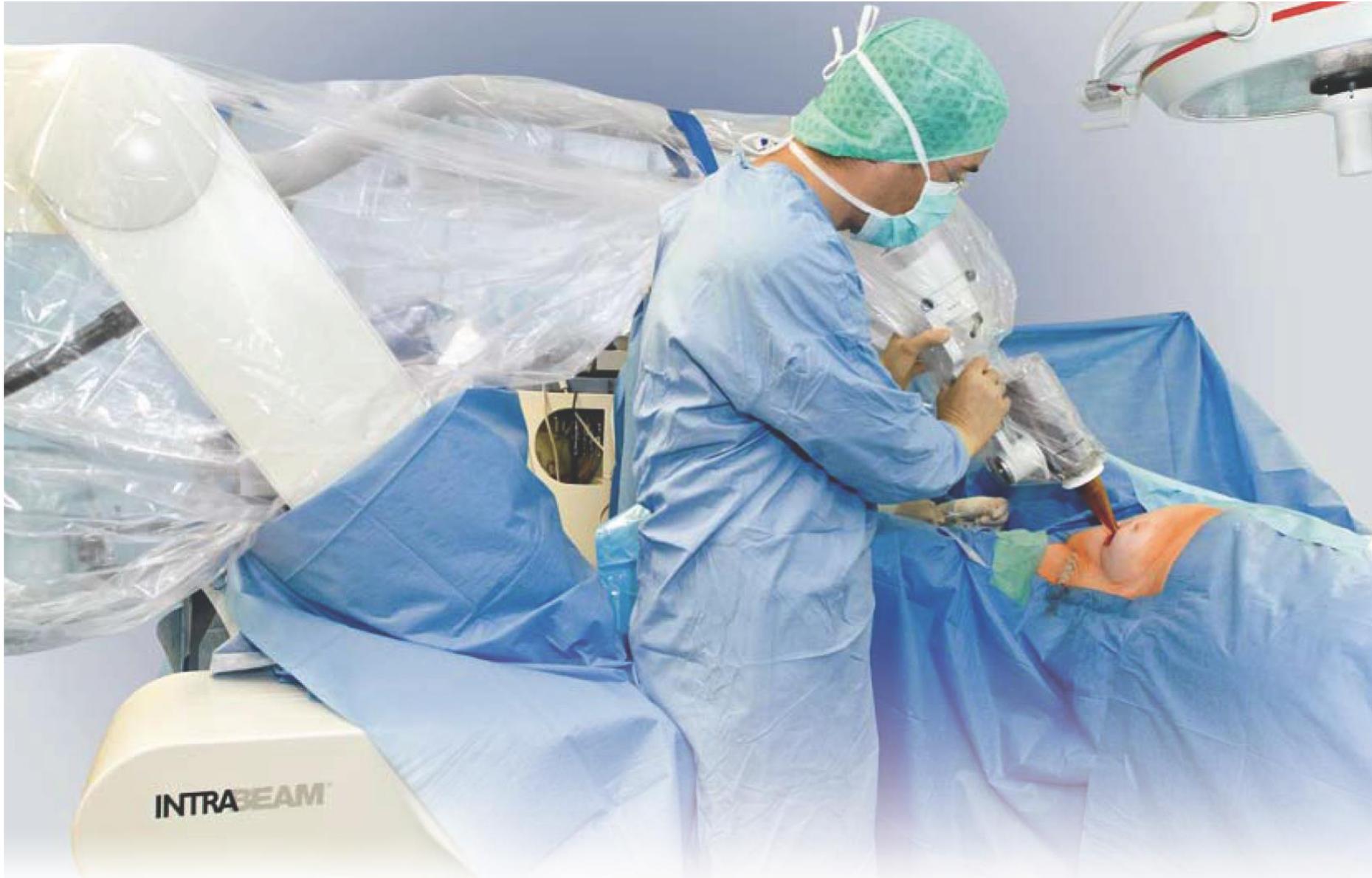
# What is Intraoperative Radiation Therapy? (IORT)

- Technique developed since 1998
- IORT delivers dose of radiation directly to the tumor bed in the operating room
- Single dose is higher than that delivered during conventional radiation therapy, but cumulative amount of radiation is similar to conventional treatment
- Been shown to give results equivalent to weeks of whole breast radiation therapy at 6 years



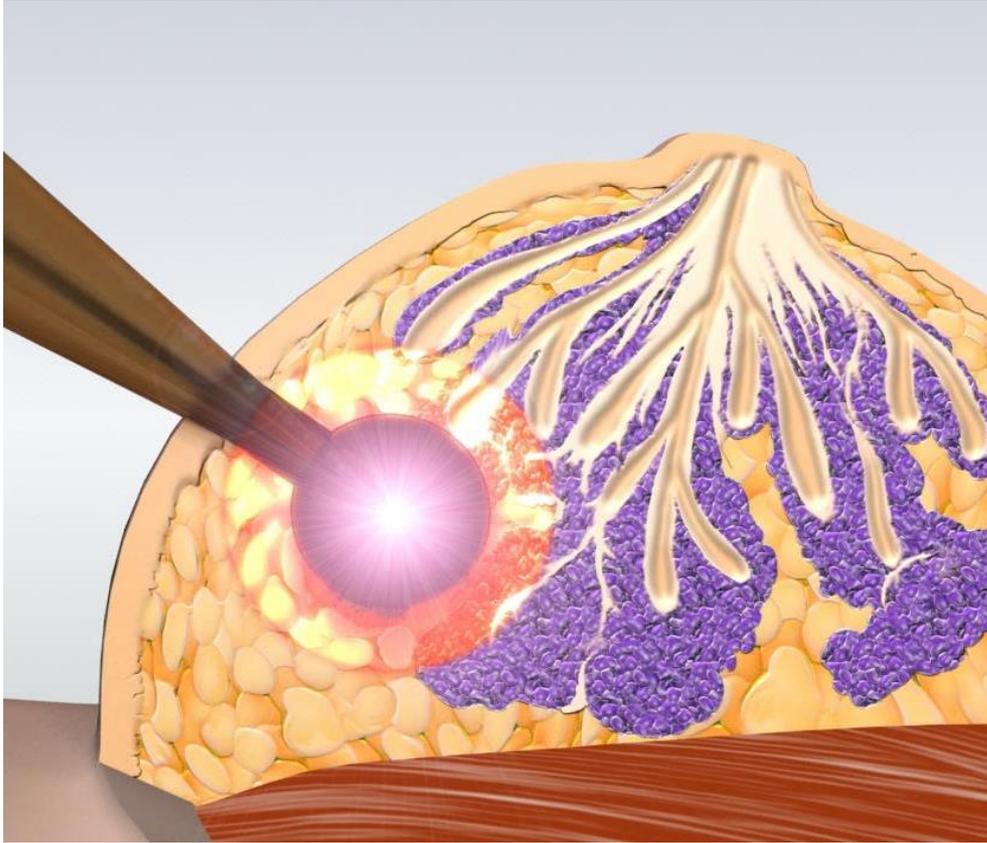
- Generates and delivers a high dose of low energy (50KeV) x-rays in a precise, spherical distribution pattern around a point source





IORT Procedure in the OR

# Intraoperative radiation (IORT)

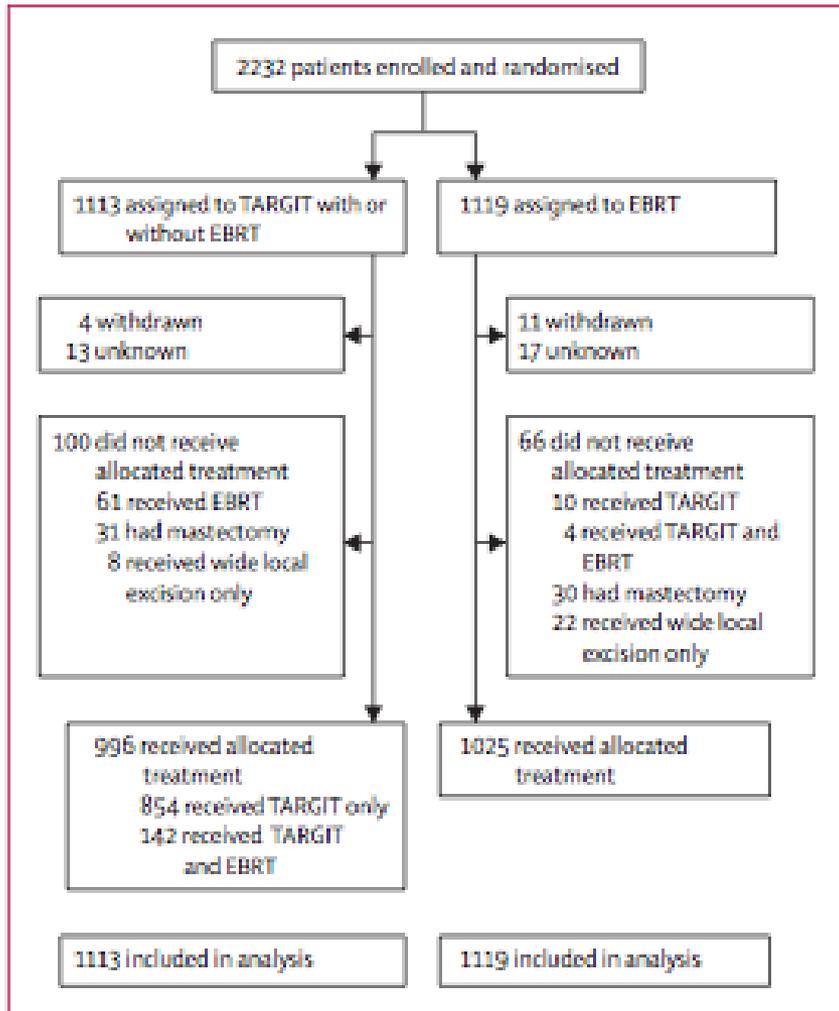


- IORT delivers a single dose of radiation directly to the tumor bed, given at the time of surgery, greatly shortening treatment compared to the conventional 6 weeks of daily radiation
- IORT improves patient convenience and quality of life, and same low recurrence at 5 years compared to traditional radiation

# IORT with Intrabeam

- Single procedure(lumpectomy, repair breast defect and sentinel nodebx,IORT (ONE AND DONE)
- RT compliance-logistics-travel issues resolved
- Patient centered- high satisfaction
- Robust research platform
- Can allow second chance at breast conservation

# IORT



- Targit-A Trial
- Age >45yo
  - Low risk IDC or DCIS
  - Randomized pre-operatively
  - Non-inferiority trial
  - 6 year follow-up
    - LR
      - 1.2% IORT(HIGHER POST PATHOLOGY!!!!)
      - 0.95% WBI
- Equivalent toxicity
  - Grade 3-4: 3.3% vs 3.9%

# NSABP B-39/RTOG 0413 Schema

Patients with Stage 0, I, or II Breast Cancer Resected by Lumpectomy  
Tumor Size  $\leq 3.0$  cm  
No More Than 3 Histologically Positive Nodes

## STRATIFICATION

- Disease Stage (DCIS; Invasive N0; Invasive N1)
- Menopausal Status (pre- and post-)
- Hormone Receptor Status (ER and/or PR+; ER and PR-)
- Intention to Receive Chemotherapy

**RANDOMIZED**

(n = 4,216)

### **Whole Breast Irradiation after Adjuvant Chemotherapy**

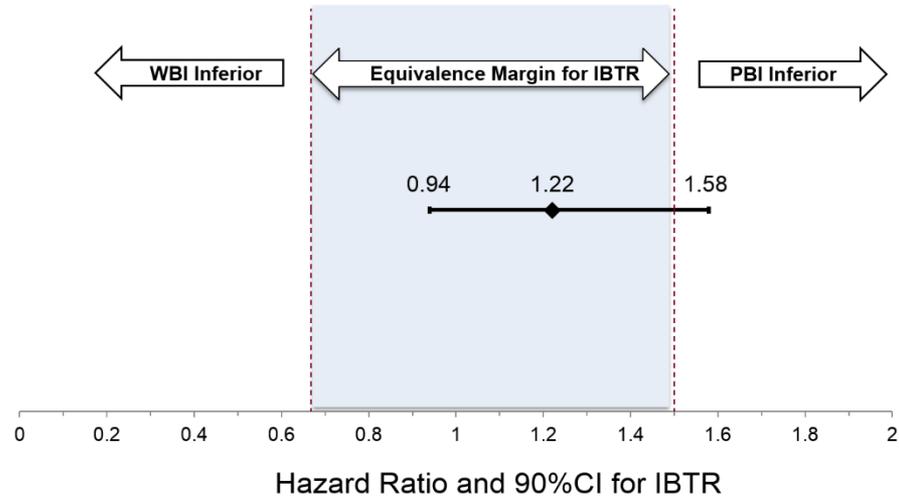
50 Gy (2.0 Gy/fraction) or  
50.4 Gy (1.8 Gy/fraction) to whole breast,  
followed by optional boost to  $\geq 60$  Gy

### **Partial Breast Irradiation prior to Adjuvant Chemotherapy**

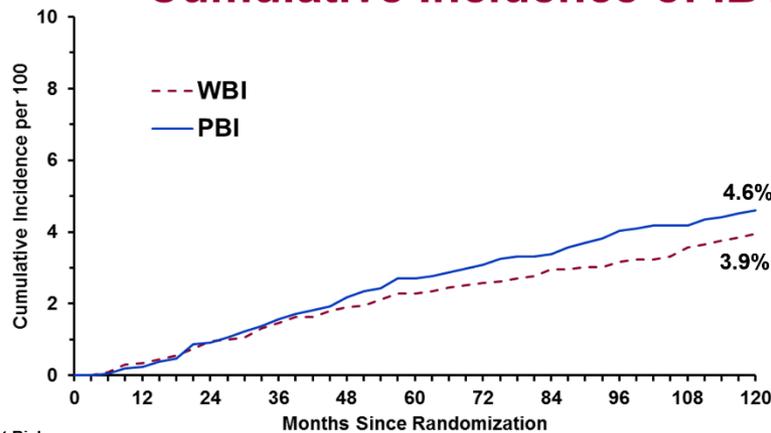
**For a total of 10 treatments given on  
5 days over 5 to 10 days:**  
34 Gy in 3.4 Gy fractions Interstitial Brachytherapy  
or Mammosite Balloon Catheter  
or 38.5 Gy in 3.85 Gy fractions  
3D Conformal External Beam

# NSABP B-39/RTOG 0413

## Ipsilateral Breast Tumor Recurrence (IBTR)



## Cumulative Incidence of IBTR



| No. at Risk |   |
|-------------|---|
| WBI         | 2109      1920      1759      1557      1236      869 |
| PBI         | 2107      1993      1834      1608      1269      876 |

# IBTR by PBI Method and Location in the Breast

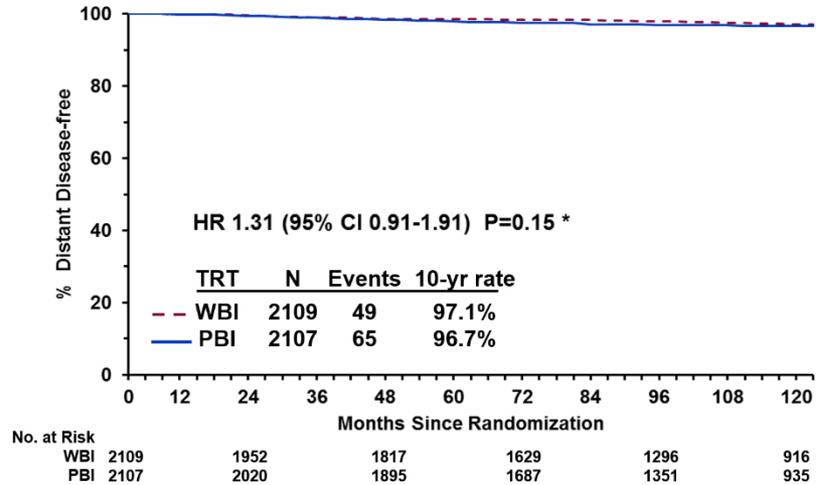
| Treatment Group                   | # of Pts | # of Events | Hazard Ratio (HR) | HR 95% Confidential Interval | 10-yr Cum Incidence |
|-----------------------------------|----------|-------------|-------------------|------------------------------|---------------------|
| WBI                               | 2,011    | 67          | REF               |                              | 3.8%                |
| PBI                               |          |             |                   |                              |                     |
| Multi-catheter brachytherapy      | 130      | 9           | 2.21              | 1.10 – 4.46                  | 7.7%                |
| Single-entry brachytherapy device | 358      | 24          | 2.15              | 1.34 – 3.44                  | 7.8%                |
| 3DCRT (external beam)             | 1,535    | 55          | 1.04              | 0.73 – 1.49                  | 3.7%                |

This analysis used a per-protocol population, which excluded those who did not receive their randomly assigned treatment

| Location of IBTR         | # of Pts |      | # of Events |     | Hazard Ratio (HR) | HR 95% Confidential Interval | 10-yr Cum Incidence |      |
|--------------------------|----------|------|-------------|-----|-------------------|------------------------------|---------------------|------|
|                          | WBI      | PBI  | WBI         | PBI |                   |                              | WBI                 | PBI  |
| At site of primary tumor | 2109     | 2107 | 46          | 39  | 0.81              | 0.53 - 1.24                  | 2.4%                | 1.9% |
| Elsewhere in the breast  | 2109     | 2107 | 25          | 51  | 1.99              | 1.23 - 3.23                  | 1.5%                | 2.7% |

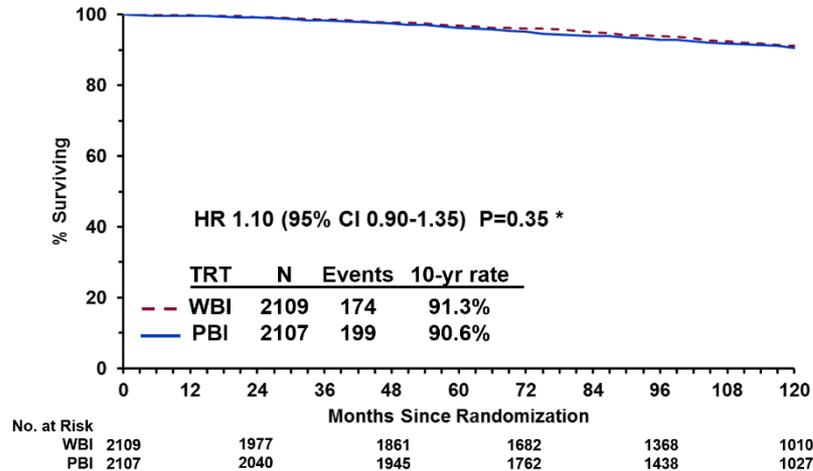
# NSABP B-39/RTOG 0413

## Distant Disease-free Interval



\*Based on Cox proportional hazards models stratified on disease stage, menopausal status, hormone receptor status, and intention to receive chemotherapy.

## Overall Survival



\*Based on Cox proportional hazards models stratified on disease stage, menopausal status, hormone receptor status, and intention to receive chemotherapy.

# NSABP B-39/RTOG 0413

## Adverse Events

### Toxicity:

- Grade 3 toxicity was 9.6% PBI v 7.1% WBI
- Grade 4-5 toxicity was 0.5% PBI v 0.3% WBI

### Second Cancers:

| First Site of Second Primary Cancer | WBI | PBI | Total |
|-------------------------------------|-----|-----|-------|
| Contralateral breast                | 72  | 63  | 135   |
| All other sites                     | 128 | 129 | 257   |
| Total                               | 200 | 192 | 392   |

No statistically significant differences

# Conclusions

- **Intent-to-treat and as-treated analyses could not refute the hypothesis that PBI is inferior and cannot declare that WBI and PBI are equivalent in controlling local in-breast tumor recurrence. However, the absolute difference in the 10-yr cumulative incidence of IBTR was only 0.7%.**
- **Risk of an RFI event was statistically significantly higher for PBI v WBI, but again, the absolute difference in 10-yr RFI cumulative incidence was also small (1.6%)**
- **Breast cancer event rates at a median follow-up of 10.2 yrs in this population were overall low: IBTR rate: ~4.5%, DM rate: ~3%, and breast cancer death rate: ~2%**
- **Because the differences relative to both IBTR (0.7%) and RFI (1.6%) were small, PBI may be an acceptable alternative to WBI for a proportion of women who undergo breast-conserving surgery**
- **Grade 3-5 toxicities were low. Additional analyses are underway to evaluate secondary endpoints of QOL and cosmesis**

# **Regional node irradiation: Meta-analysis of 13,500 women in 14 trials**

## **Early Breast Cancer Trialists' Collaborative Group (EBCTCG)**

Writing Committee: David Dodwell (presenter), Carolyn Taylor, Paul McGale, Charlotte Coles, Fran Duane, Richard Gray, Thorsten Kühn, Christophe Hennequin, Robert Hills, Sileida Oliveros, Yaochen Wang, Jonas Bergh, Kathy Pritchard, Sandra Swain, Jens Overgaard, Philip Poortmans, Tim Whelan

# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Regional node RT versus not

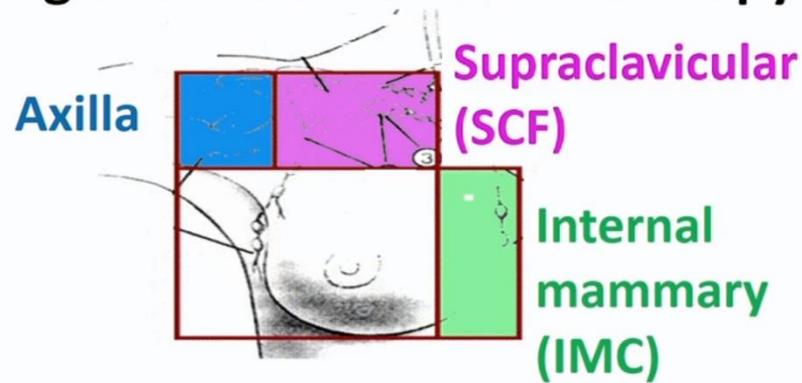
14 trials, ~13,500 women

| Comparison:<br>Node RT versus not | No. trials | No. women     |
|-----------------------------------|------------|---------------|
| Axilla SCF                        | 2          | 652           |
| IMC                               | 3          | 4683          |
| IMC SCF axilla                    | 9          | 8069          |
| <b>All trials</b>                 | <b>14</b>  | <b>13,404</b> |

4

San Antonio Breast Cancer Symposium, December 4-8, 2018

## Regional node radiation therapy (RT)



Same treatment to breast

# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Data analysis plan: regional node RT

1. All trials together
2. Separate older & newer trials

Target coverage better in newer trials

Heart dose: Older trials >8 Gy

Newer trials <8 Gy

San Antonio Breast Cancer Symposium, December 4-8, 2018

## Older trials (began 1961-1978) Total with data available ≈2,500

| Year began | Name       | Women | RT sites randomised |
|------------|------------|-------|---------------------|
| 1961       | NSABP B-03 | 1103  | IMC, SCF, axilla    |
| 1968       | Oslo       | 542   | IMC, SCF, axilla    |
| 1969       | Heidelberg | 142   | IMC, SCF, axilla    |
| 1972       | WSSA       | 217   | SCF, axilla         |
| 1973       | Milan 1    | 56    | IMC, SCF            |
| 1974       | Piedmont   | 160   | IMC, SCF            |
| 1974       | Mayo       | 241   | IMC, SCF            |
| 1978       | Toronto    | 74    | 'regional'          |

Median FU (IQR): 9.2 (3.4 – 17.5) years

## Newer trials (began 1989 onwards) Total with data available ≈11,000

| Year began | Name             | Women | RT sites randomised |
|------------|------------------|-------|---------------------|
| 1989       | Tampere          | 270   | IMC                 |
| 1991       | French IM*       | 1407  | IMC                 |
| 1995       | Italian Senology | 435   | axilla              |
| 1996       | EORTC 22922      | 4004  | IMC, SCF            |
| 2000       | MA.20            | 1832  | IMC, SCF, axilla    |
| 2003       | DBCG-IMN**       | 3089  | IMC                 |

\*Data available only on overall mortality

\*\*RT allocated by tumour laterality

Median FU (IQR): 9.1 (7.0 – 11.0) years

# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Data analysis plan: regional node RT

1. All trials together
2. Separate older & newer trials

Target coverage better in newer trials

Heart dose: Older trials >8 Gy

Newer trials <8 Gy

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| 1974       | Mayo       | 241   | IMC, SCF            |
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\*Data available only on overall mortality

\*\*RT allocated by tumour laterality

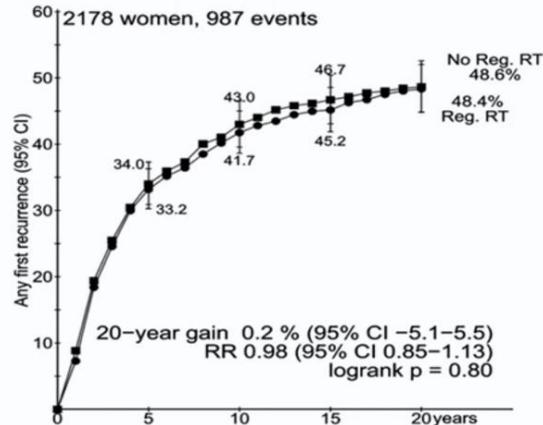
Median FU (IQR): 9.1 (7.0 – 11.0) years

IPD Meta-analysis

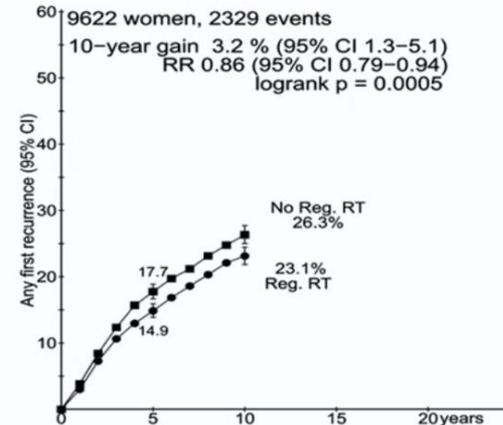
# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Any recurrence

### Older trials

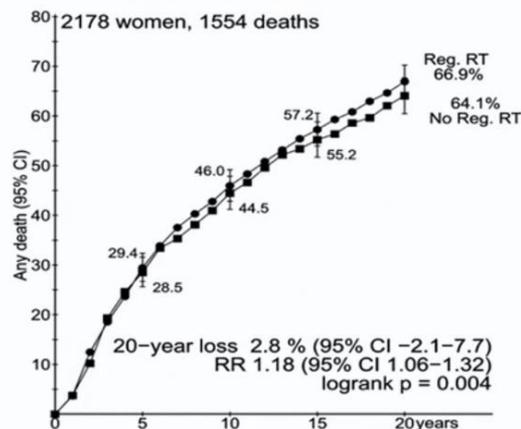


### Newer trials

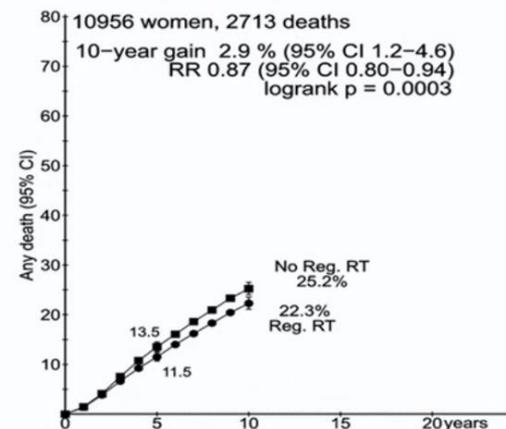


## Overall mortality

### Older trials



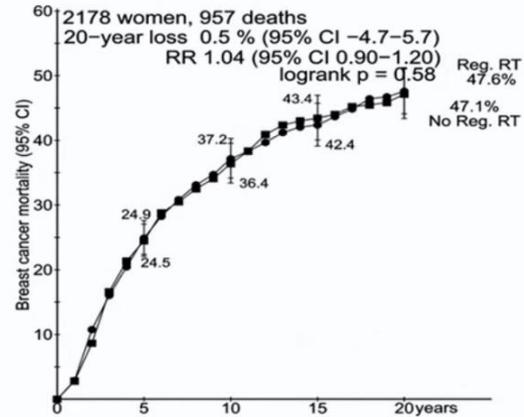
### Newer trials



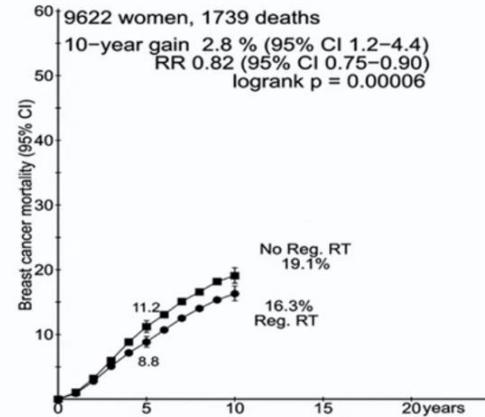
# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Breast cancer mortality

### Older trials

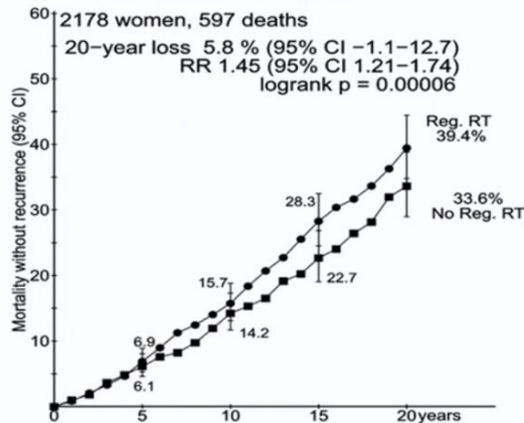


### Newer trials

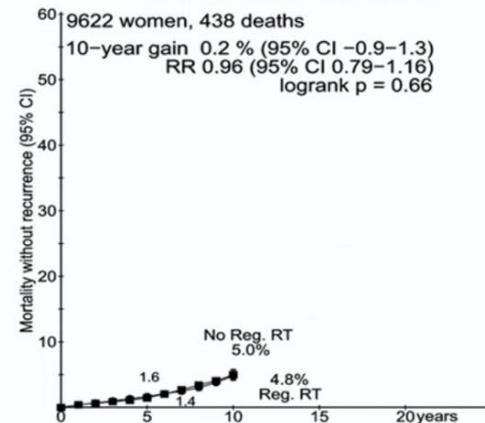


## Non-breast-cancer mortality

### Older trials

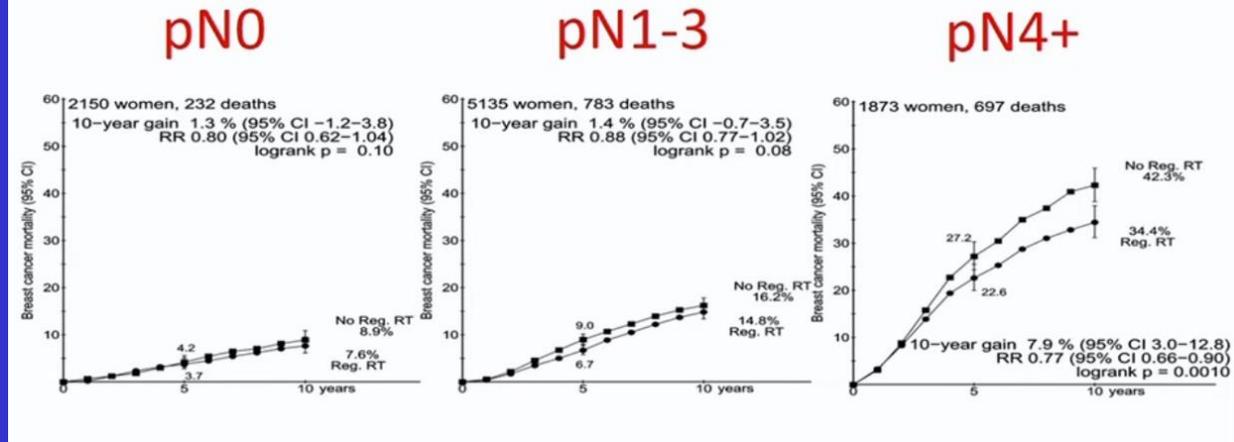


### Newer trials



# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Newer trials: Breast cancer mortality



Breast cancer mortality did not vary according to:

Regional LNs irradiated

Breast quadrant

Use of chemotherapy

Use of endocrine therapy

All  $p > .10$

# EBCTCG: REGIONAL LYMPH NODE RADIATION

## Conclusions: regional node irradiation

- **Older trials (began 1961-1978)**
  - Breast cancer mortality – little effect
  - Overall mortality – significantly increased
- **Newer trials (began 1989+)**
  - Breast cancer mortality – significantly reduced
  - Overall mortality – significantly reduced
  - Absolute mortality reduction greatest in N4+

# GAINS WITH NODAL RADIATION TREATMENT

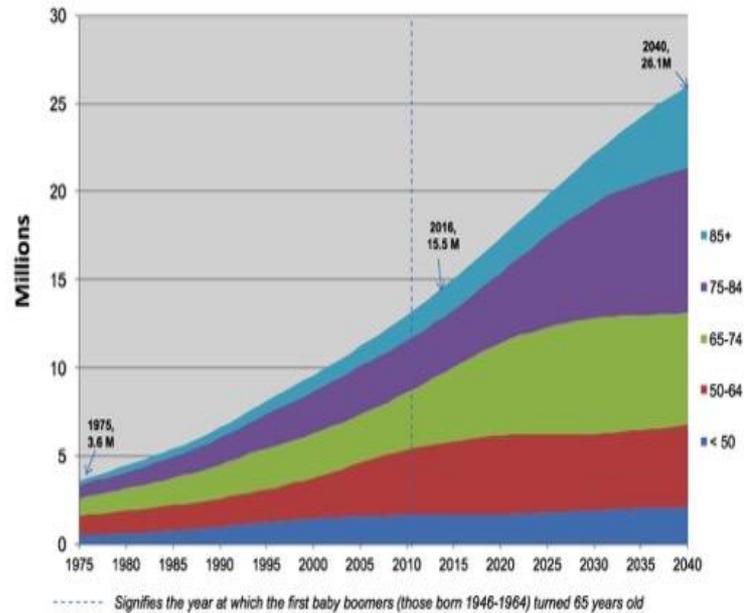
|                               | EORTC<br>22922/10925<br>15-year<br>gain with<br><u>nodal RT</u> |                          | NCIC<br>MA20<br>10-year<br>gain with<br><u>nodal RT</u> |                          | EBCTCG<br>(SABCS)<br>10-year<br>gain with<br><u>nodal RT</u> |                          |
|-------------------------------|---|--------------------------|---|--------------------------|--|--------------------------|
|                               |   | <u>P</u><br><u>value</u> |   | <u>P</u><br><u>value</u> |  | <u>P</u><br><u>value</u> |
| Breast cancer mortality       | <b>3.8%</b>   | <b>.0055</b>             | --  | --                       | <b>2.8%*</b>   | <b>.00006</b>            |
| Distant metastases            | 3.4%  | --                       | 3.6%  | --                       | --   | --                       |
| Distant disease-free survival | 1.8%  | .18                      | <b>1.9%</b>   | <b>.03</b>               | --   | --                       |
| Overall survival              | 2.2%  | .18                      | 1.0%  | .38                      | <b>2.9%*</b>   | <b>.0003</b>             |
| Local-regional recurrence     | (-0.4%)   | --                       | <b>3.0%</b>   | <b>.009</b>              | --   | --                       |

\*Newer trials

# “SILVER TSUNAMI”

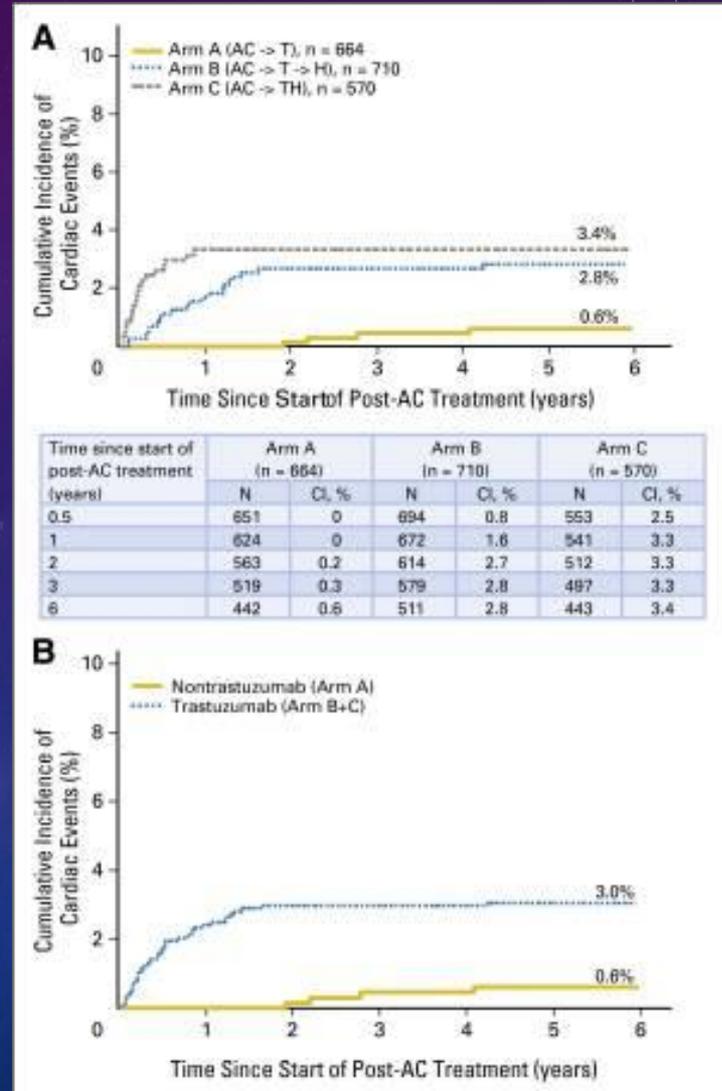
## Changing Demographics

Figure 1: Estimated cancer prevalence by age in the US population from 1975 (216 M) to 2040 (380 M)

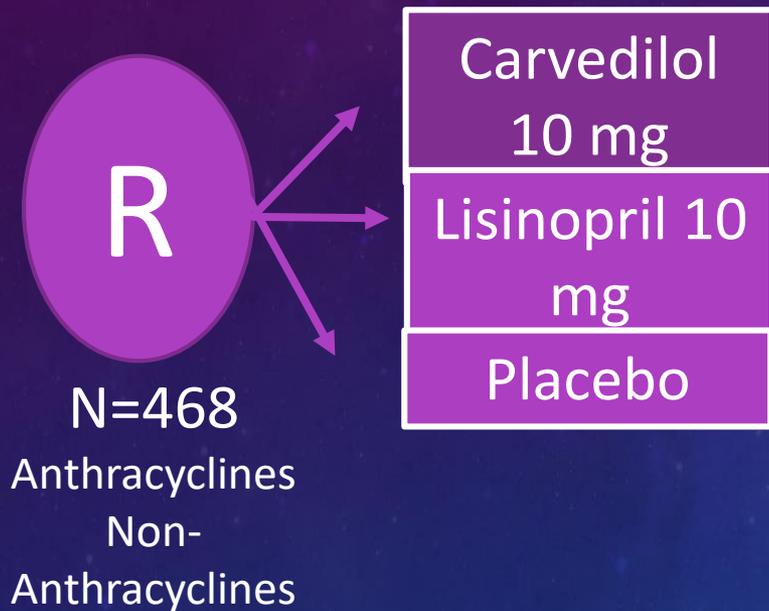


# CARDIOTOXICITY: HER2-BASED ADJUVANT REGIMENS

- No standard definition of cardiac toxicity
- Trastuzumab-induced cardiac toxicity:
  - Not dose related
  - No myocardial cell death
  - 2.3% developed CHF; 0.1% cardiac death
  - In over 50% trastuzumab retreatment
  - No late trastuzumab toxicity



# ABSTRACT GS5-1

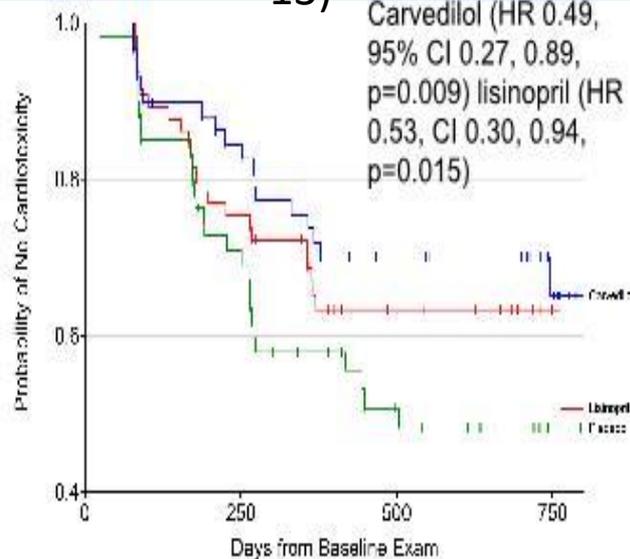


- **Cardiac Toxicity:**
  - Decrease in LVEF by  $\geq 10\%$ ,  $\geq 5\% \downarrow 50\%$
- **Primary Objective:**
  - Cardiac events during and the year after trastuzumab
- **Secondary objectives:**
  - Toxicity, QoL, cardiac biomarkers
- **Statistics:**
  - None presented

# RESULTS

## Cardiotoxicity free survival

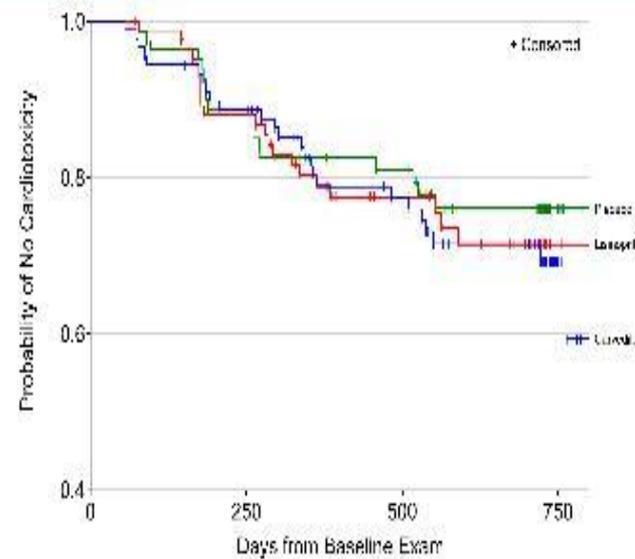
anthracycline cohort (N=213)



No. at Risk

|            | 0  | 250 | 500 | 750 |
|------------|----|-----|-----|-----|
| Carvedilol | 99 | 47  | 34  | 13  |
| Lisinopril | 65 | 49  | 27  | 8   |
| Placebo    | 60 | 16  | 20  | 4   |

non-anthracycline cohort N=(265)



No. at Risk

|            | 0  | 250 | 500 | 750 |
|------------|----|-----|-----|-----|
| Carvedilol | 90 | 76  | 54  | 11  |
| Lisinopril | 84 | 72  | 48  | 7   |
| Placebo    | 83 | 71  | 52  | 13  |

# CAVEATS, CONCLUSIONS

- No info on type of cardiac event, reversibility, or long term outcome
- Decreasing anthracycline use
- *Until long follow-up and additional studies, lisinopril and carvedilol should NOT be used outside a clinical trial in women receiving anthracyclines*

# ABSTRACT GS5-2

2018 San Antonio Breast Cancer Symposium

December 4-8, 2018

## Study Design: EBBA-II (NBCG-14 study)

- 18-75 years
- Breast cancer Stage I/II DCIS/LCIS (3)
- No known severe illness (heart failure, uncontrolled diabetes etc)
- Capable of participating in exercise
- No previous cancer

Exercise program +



**12 month exercise**  
program tailored based on assessed cardiovascular function

Standard of care



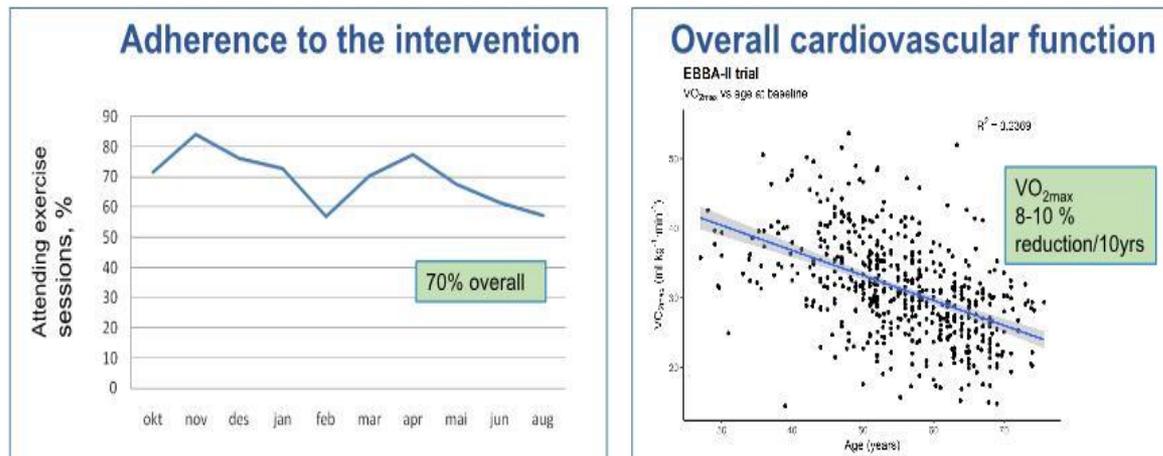
**Standard of care**  
Norwegian Breast Cancer Group guidelines (NBCG)

N=565

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- Resource and time intensive intervention
  - 120 min/week supervised, +120 min
- Healthy population
  - Mean age 55; BMI 25; VO<sub>2</sub> baseline 31
- Primary endpoint:
  - VO<sub>2</sub> baseline – 12 mo

## Adherence and Adverse Events (AE) Cardiovascular capacity ( $VO_{2max}$ )

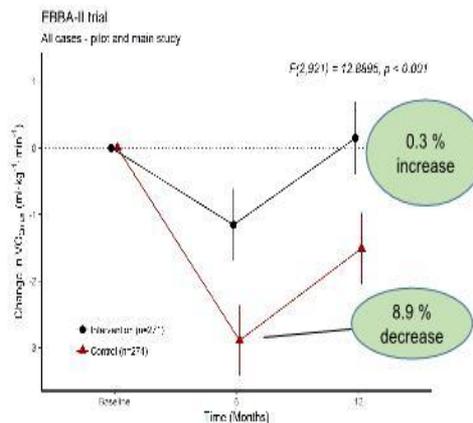


**AE's:** Fatigue during CPET/exercise, one injured shoulder

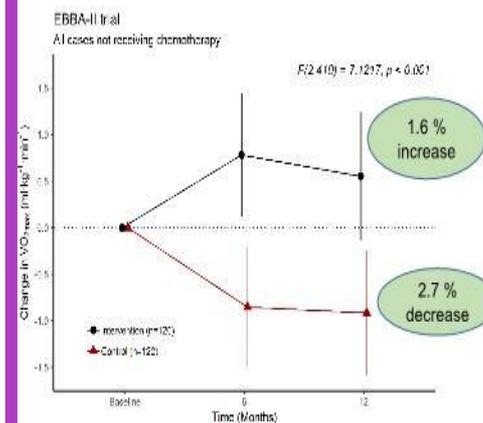


## Final results - The EBBA-II (NBCG-14)

### All participants (n= 545)

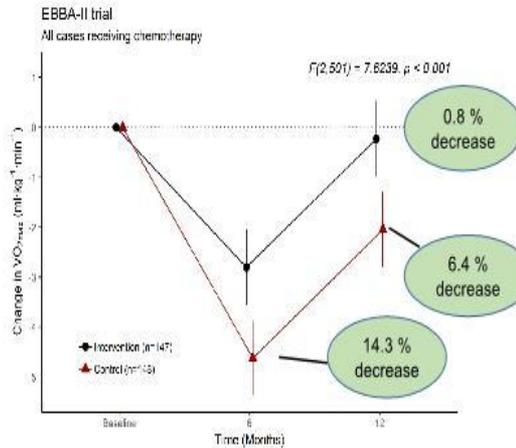


### No chemotherapy (n=242)

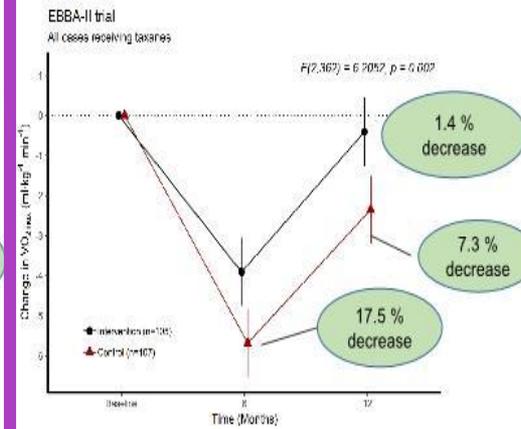


## Patients receiving chemotherapy

### Receiving chemotherapy (n= 295)



### Receiving taxanes (n= 212)

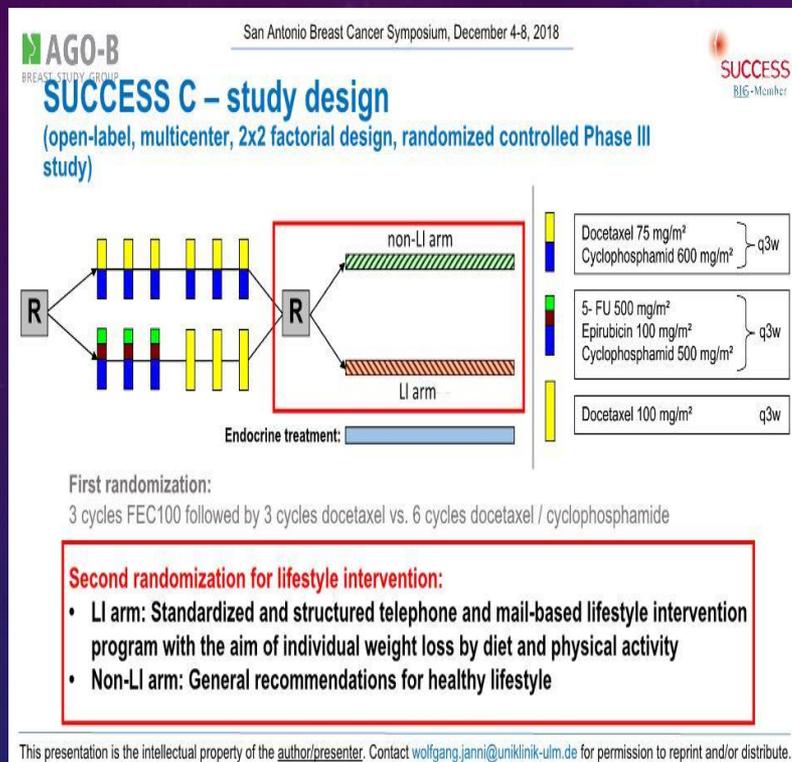


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# CAVEATS AND CONCLUSIONS

- Relatively young healthy population able to undergo an intensive intervention. ***Are the results generalizable?***
- Intensive intervention results in preservation of  $\text{VO}_2$  during chemo
- ***What about a less intensive intervention in a more representative population?***
- ***Do the control and intervention arms come together over time?***

# ABSTRACT GS5-03

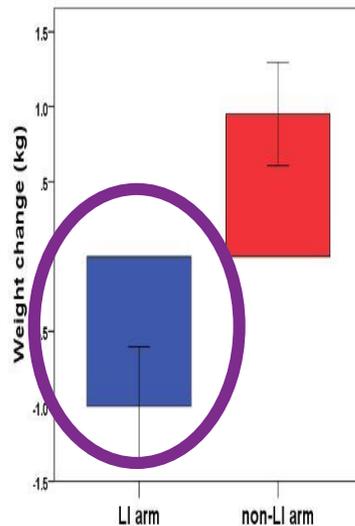


- 2 year intervention- telephone based
  - 19 calls and mailings; physical activity weight
  - Formal V02 testing
- 2292 randomized
  - Age 58; N+ 60%; postmenopausal 68%; ER+ 77%
- Primary Endpoint
  - DFS and OS

## Weight change by lifestyle intervention arm – ITT analysis

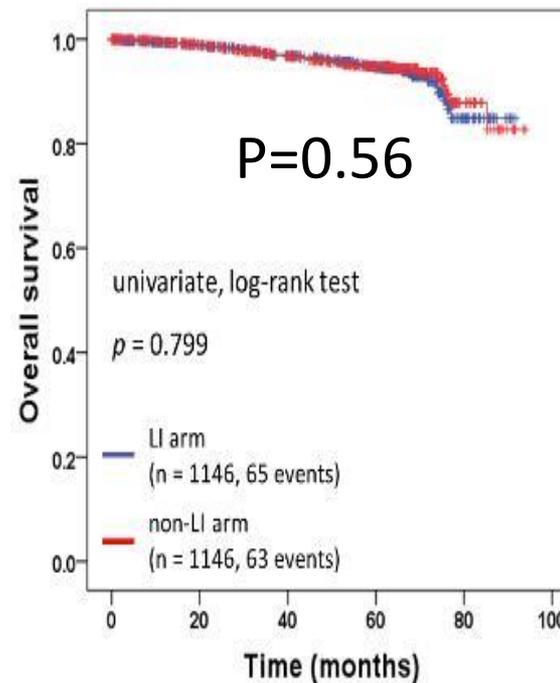
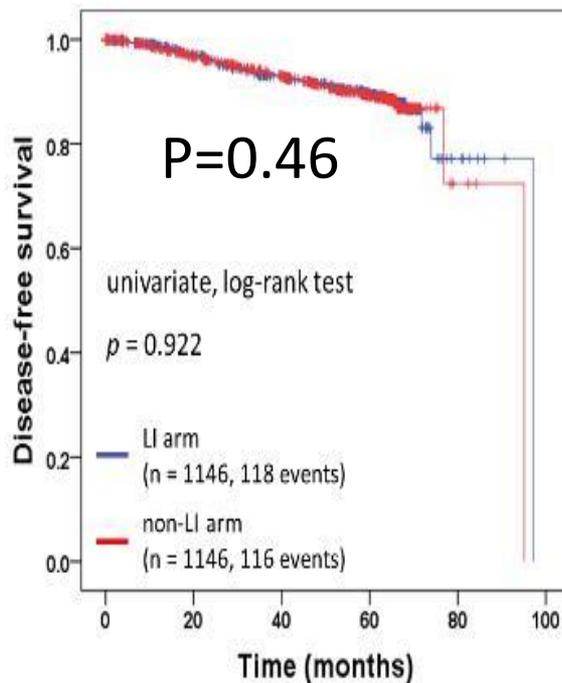
Intensified lifestyle intervention program was successful in reducing patients' weight (from start of LI intervention to 2-year follow up):

- LI arm (n = 828): weight **loss 1.0 kg** (95% CI -0.60 to -1.39)
- non-LI arm (n = 816): weight **gain 0.95 kg** (95% CI 0.61 to 1.30)



- Compliance: Only 48% completed intervention
- Completers vs. non-completers were different
  - Younger age, lower grade, higher ER+

## Disease-free survival (DFS) and overall survival (OS) by lifestyle intervention arm – ITT analysis



# CAVEATS AND CONCLUSIONS

- Intervention was not feasible as 50% did not complete the 2 yrs.
- ***Ongoing trials are addressing weight loss and physical activity (BWEL trial)***

# HOT FLASHES

The background is a dark blue gradient with a subtle pattern of small white dots. On the right side, there are several technical diagrams. A large circular gauge with a scale from 0 to 210 is visible, with an arrow pointing to approximately 190. Below it, there are smaller circular diagrams with arrows, suggesting a process or cycle. The overall aesthetic is clean and professional, typical of a medical or scientific presentation.

# HOT FLASHES: MAYO CLINIC Randomized, Placebo

Control

| Drug              | Benefit                        |
|-------------------|--------------------------------|
| Placebo           | 20%                            |
| Clonidine/<br>MPA | Pos (side effects)             |
| Fluoxetine        | Pos, (interferes tam)          |
| Gabapentin        | Pos, (fatigue)                 |
| Venlafaxine       | Pos (no interference with tam) |
| Soy,<br>Flaxseed  | Neg                            |

Mechanism



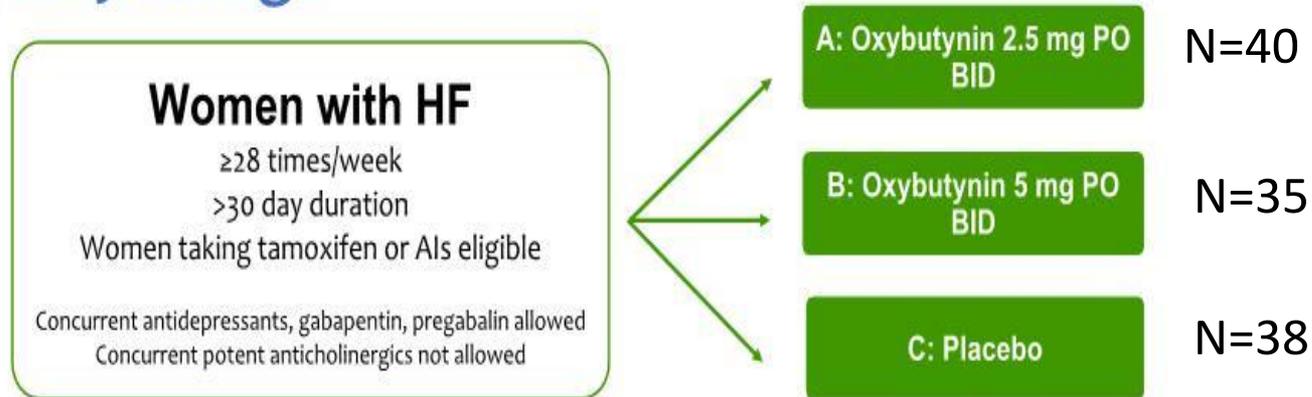
# Oxybutynin

- **Anticholinergic** (oral or transdermal).
- FDA approved for overactive bladder (5-20 mg daily).
- **“Decreased sweating” common** → effective for hyperhidrosis.
- **Data in refractory hot flashes:**
  - **Retrospective study:** Sexton et al, Menopause, 2007.
  - **Prospective study:** Simon et al, Menopause, 2016. Oxybutynin XR 15 mg/d improved HF but with toxicity. Investigators recommended studying lower doses.

# Abstract GS6-02

San Antonio Breast Cancer Symposium®, December 4 -8, 2018

## Study design



**Treatment duration = 6 weeks**, after a baseline week without medication (questionnaires)

**Weekly questionnaires:**  
Hot Flash Diary  
HFRDIS  
Symptom experience questionnaire

**Endpoints:**  
**Primary:** Intra-patient change in weekly HF score<sup>1</sup> and frequency  
**Secondary:** change in HFRDIS, change in self-reported symptoms

<sup>1</sup>Sloan et al, JCO 2001

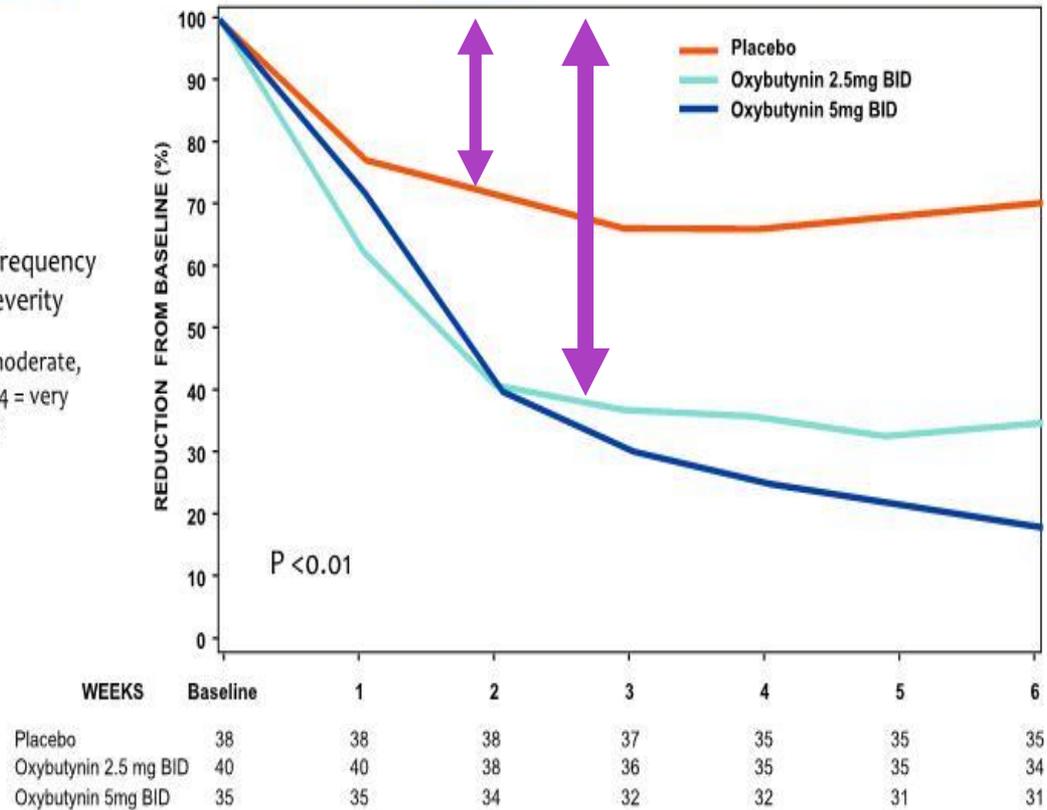


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## Results: Mean Hot Flash Score % Reduction from Baseline

HF Score = HF frequency  
x average severity

G1 = mild, G2 = moderate,  
G3 = severe, G4 = very  
severe



# CONCLUSIONS

- Oxybutynin improved severity and frequency of hot flashes, with 5 mg > 2 mg
  - *No formal comparison between doses*
- HRQOL was improved except for sexuality and concentration
  - *2.5 mg BID did not improve mood and life enjoyment*
- Side effects: Dry mouth, abdominal pain, difficult urination
  - *5 mg BID-dry eyes, confusion, diarrhea, headaches*
- What's the correct dosage?

ABSTRACT GS6-04

# Cancer and Aging Research Group (CARG) Score

San Antonio Breast Cancer Symposium®, December 4-8, 2018

## Development of a Predictive Model for Tolerance to Therapy in Older Patients with Breast Cancer



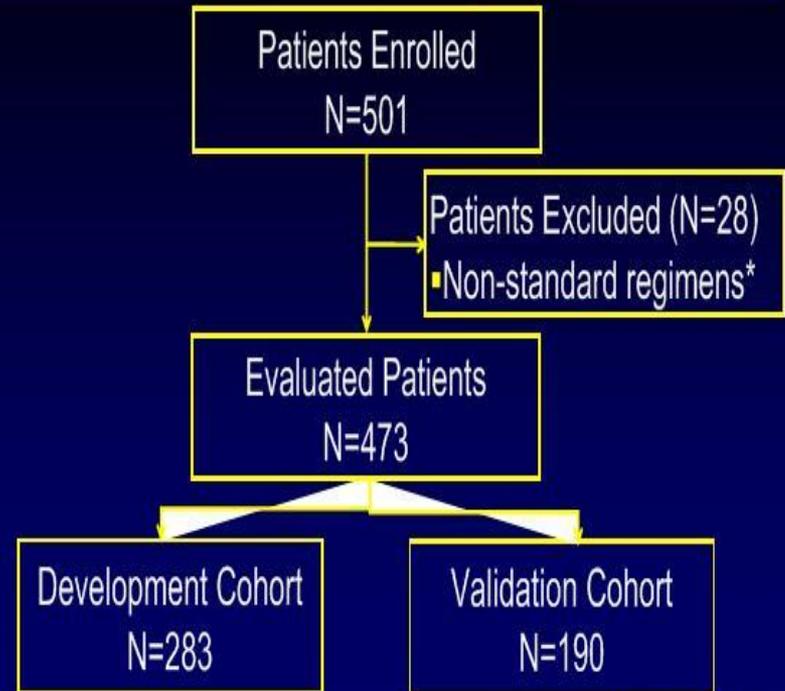
**Same Chronological Age; Different Functional Age**

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# PROSPECTIVE COHORT STUDY DESIGN

San Antonio Breast Cancer Symposium®, December 4-8, 2018

## CONSORT Diagram



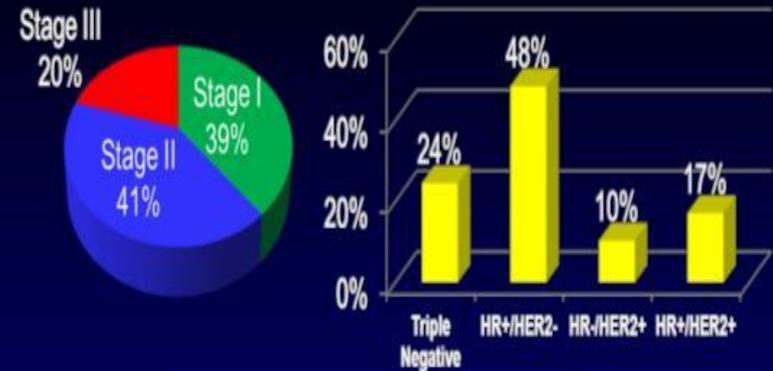
\*per NCCN Guidelines

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# DISEASE CHARACTERISTICS

San Antonio Breast Cancer Symposium®, December 4-8, 2018

## Disease and Treatment Characteristics



Median Age  
(Range):  
70 (65-85)

|                             |       |
|-----------------------------|-------|
| Adjuvant Treatment          | 82.3% |
| Poly Chemotherapy           | 90.1% |
| Anthracycline-Based Regimen | 38.1% |
| Standard Dose               | 97.5% |

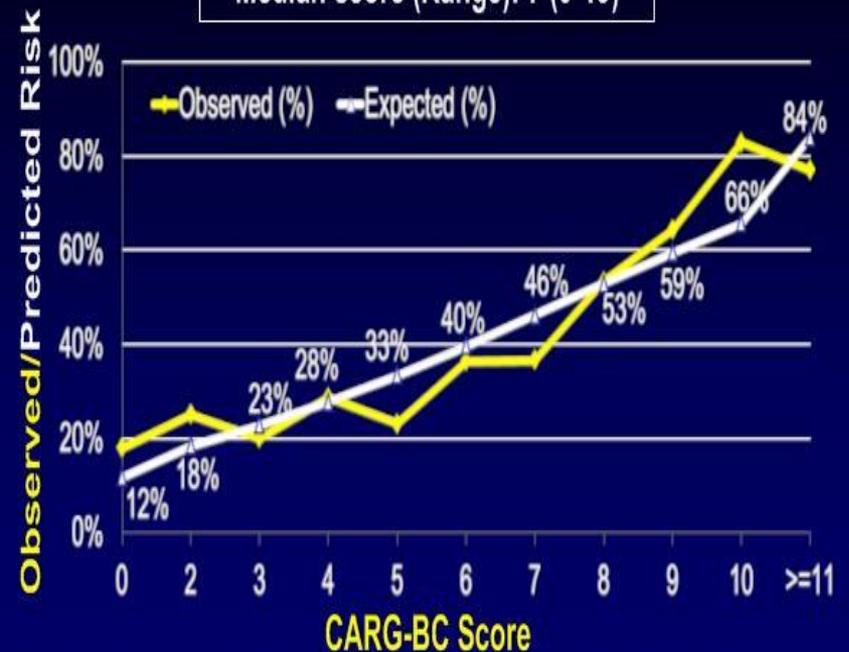
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# GOODNESS OF FIT

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## Model Performance: Goodness of Fit

Median score (Range): 7 (0-19)

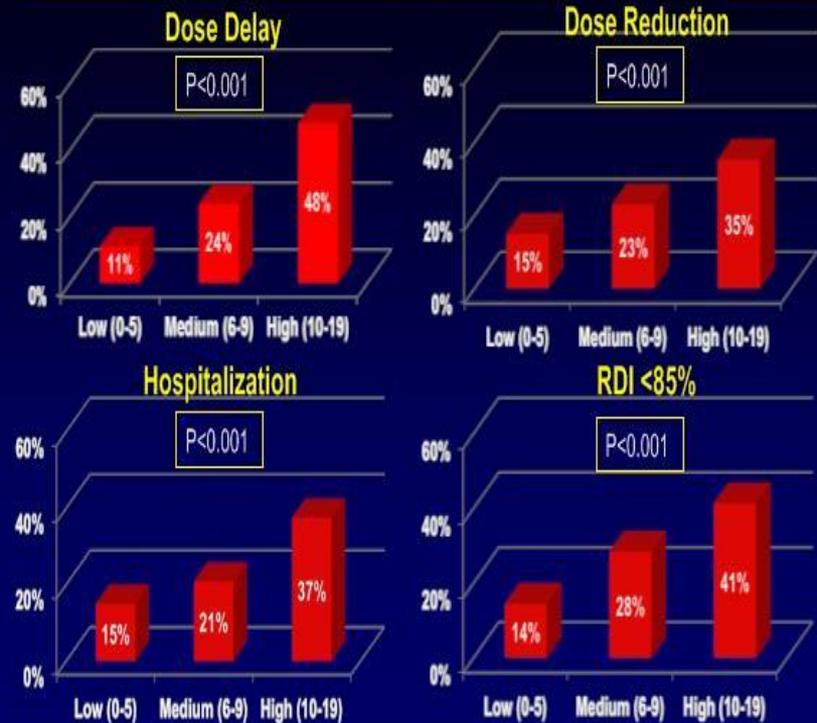


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# OUTCOMES

San Antonio Breast Cancer Symposium®, December 4-8, 2018

## CARG-BC For Other Outcomes



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# CONCLUSION

- CARG Score is a validated tool to predict chemotherapy side effects in elder women with breast ca
- Predicts dose reductions, delays, and hospitalizations

Wabeeja  
Medawagse  
Mersi  
unalchéesh  
Tingki  
Komapsumnida  
Shukuria  
Paldies  
Hatur  
Tashakkur  
Maketai  
hui  
Sanco  
Maake  
Denkauja  
Agyuje  
Spassibo  
gozaimashita  
Fakaau  
Spasibo  
Ekhmet  
Mehrbani  
Nenachalhya  
Baiika  
Yuspagaràtam  
Minmonchar  
Atto  
Gaejtho  
Yaqhanyelay  
Efcharisto  
Dankscheen  
YOU  
Merci  
Shukria  
suksama  
ekoju  
Tavtapuch  
Sikomo  
Gracias  
Shukria  
lah  
Merastawhy  
Dhanyabaad  
Chaltu  
Biyangrazie  
Snachalhuya  
Juspa